



# Developing a Harvest Strategy for the Torres Strait tropical rock lobster (TRL) fishery – vers 2

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# Outline



- Recap re draft Harvest Strategy agreements
- Empirical (data-based) Harvest Control Rule (HCR) explained
- Example application using past data
- Feedback from TRLRAG
- Feedback from Nokome Bentley
- Alternative HCR candidates and their performance
- Deciding on rules for closure
- Reviewing data-based trigger and limit reference points
- Exceptional circumstances clauses
- Sensitivity Analyses
- Discount factors for tiered system (eg more/fewer surveys)

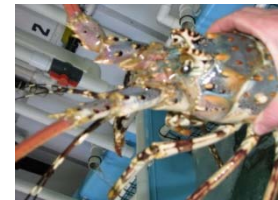
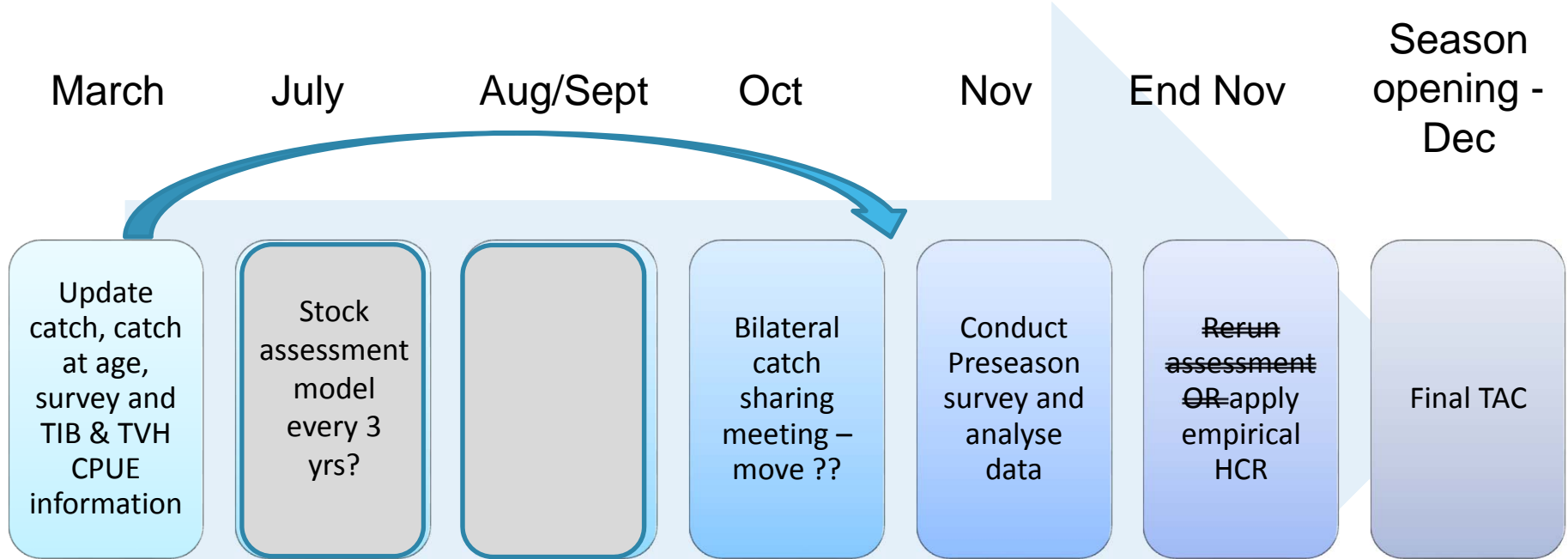
# Australia's National Harvest Strategy Guidelines

Harvest Strategy: “a framework that specifies the pre-determined management actions in a fishery necessary to achieve the agreed ecological, economic and/or social management objectives.”

A key principle is that fishery managers, fishers and key stakeholders utilise pre-agreed (and preferably pre-tested) rules as to how to adjust management recommendations given updates of data and/or model outputs

[http://www.agriculture.gov.au/fisheries/domestic/harvest\\_strategy\\_policy](http://www.agriculture.gov.au/fisheries/domestic/harvest_strategy_policy)

# Revised TRL Harvest Strategy Timeline under Quota Management



# Recent Catches

Year	TiB	TVH	PNG	TS_Tot al	Aus_TAC	Catch as % of TAC
2004	211	481	182	874		
2005	345	545	228	1118		
2006	143	135	142	420	471	89%
2007	267	269	228	764	842	91%
2008	207	100	221	528	751	70%
2009	135	91	161	387	450	86%
2010	182	279	293	754	853	88%
2011	201	503	165	869	803	108%
2012	151	370	174	695	964	72%
2013	127	362	108	597	871	69%
2014	132	273	261	666	616	108%
2015	151	152	416*	719	769	93%?
			or 192t	495		65%

2016 TAC = 796t

# Harvest Strategy Components

(1) Indicators (data from the fishery; Docket books & Logbooks)

(2) Monitoring (agreed protocols to get data; Population surveys, Size/age monitoring)

(3) Reference points (targets and limits; Stock biomass, Fishing mortality)

(4) Method of assessment (Stock assessment, Catch per Unit of Effort (CPUE) standardisation)

**(5) Decision rules (agreed rules for setting catch levels; called Harvest Control Rules)**



### (3) Final Agreed (by TRLRAG) Harvest Strategy Reference points (targets and limits)

$$B_{\text{targ}} = 0.65B_{\text{sp}}(0)$$

$B_{\text{sp}}(0)$  is assumed to be the model-estimate of spawning biomass in 1973 (start of the fishery)

$B_{\text{targ}}$  has been chosen by TRLRAG as a proxy for BMEY

$$B_{\text{lim}} (0.5B_{\text{targ}}) = 0.32B_{\text{sp}}(0)$$

If LRP is triggered 2 years out of the most recent 3 year period, then the fishery is closed

$$F_{\text{targ}} - \text{estimated by model to keep stock around } B_{\text{targ}} = 0.15$$

$$B_{\text{threshold}} / B_{\text{trigger}} - \text{Biomass level below which more stringent rules for calculating TAC are applied} = 0.48B_{\text{sp}}(0)$$

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# (5) Harvest Control Rules (HCR)

## Traditional Approach

- Model analyses fishery data and assesses current status and productivity of the resource
- “**Best assessment**” provides RBC (Recommended Biological Catch)
- Reference-point HCR informs TAC recommendation and management action

## HCR Approach

- A **formula** for recommending the TAC, based on pre-specified data inputs
- **Empirical**, uses the data directly e.g recent upward or downward trends in abundance indices used directly as feedback  $\Rightarrow$  TAC changes in the same direction
- Tested by **simulation** to provide appropriate trade-offs, taking into account range of uncertainties

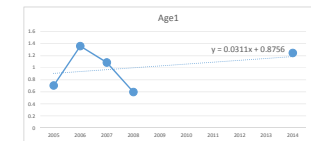
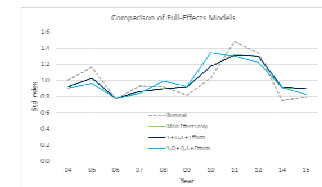
# How do we develop an empirical HCR?

1. **Management Objectives** - what are we trying to achieve?

2. **Data inputs** - Decide what data will be used and available in time for input to HCR formula each year: Need Catch, CPUE (TVH), CPUE (TIB) and Preseason survey data (0+, 1+) before end November

3. **Alternative Rules/Formulae = HCR candidates** - Consider some different ways of using trends/updates in some or all of these data to adjust the RBC upwards or downwards

4. **Simulation testing using Operating Model (OM)** - How well do different HCR candidates perform in terms of meeting objectives: quantify using **Performance Statistics** to show trade-offs, risk to resource, average RBC



(RBC = Recommended Biological catch)

# How do we develop an empirical HCR cont?

5. **Stakeholder feedback** - key performance measures, preferred trade-offs, tuning to achieve objectives, action needed to avoid penalties (eg if insufficient data) or want a bonus (eg do more surveys, increase precision and accuracy of data inputs)



6. **Final HCR or set of candidate HCRs** - Additional tuning to optimise performance, and test robustness using a range of tests

7. **Agreement re HCR formula to apply** - Use formula to recommend TAC once Preseason survey data available (unless there are exceptional circumstances)

8. **Stock assessment every 3 years** - Comprehensive check on resource - is recalibration of HCR needed?

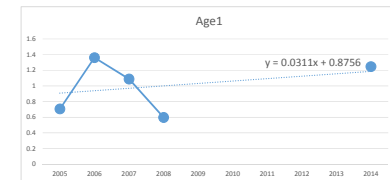


# Simple example...



All data collected, checked and analysed  
Preseason 1+ numbers are 10% greater than previous average

$$\begin{aligned} \text{HCR: TAC} &= (1+p) * \text{Average Catch} \\ \text{TAC} &= (1+0.1) * 680 = 748 \text{ t} \end{aligned}$$



Using this rule, we pre-tested that average catches and catch rates would be reasonably high, inter-annual variability acceptable and low risk of depletion of lobster population

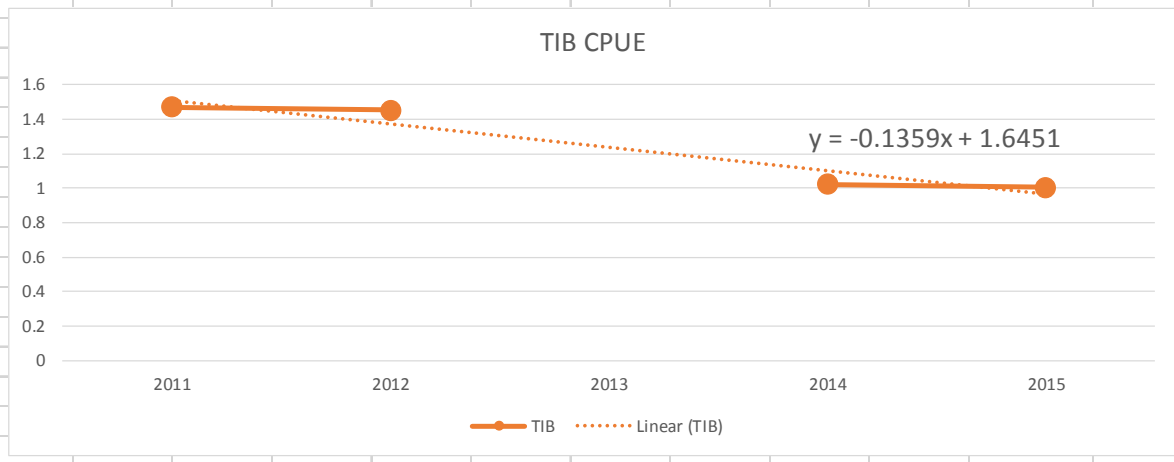


After 3 years, we conduct a stock assessment as a double-check, e.g. if the resource has dropped below the target level, adjust the HCR so that it ensures some rebuilding over the next 3 years

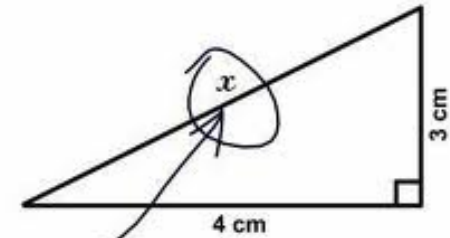


# Easy to do the maths and use the final formula!

EXCEL SPREADSHEET CALCULATIONS									
		wts		0.15	0.15			0.6	0.1
	TVH		TIB	slopeTVH	slopeTIB	Age1	Age0	slope_pre1	slope_pre0
2005	1.468256	2005	1.150579			2005	0.706113	2005	1.716919
2006	0.701436	2006	0.86629			2006	1.361401	2006	0.541224
2007	0.990124	2007	0.96345			2007	1.087655	2007	0.813392
2008	0.888407	2008	0.997269			2008	0.597608	2008	0.926754
2009	0.652056	2009	1.028565	-0.1445	-0.0113	2009		2009	
2010	1.233647	2010	1.311098	0.0726	0.0955	2010		2010	
2011	1.931844	2011	1.468828	-0.0113	0.1325	2011		2011	
2012	1.425147	2012	1.453196	0.2353	0.1352	2012		2012	
2013	1.243556	2013		0.1375	0.1432	2013		2013	
2014	0.951777	2014	1.022412	-0.1252	-0.0837	2014	1.247222	2014	1.061155
2015	0.615251	2015	1.004475	0.3107	-0.1359	2015	1.589523	2015	0.940556



3. Find x.



Here it is

Ocular Trauma - by Wade Clarke ©2005



# Summary re HCR

- Compare and select between candidate HCRs that perform satisfactorily
- Challenge and compare performance with robustness tests
- Can tune candidate HCRs to have the same average catch, and then compare risk and other performance measures OR can tune to have the same risk and compare what the average catch will be under each
- Stakeholders select between candidate HCRs based on clear criteria
- Useful to focus on few key performance statistics

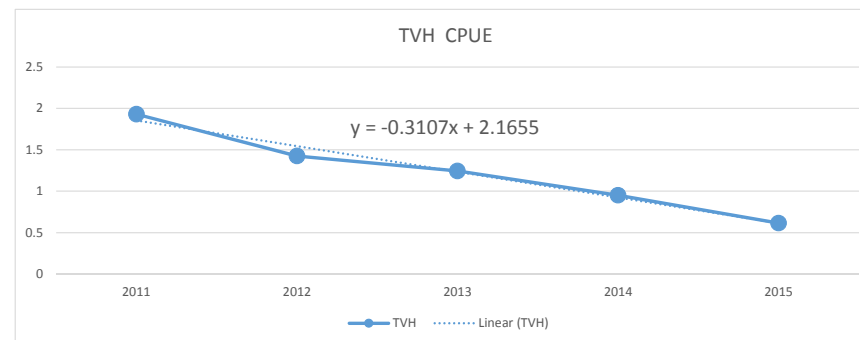
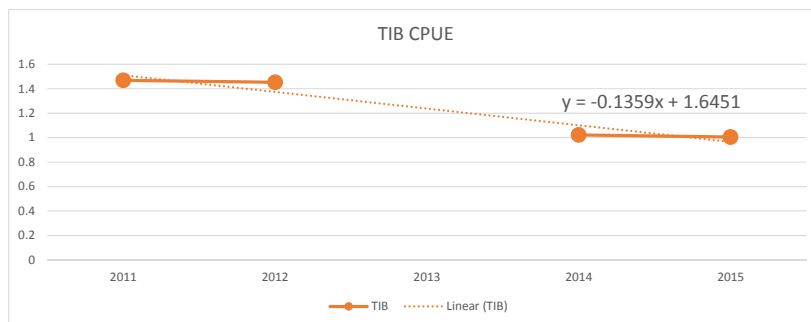
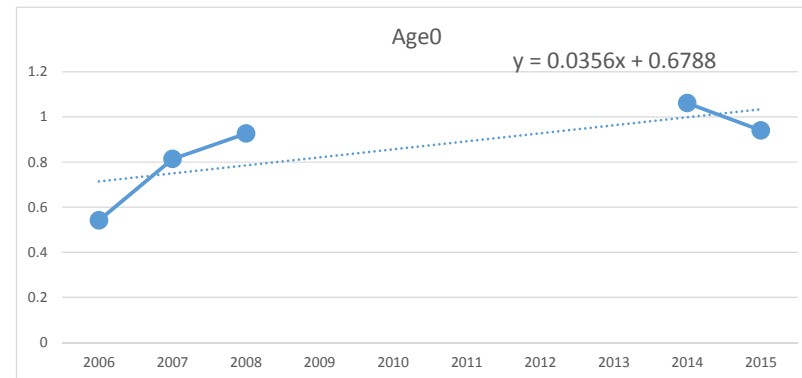
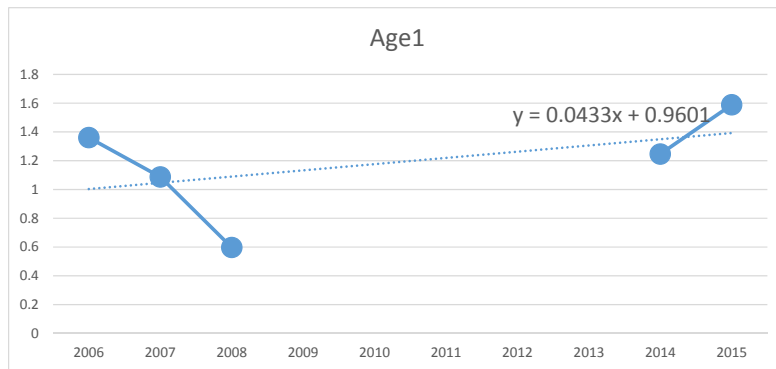
## **Greatest advantages are probably:**

- **A sound basis for setting TACs without compromising resource status**
- **Properly addressing concerns about scientific uncertainty through simulation testing to ensure that feedback secures reasonably robust performance across a range of plausible alternative resource dynamics**



# HCR regression slope example using both preseason survey indices and CPUE indices

TAC (2016) = 756 t

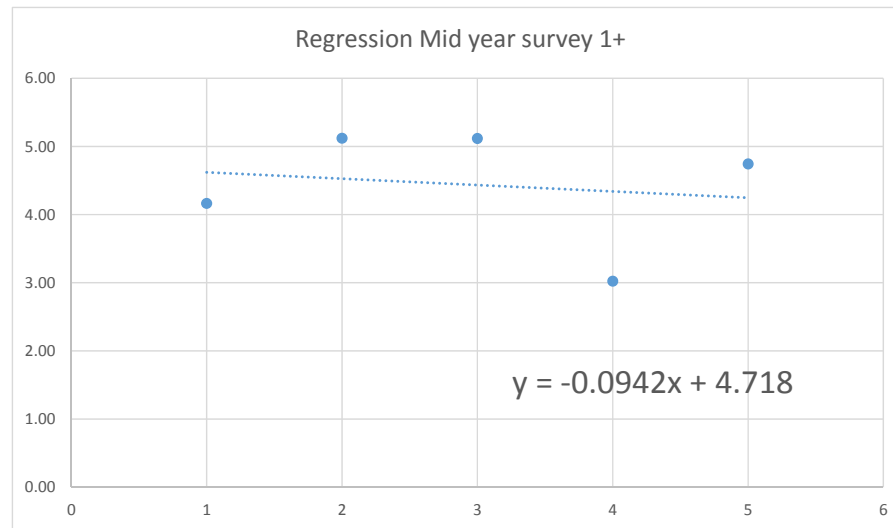


$$TAC_y = 0.6 \cdot (1 + s_y^{presurv,1}) \cdot \bar{C}_{y-4,y} + 0.1 \cdot (1 + s_y^{presurv,0}) \cdot \bar{C}_{y-4,y} + 0.15 \cdot (1 + s_y^{CPUE,TVH}) \cdot \bar{C}_{y-4,y} + 0.15 \cdot (1 + s_y^{CPUE,TIB}) \cdot \bar{C}_{y-4,y}$$



# Excel Spreadsheet Example will be available

Catch cohort	Data			Slope of last 5 yr midyr survey
	Pre 0+	Mid 1+	Pre 1+	
1986				
1987				
1988				
1989				
1990		1.66		
1991		3.54		
1992		3.95		
1993		5.08		
1994		2.34		0.2891
1995		5.64		0.2591
1996		3.50		-0.0350
1997		3.35		-0.2310
1998		3.97		0.0966
1999		1.78		-0.7255
2000		3.49		-0.1574
2001		3.06		-0.1040
2002		1.24		-0.4187
2003		2.51		-0.0796
2004		2.83		-0.1880
2005		2.72		0.0908
2006		1.19	1.69	0.0127
2007	2.14	5.41	2.94	0.4155
2008	0.75	3.83	2.69	0.4694
2009	0.97	2.09	1.33	0.1379
2010	1.21	3.44		0.1172
2011		4.17		-0.2877
2012		5.12		0.4657
2013		5.12		0.7746
2014		3.02		0.0127
2015		4.74	2.90	-0.0942



# Feedback from Nokome Bentley

1. Initial trials using the preseason 0+ index to derive a preliminary/forecast TAC
  - *This does indeed look useful but obviously caution is needed given the very low number of data points (slide 58)*
2. Modifying the code to add separate implementation errors
  - *Implementation errors for each sector seems like a good idea.*
3. In the next round of testing, I'll likely use 4 operating models to integrate results over
  - *It would be good to look at different values of autocorrelation in recruitments. This is likely to highly influential for HCR performance - particularly if there is a clause to close the fishery if biomass falls below 0.32B0 in 2 out of 3 years. Perhaps calculate the autocorrelation in the model's estimated rec devs and simulate that value +/- 50%.*
  - *Do you have a perf stat summarizing the frequency of fishery closure?*

# Feedback from Nokome Bentley cont.

4. There was general support for including all 4 indices... Any comments re weightings?

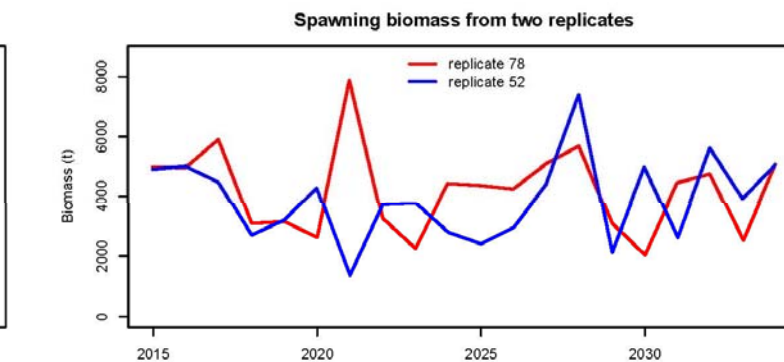
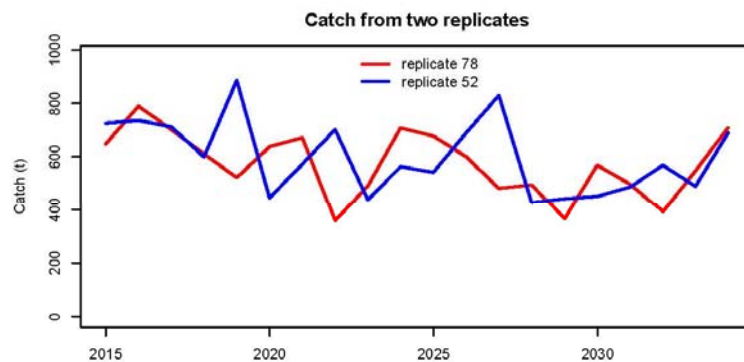
- *I think that the choice of weightings should really be determined by MSE results - i.e. run a range of different weightings and see which gives best perf stats. I would be surprised if weightings of less than 0.6 for preseason 1+ would perform well.*
- *The simple slope rule just using preseason 1+ seems the easiest to understand and I would be surprised if adding in the other indices or catch averages helps improve performance. I would certainly encourage this HCR to be further tested with a range of slopes and max catches.*

5. Agreed to focus on current situation first where we only have preseason survey; as next step will do testing for other tiers to quantify how much better we could do with a midyear survey added, or alternatively what the penalties are if missing or poor data inputs

- *This would be an interesting and important step to test alternative tiers of info.*

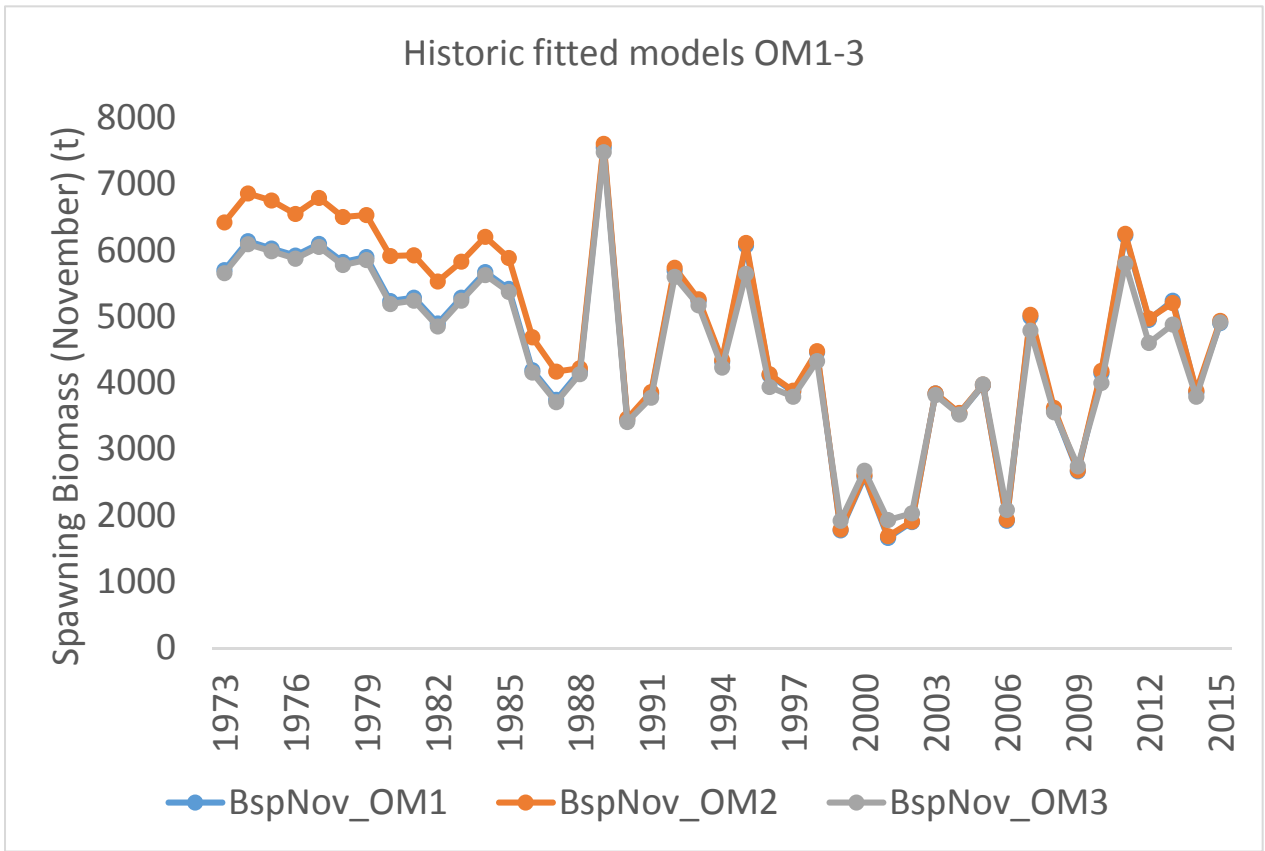
# Operating Model

- Stock assessment model
- Explore uncertainties re model specification and fit to data
- Generate "future" survey and CPUE data, with observation error added
- Use same random numbers to test every candidate HCR



Generate 200 replicates = plausible future trajectories

# Reference Set of 4 Operating Models (OMs)



1. Base
2. Different stock-recruit steepness  $h$
3. Hyperstability = 1
4. Autocorrelated poor recruitment

200 replicates  
x 4 Oms = 800  
simulations



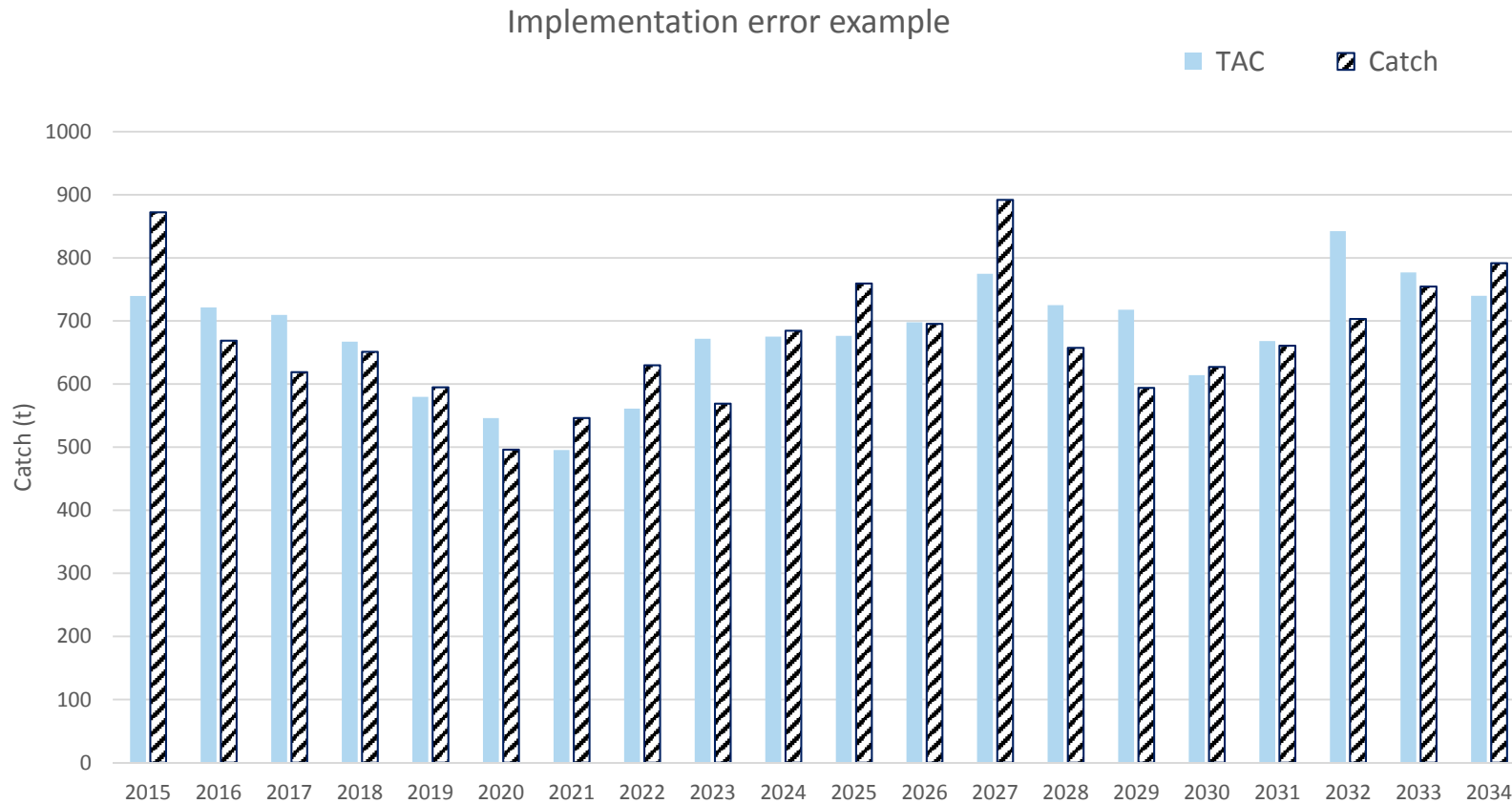
# Quantifying Uncertainty

Several types of Uncertainty:

- **Process uncertainty** (e.g. recruitment variability, stock-recruit relationship, natural mortality)
- **Observation uncertainty** (e.g. surveys, measured by CVs\* for abundance estimates).
- **Model uncertainty** (do alternative models fit the data adequately?)
- **Estimation uncertainty** – given a model and some data, how well do the data determine the parameters (and predictions) of the model
- **Implementation uncertainty** – given a management decision, how well is it enforced or implemented?

CV = Coefficient of Variation = Std Deviation/Mean

# Implementation uncertainty



## Random example from single realisation

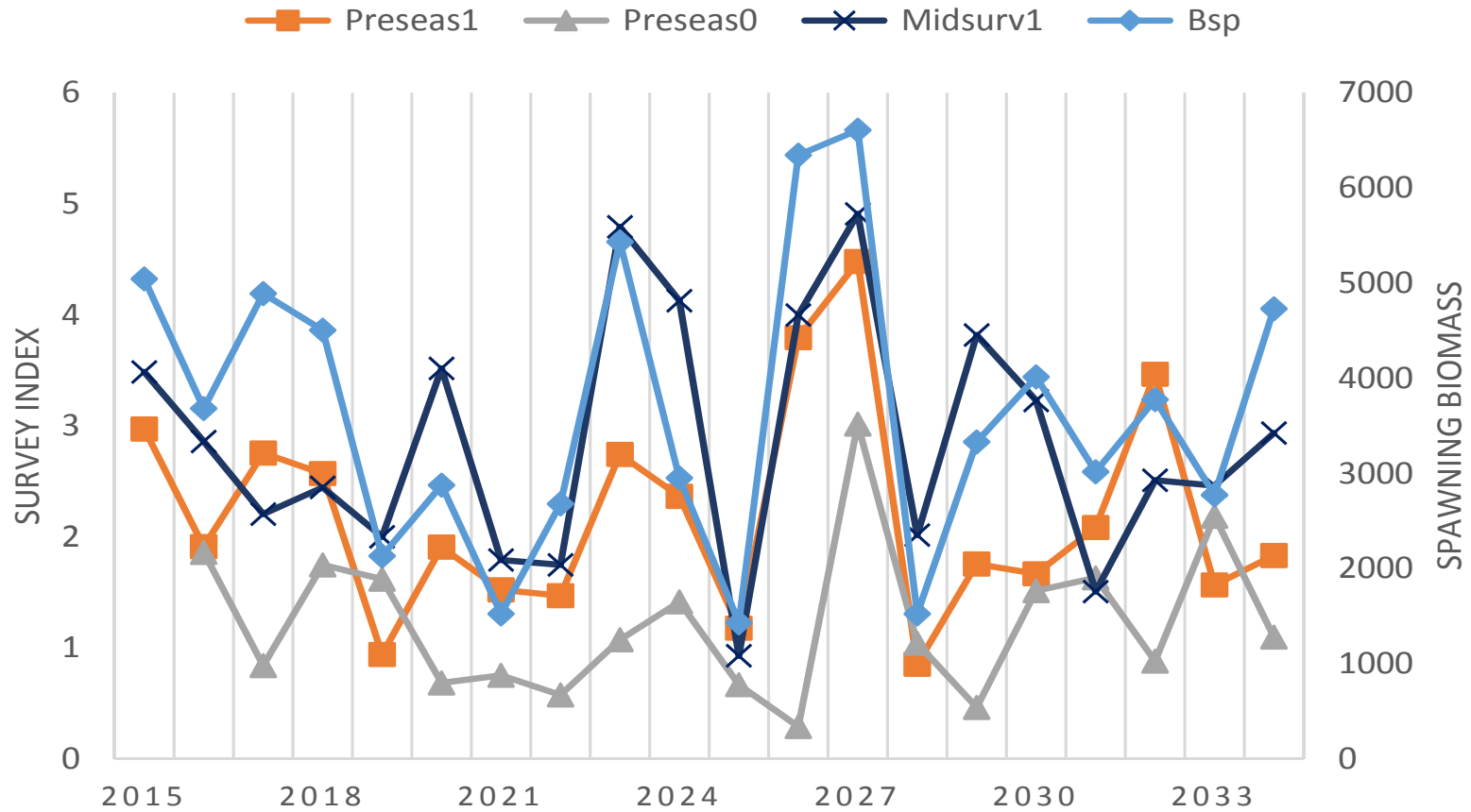
**Different implementation error magnitudes for each of the three sectors based on recent observed catches, and hence base case values are set at  $\sigma(\text{TIB})$  (0.06),  $\sigma(\text{TVH})$  (0.04) and  $\sigma(\text{PNG})$  (0.1)**

<u>Year</u>	<u>Catch</u>	<u>Catch TIB</u>	<u>Catch TVH</u>	<u>Catch PNG</u>	<u>TAC-Catch actual</u>	<u>TAC</u>
2015	860.326	322.688	238.254	299.384	-91.3262	769
2016	741.626	283.353	219.54	238.733	38.673	780.299
2017	668.417	256.438	201.122	210.857	64.0464	732.464
2018	710.379	270.427	207.388	232.565	11.7605	722.14
2019	698.723	265.005	201.202	232.516	-12.0248	686.698
2020	582.892	223.013	173.483	186.396	38.6679	621.56
2021	644.757	243.179	182.001	219.577	-41.1621	603.595
2022	599.696	225.854	168.423	205.419	-45.1733	554.522
2023	550.313	211.53	166.875	171.908	64.58	614.893
2024	705.677	267.884	203.877	233.916	-6.49961	699.177
2025	768.477	289.408	215.794	263.276	-58.1303	710.347
2026	679.866	258.415	197.348	224.102	1.59127	681.457
2027	756.608	284.356	210.969	261.282	-69.0552	687.553
2028	712	272.452	212.04	227.508	48.4021	760.402
2029	629.369	242.28	192.034	195.055	84.918	714.287
2030	708.714	268.889	204.342	235.484	-9.99769	698.717
2031	582.965	221.702	169.557	191.706	4.23174	587.197
2032	556.773	214.215	169.493	173.065	71.467	628.24
2033	742.493	282.749	217.042	242.702	14.6933	757.186
2034	712.088	269.206	202.685	240.197	-31.8324	680.255

Example of model output for a single replicate, showing the difference between the TAC and the actual catch when assuming implementation error, and example values for individual sectors from a single replicate (from 200) for OM1

# Observation Uncertainty

1 realisation



# Performance Statistics

## Resource status-related

- $B_{2034}^{sp} / B_{2015}^{sp}$  the expected median spawning biomass at the end of the projection period, relative to the current 2015 level.
- $B_{2034}^{sp} / B_{1973}^{sp}$  the expected median spawning biomass at the end of the projection period, relative to the starting (1973) level (used as a proxy for K). **Tuned to target level; avoid Blim**
- Risk of depletion: percentage of all individual runs that ended below (a) 32% and (b) 48% of Unfished biomass **How risky?**

## Utilisation-related

- Average catch:  $\bar{C} = \frac{1}{20} \sum C_y$  over 2015 to 2034. **Average / target level**
- Catch variability  $\frac{1}{20} \sum C_y / \bar{C}$  **How variable?**
- Implementation error – difference between TAC and actual catch over the projection period

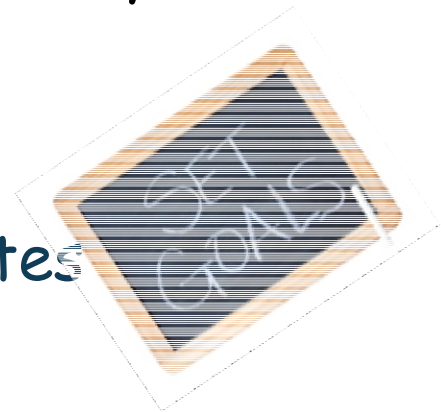
*Other examples also provided for stakeholder input and consideration*

# Comparing Performance Statistics

- Key variables
- Biomass
- Catch and AAV (Annual average variability)
- Risk

## Results:

- Medians of 800 stochastic replicates
- 70<sup>th</sup> / 90<sup>th</sup> and 10<sup>th</sup> percentiles
- Range of values
- Average and trend over years



**Robustness tests - your inputs needed**



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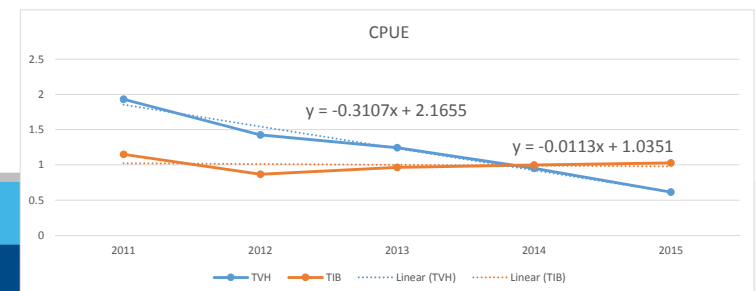
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# HCR Candidates

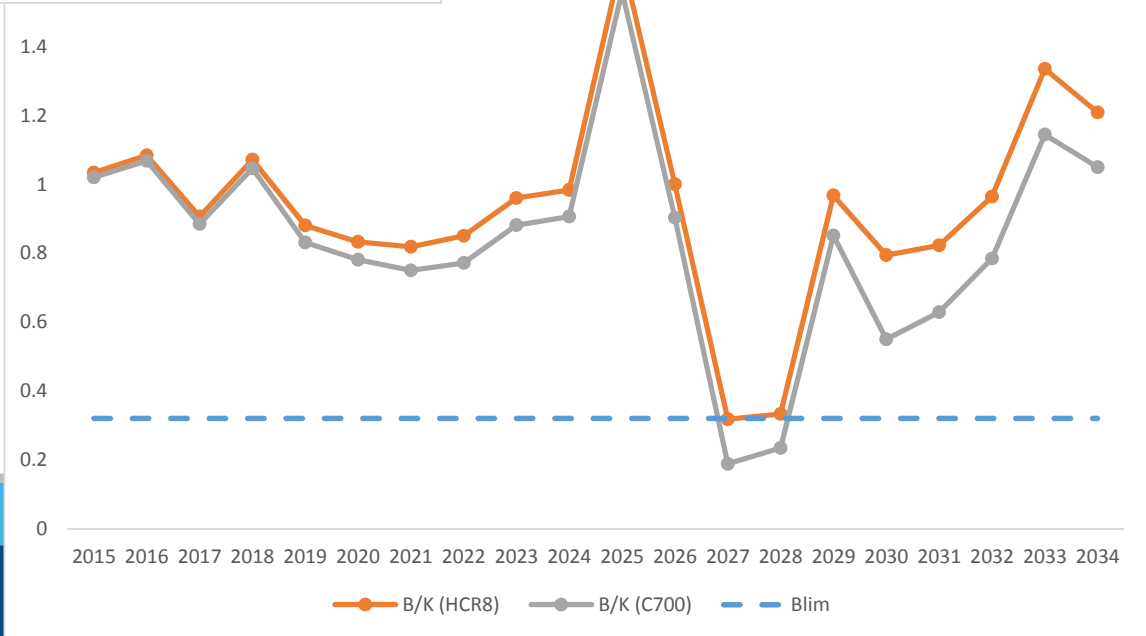
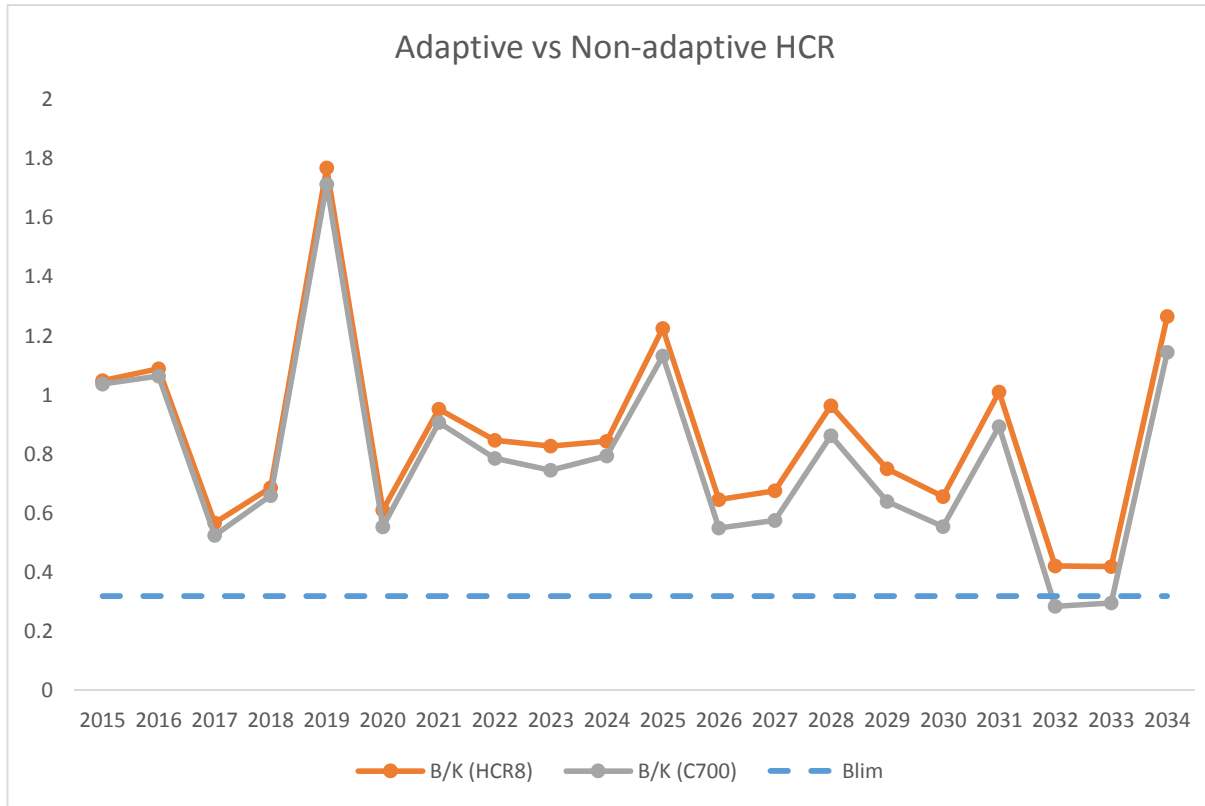
	Name	Description	Details	Forecast
1	HCR1	Constant Catch 600		
2	HCR2	Constant Catch 800		
3	HCR3	Constant Catch 400		
4	HCR4	Pre1	$(1+\ln(\text{slope\_Pre})) * \text{Catch\_ave\_5yrs}$	0.85
5	HCR5	Pre2	$(1+\ln(\text{slope\_Pre})) * \text{Catch\_ave\_3yrs}$	0.85
6	HCR6	Pre3	$(1+(\text{slope\_Pre})) * \text{Catch\_ave\_5yrs}$	0.85
7	HCR7	Pre4	$(1+(\text{slope\_Pre})) * \text{Catch\_ave\_3yrs}$	0.85
8	HCR8	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.6;0.1;0.15;0.15)	0.85
9	HCR9	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.8;0.1;0.05;0.05)	0.85
10	HCR10	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.6;0.1;0.15;0.15)	0.85
11	HCR11	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.8;0.1;0.05;0.05)	0.85
12	HCR12	No PreSeas	(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.0;0.0.0;0.5;0.5)	0.85
	<b>Revised HCR</b>			
13	RHCR1	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.6;0.1;0.15;0.15)	1
14	RHCR2	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.6;0.1;0.15;0.15)	1
15	RHCR3	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.6;0.3;0.05;0.05)	1
16	RHCR4	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.6;0.3;0.05;0.05)	1
17	RHCR5	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.8;0.1;0.05;0.05)	1
18	RHCR6	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.7;0.2;0.05;0.05)	0.85
19	RHCR7	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.7;0.1;0.1;0.1)	0.85
20	RHCR8	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.5;0.1;0.2;0.2)	0.85
21	RHCR9	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.41;0.21;0.19;0.19) - <b>inverse of sigma</b>	0.85
22	RHCR10	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Ln(slopes last 5 yrs); Catch_ave= <b>665t</b> ; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.6;0.1;0.15;0.15)	1
23	RHCR11	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.6;0.1;0.15;0.15); HOCKEY RULE	1
24	RHCR12	Constant Catch 700		

# HCR Alternative Candidates

1. Constant Catch (e.g. 400t/yr) – doesn't perform well
2. Simple slope – based on latest Preseason survey index relative to mean value – showed previously doesn't perform well
3. Regression slope applied to last 5 years' Preseason 1+, 0+, CPUE data with different relative weightings for different series
4. Log regression slope – as above but take natural logarithm of indices to dampen variability
5. Add constraints (limit on upper and lower levels/changes)
6. Add empirical reference points - optional



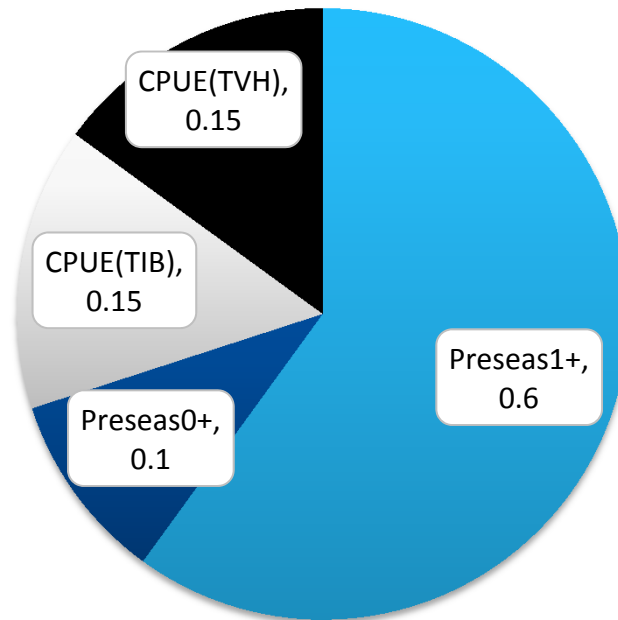
# Adaptive HCR vs non-adaptive



# Results

## Example rHCR1:

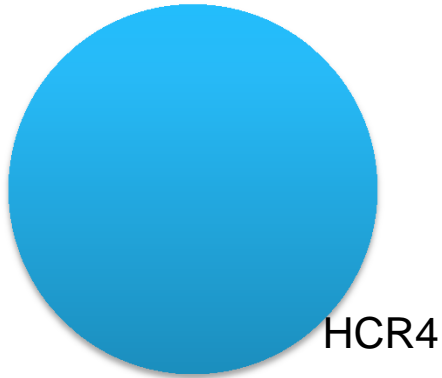
Regression slope example; log of slopes; all indices (pre1+, pre0+, CPUE\_TVH, CPUE\_TIB) with default weightings (0.6, 0.1, 0.15,0.15)



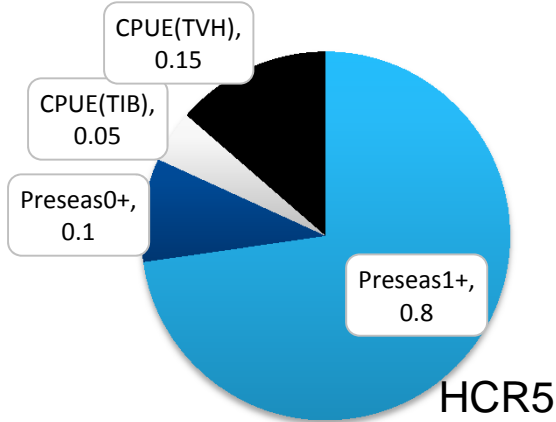
Relative weightings of each data series in contributing to calculating RBC (Recommended Biological Catch) in formula

# Range of alternative weightings tested

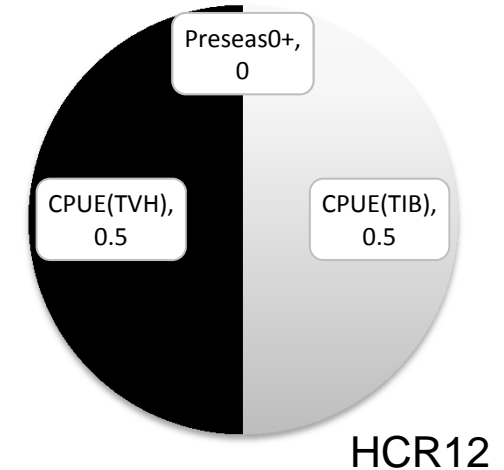
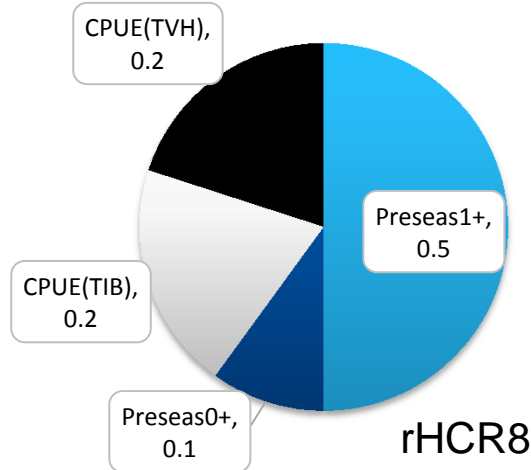
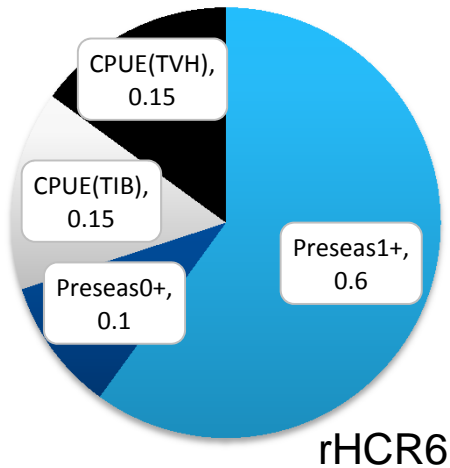
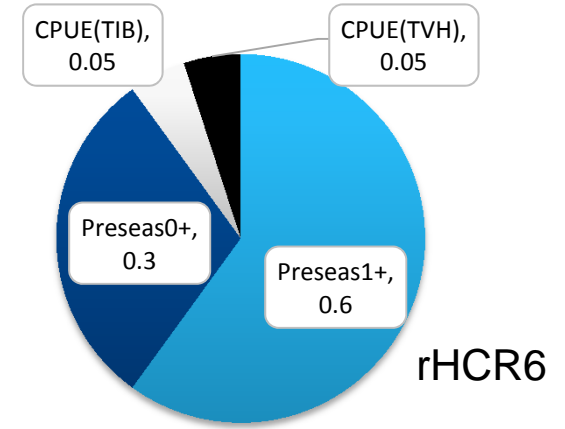
**ONLY PRESEASON 1+**



**HIGH PRESEASON 1+**



**MORE PRESEASON 0+**



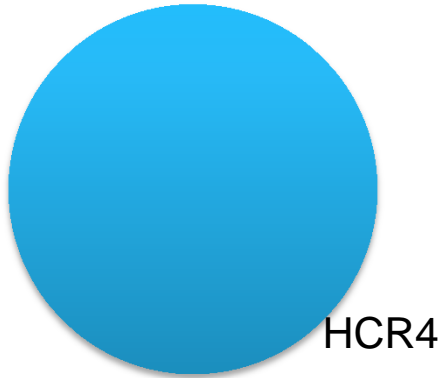
**BALANCED OPTION**

**HIGHER CPUE WEIGHTING**

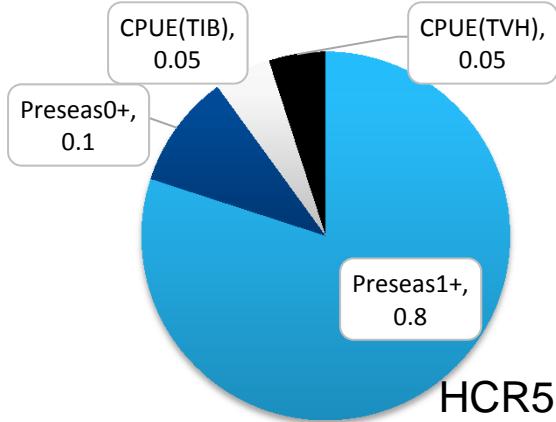
**NO PRESEASON**

# Range of alternative weightings tested

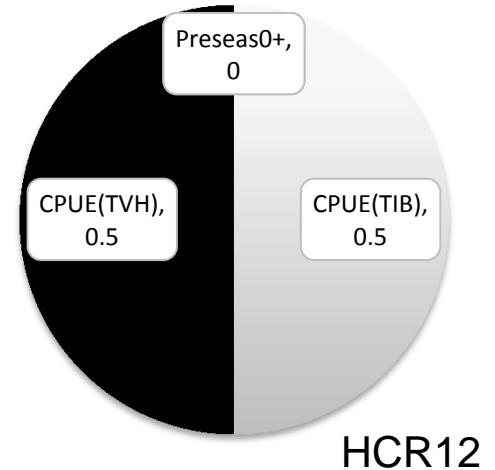
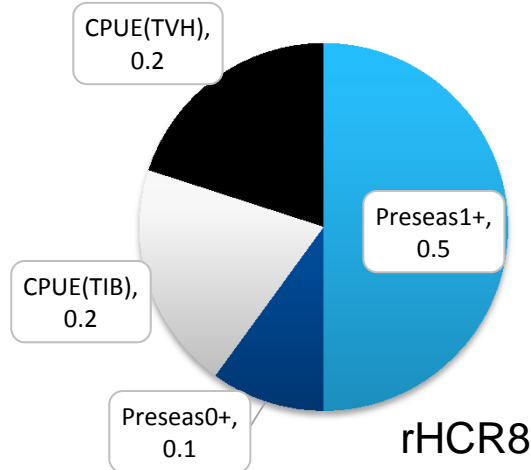
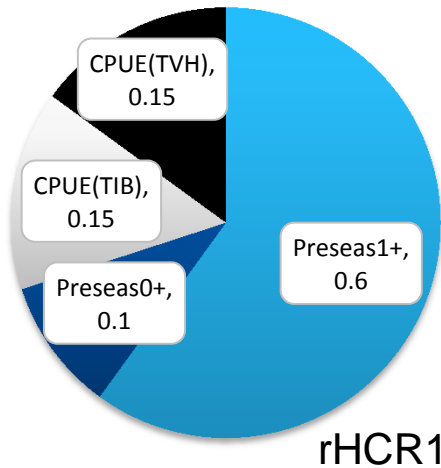
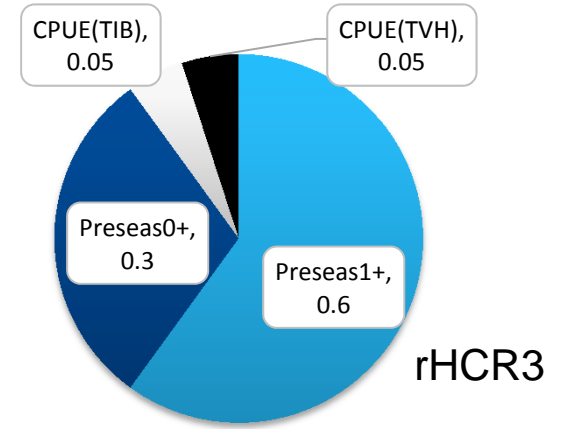
**ONLY PRESEASON 1+**



**HIGH PRESEASON 1+**



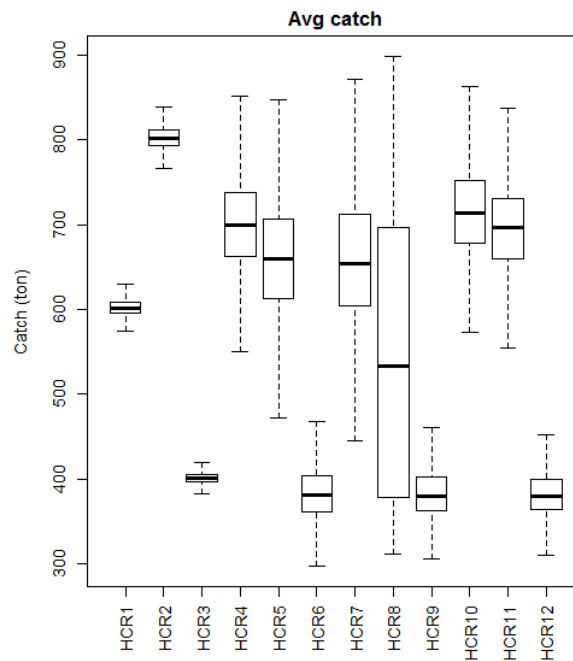
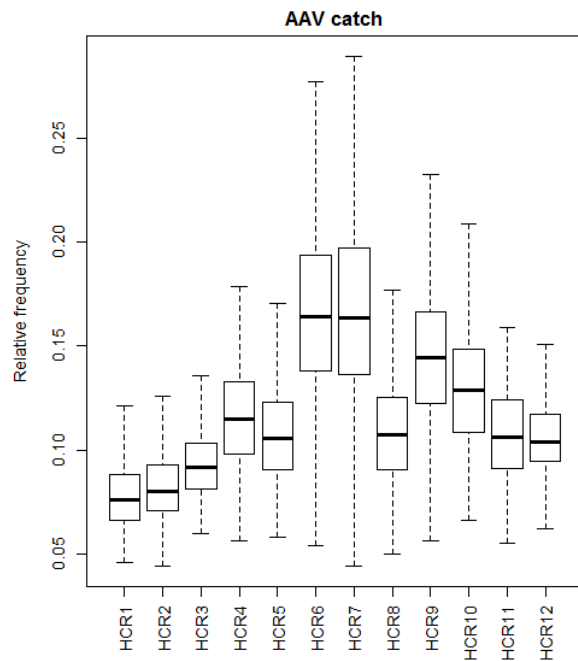
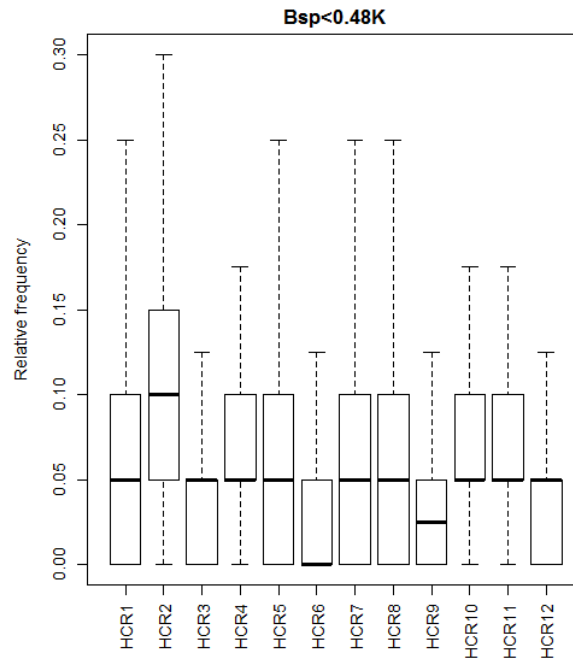
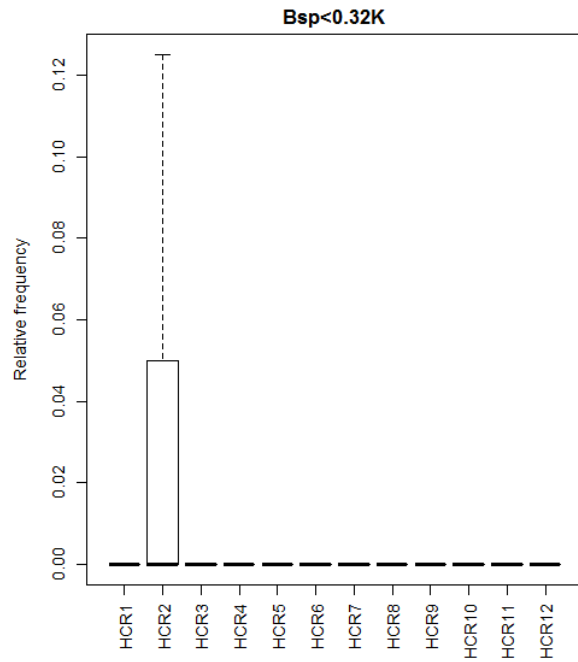
**MORE PRESEASON 0+**



**BALANCED OPTION**

**HIGHER CPUE WEIGHTING**

**NO PRESEASON**



## HCR initial set

1-3 (Constant Catch) – risky or poor performance

4-5 (Preseason only) – not bad but higher risk than some others

6-7 (Preseason only) – high AAV with no log and low catch

12 (NO Preseason) – worst performance



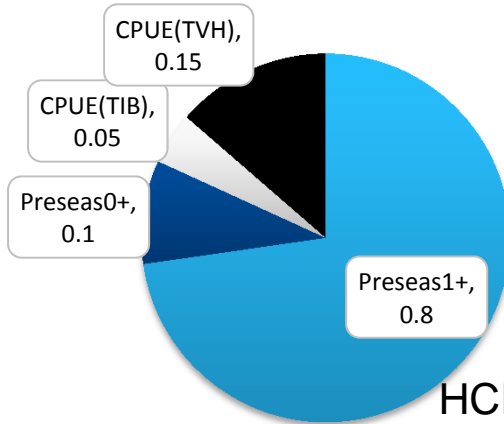
# Range of alternative weightings tested

ONLY PRESEASON 1+



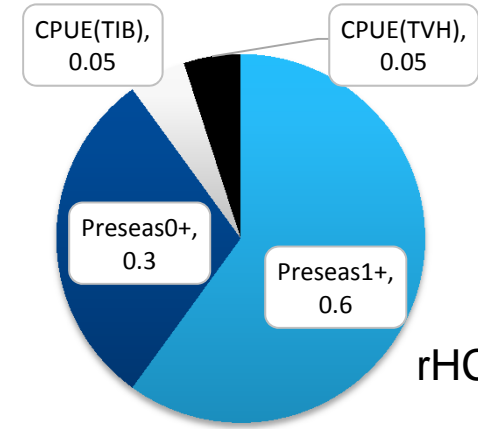
HCR4

HIGH PRESEASON 1+

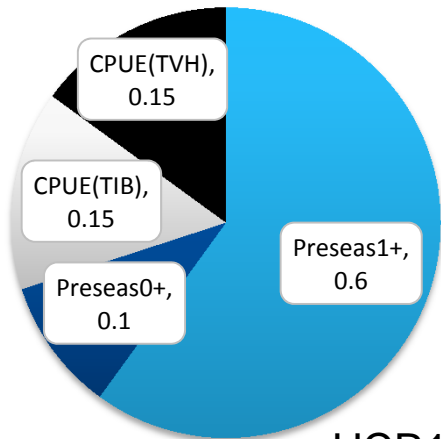


HCR5

MORE PRESEASON 0+

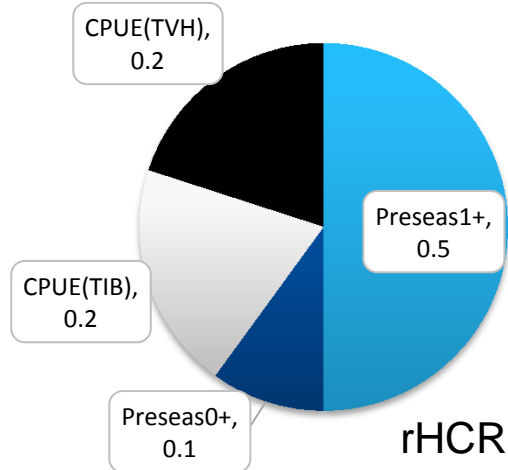


rHCR3



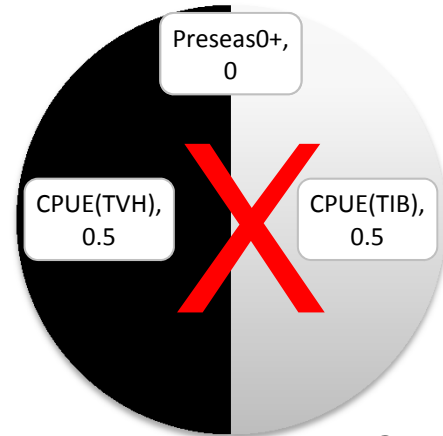
rHCR1

BALANCED OPTION



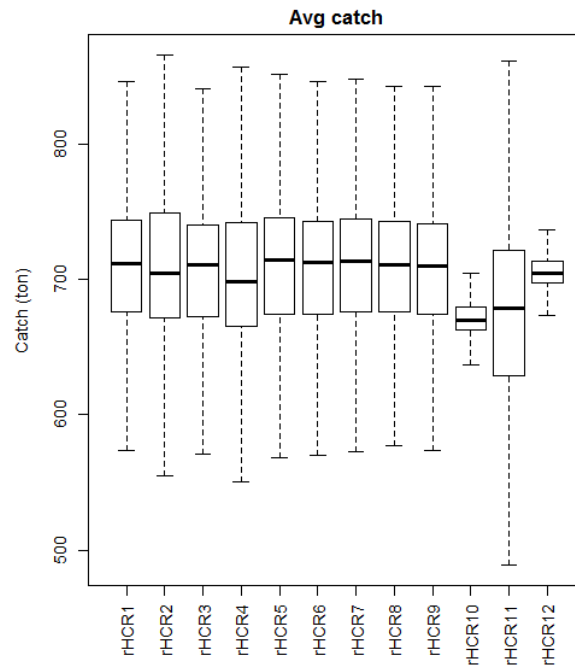
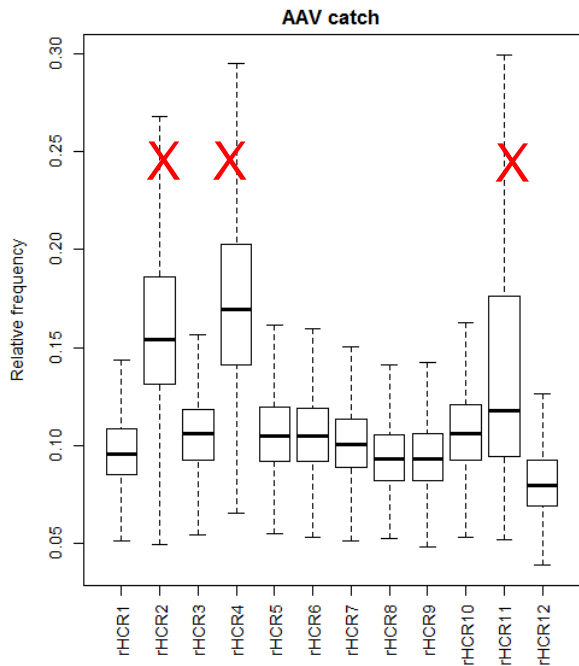
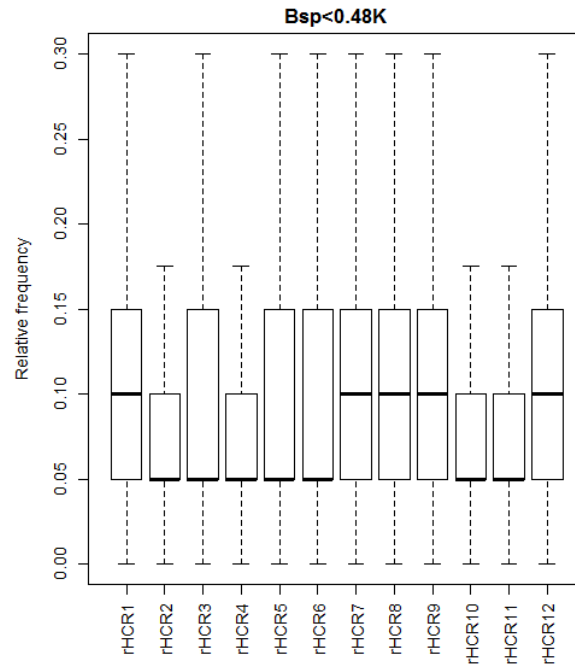
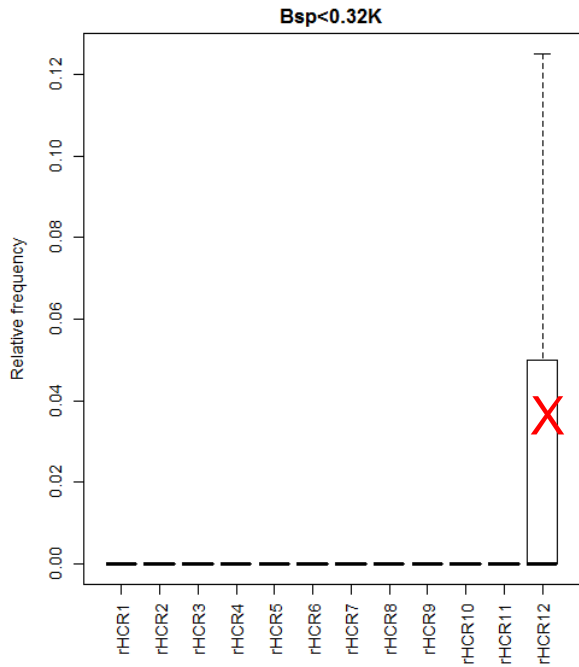
rHCR8

HIGHER CPUE WEIGHTING



HCR12

NO PRESEASON

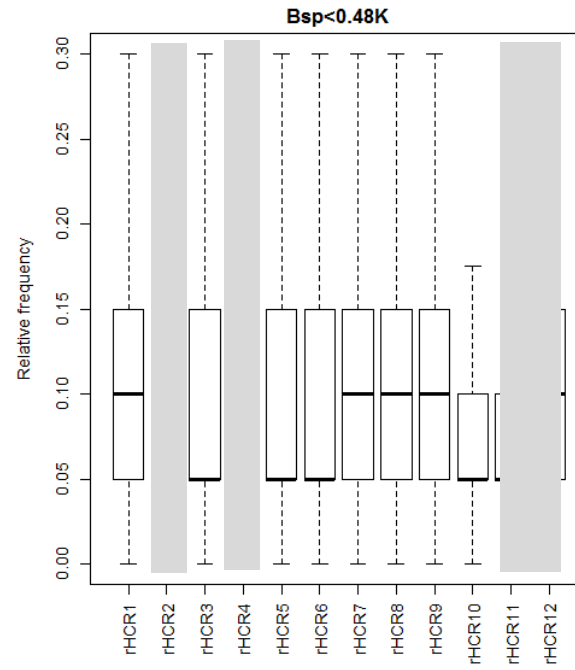
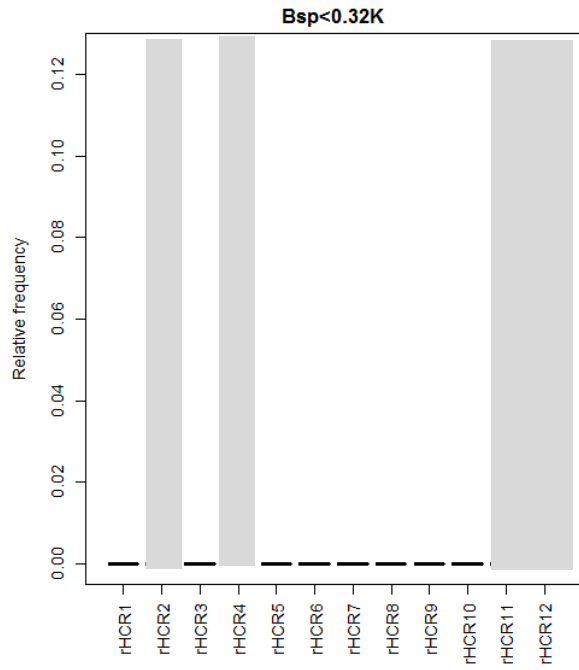


## X LOSERS

2 & 4 (no log)  
– too variable

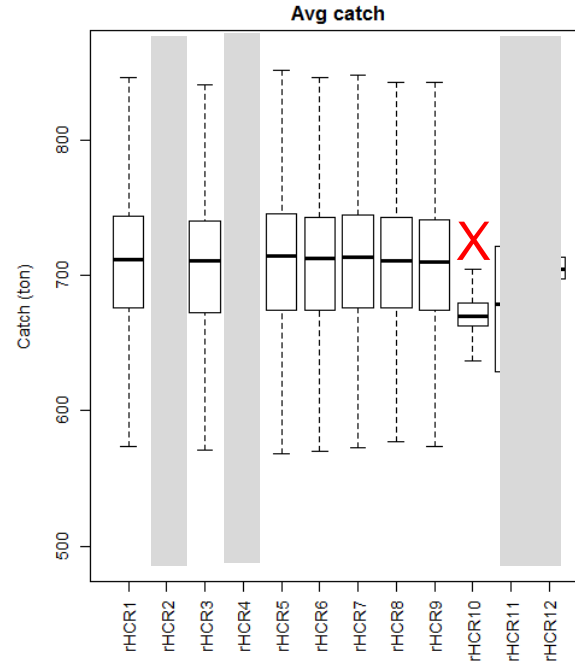
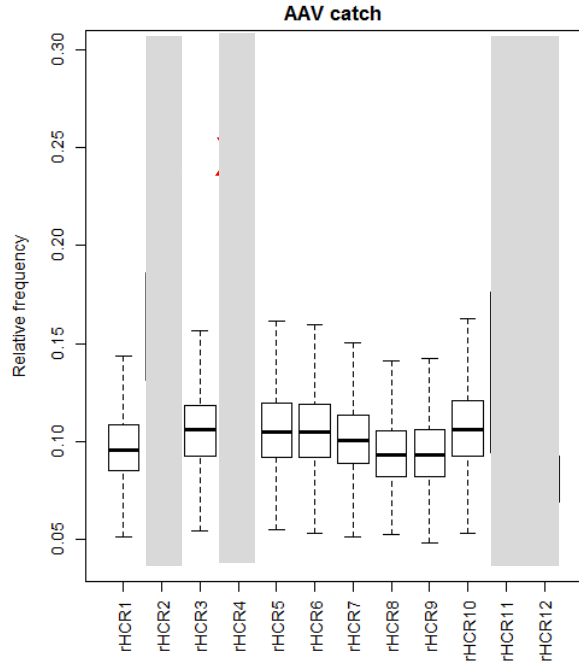
11(hockey rule  
based on  
survey values)  
– too variable

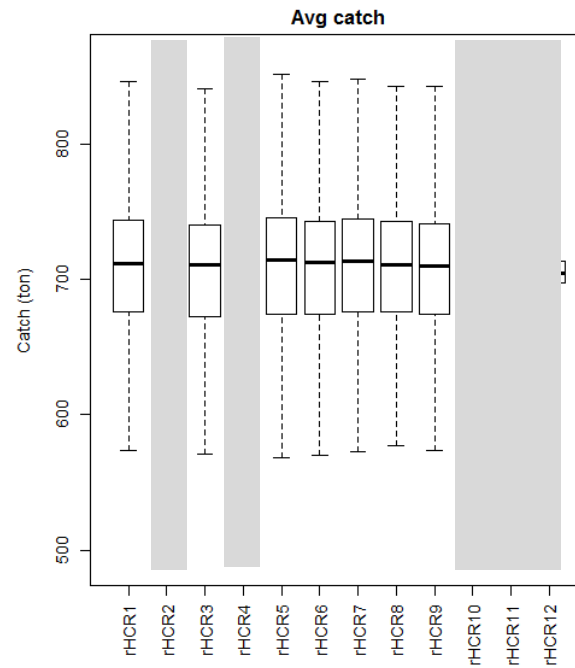
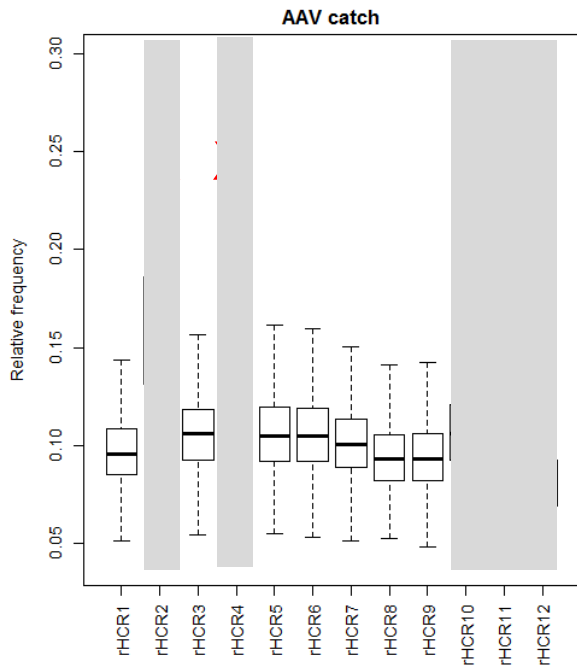
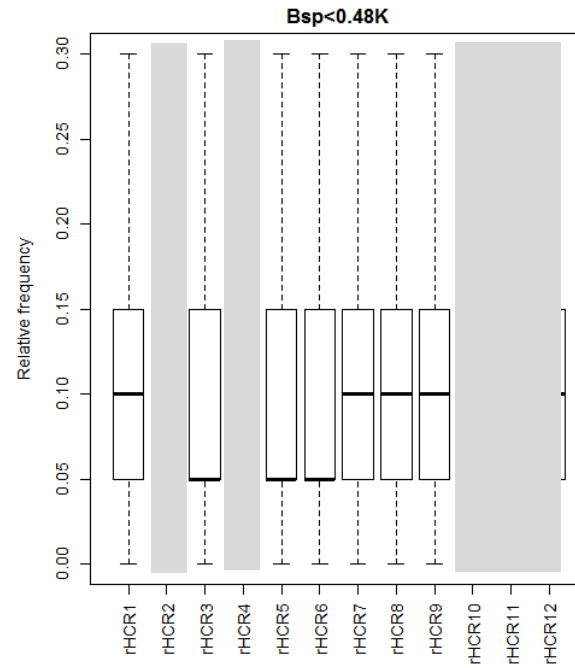
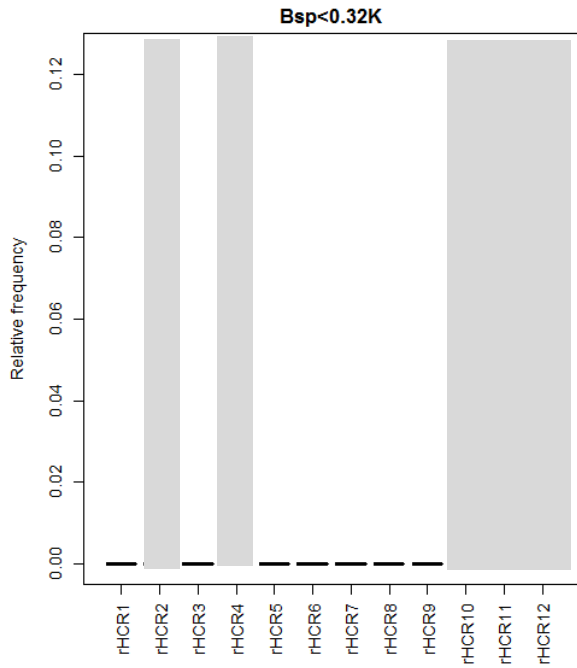
12 (constant  
catch) – too  
risky



**X MORE  
LOSERS**

10 (constant  
average catch)  
– too low





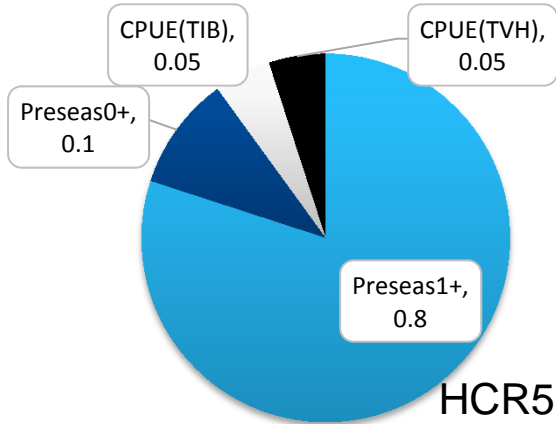
## POTENTIAL CANDIDATES

Slightly more risky for similar catch:  
1 ; 7 ; 8 ; 9

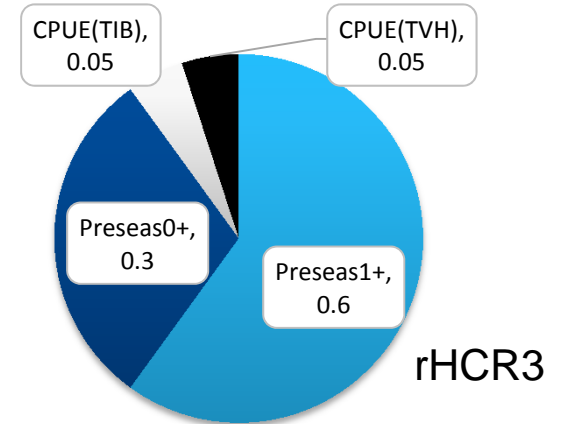
Slightly lower risk:  
3 (Higher Preseas 0+)  
5 (Higher Preseas 1+)  
6 (Higher Preseas)

# Range of alternative weightings tested

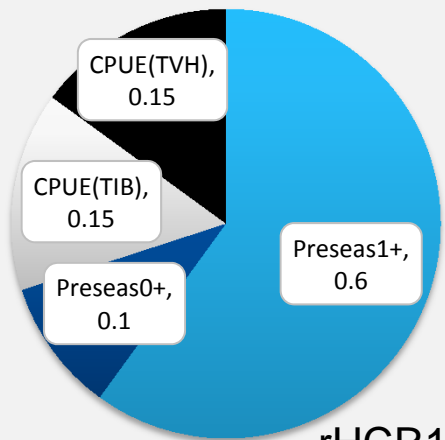
## HIGH PRESEASON 1+



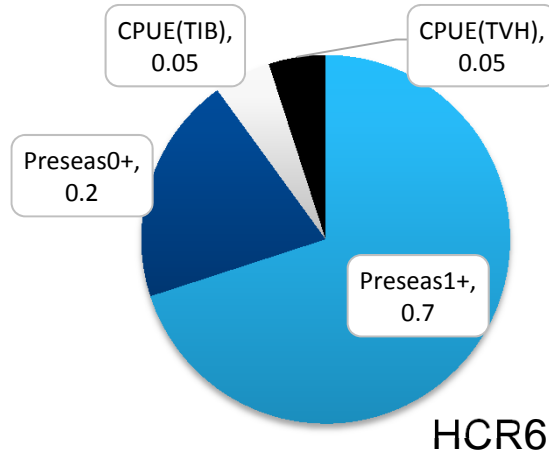
## MORE PRESEASON 0+



But recently reduced no. survey sites so more uncertain?

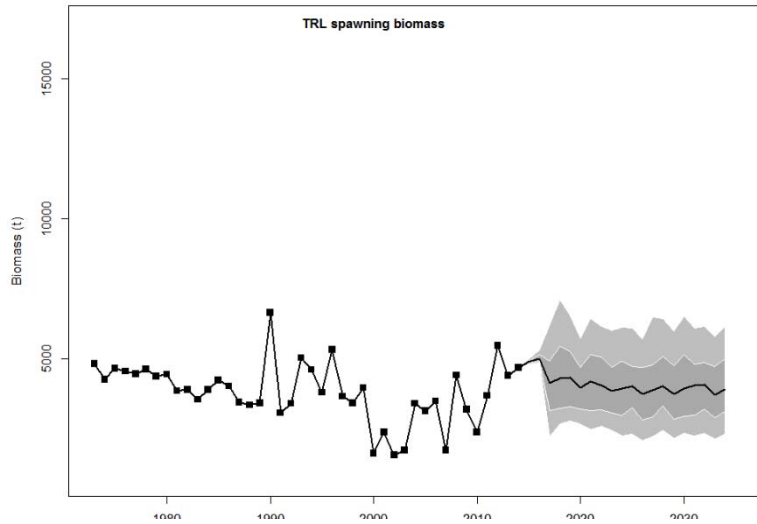


## BALANCED OPTION

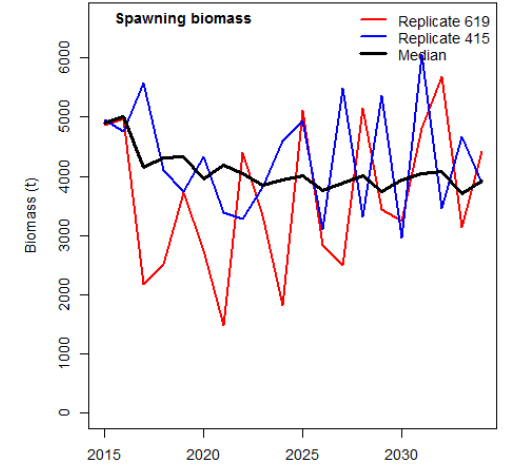
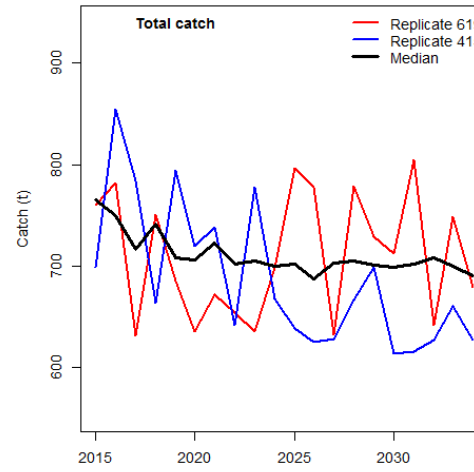


# rHCR5

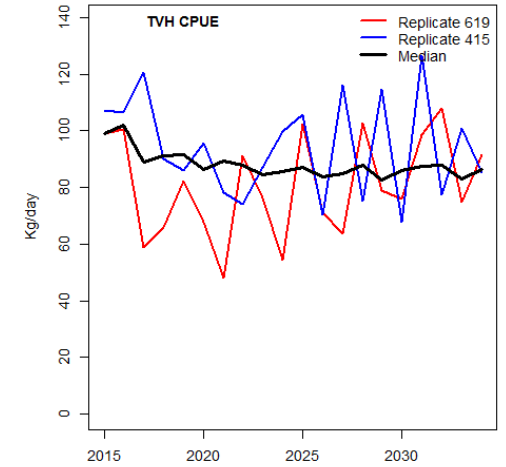
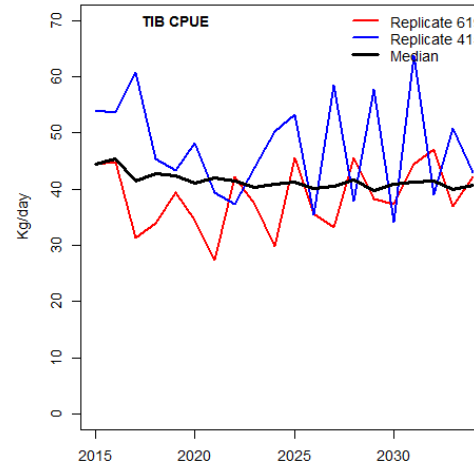
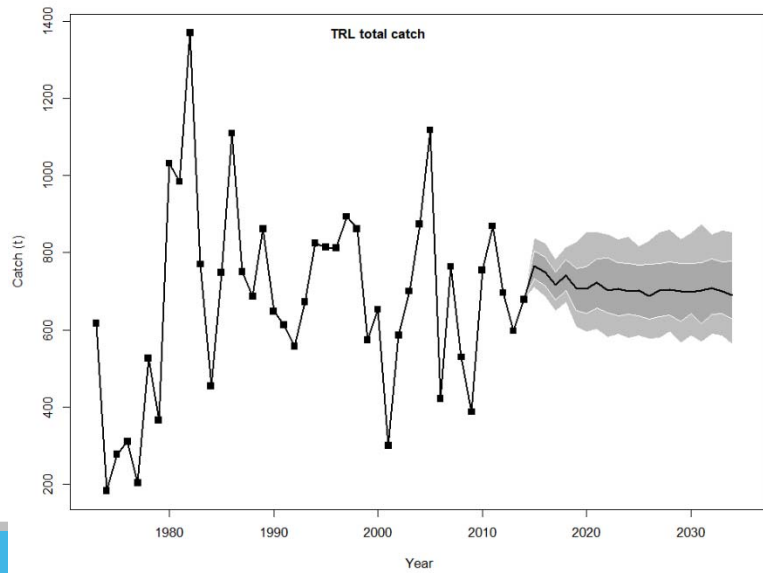
rHCR5



rHCR5

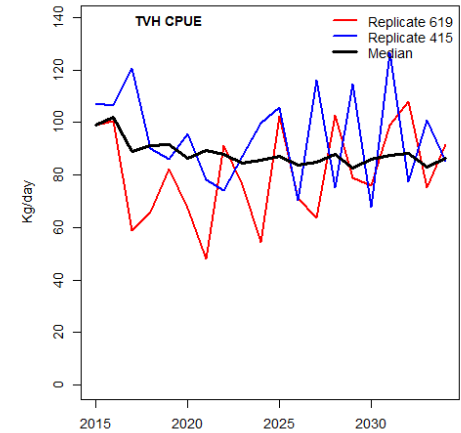
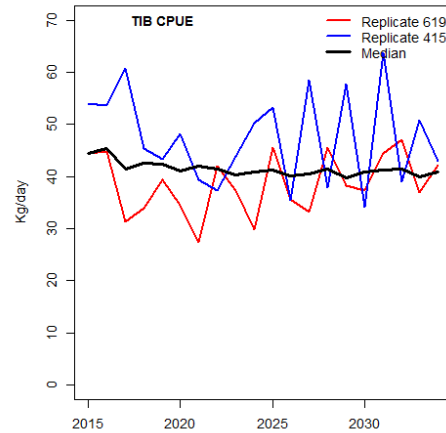
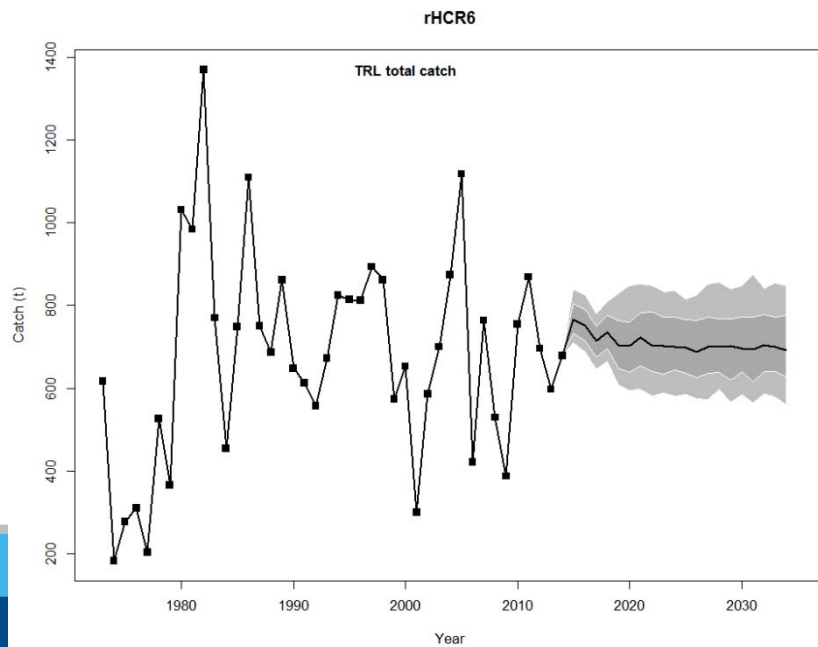
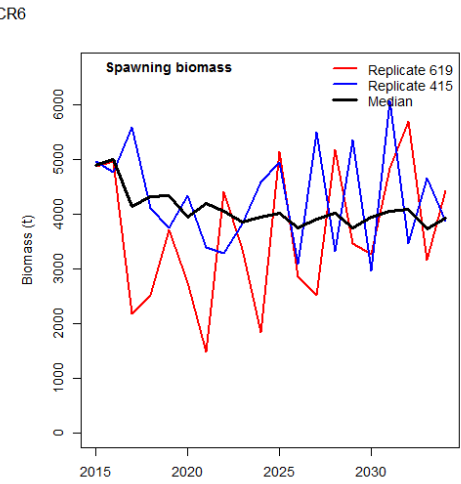
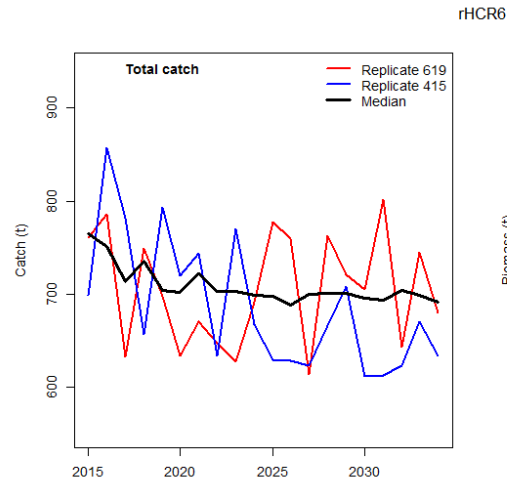
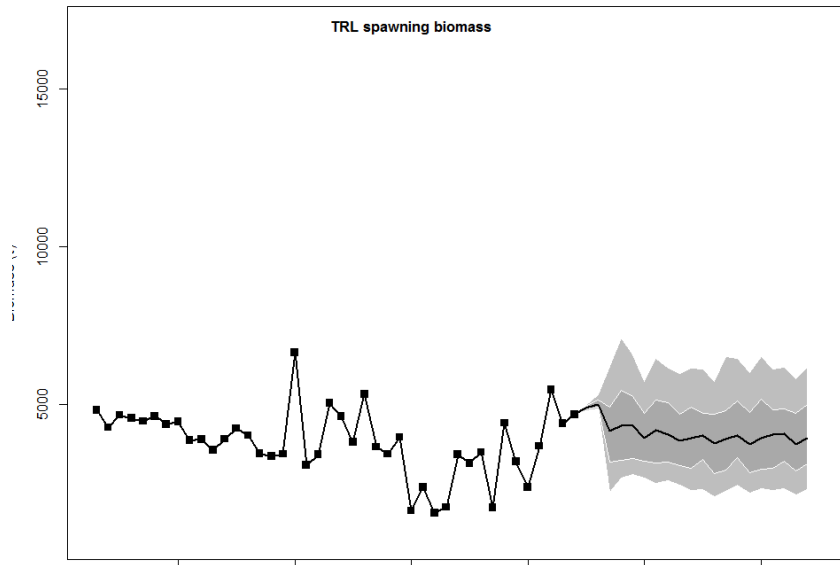


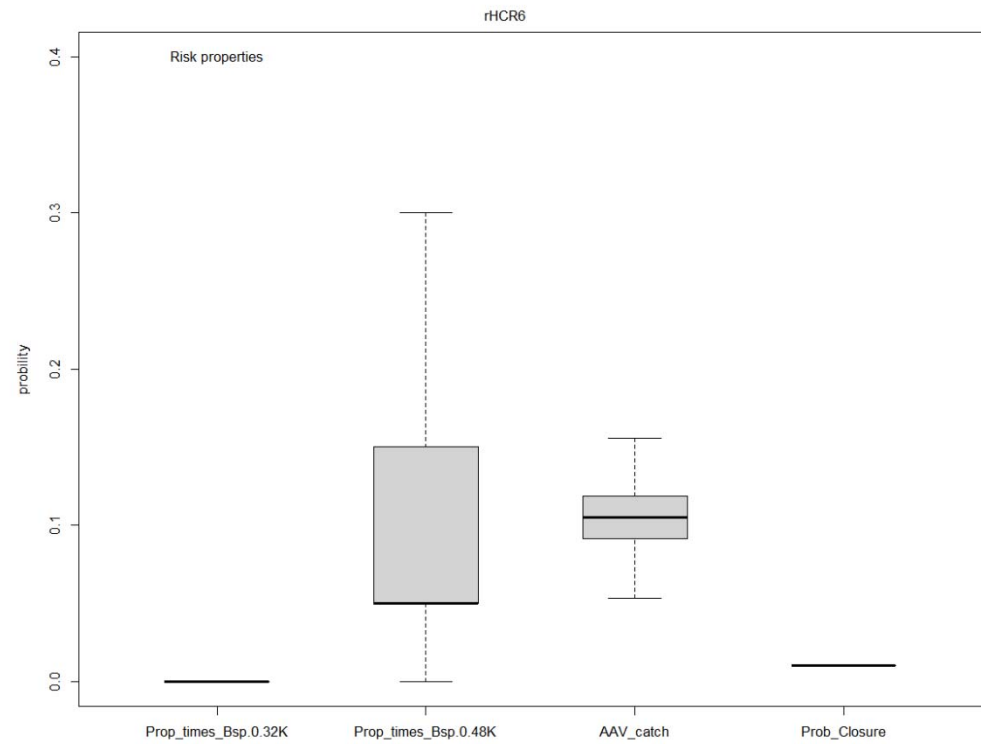
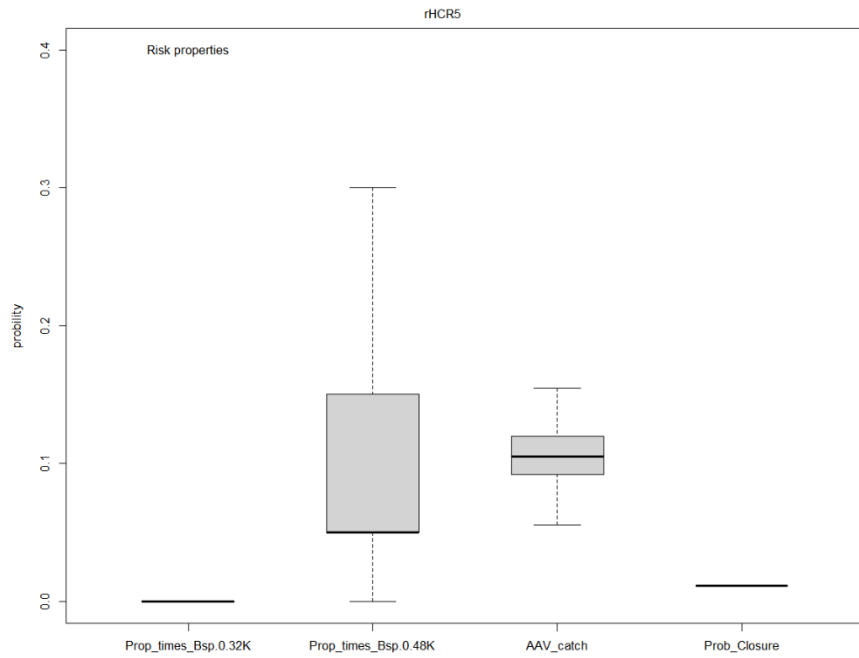
rHCR5



# rHCR6

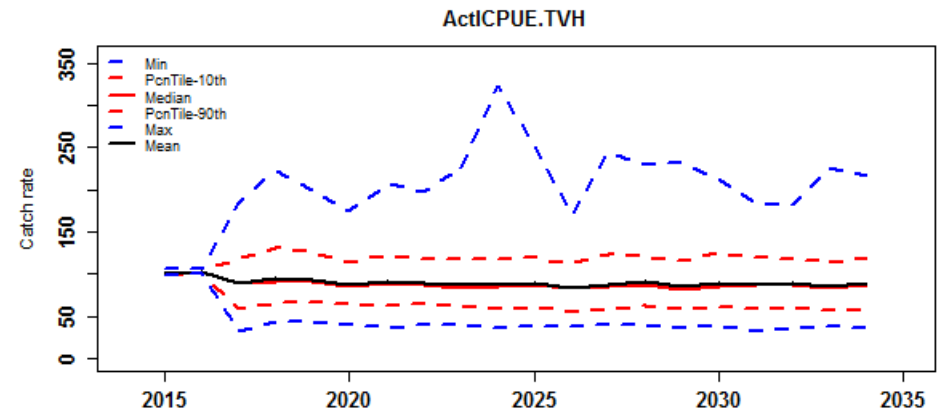
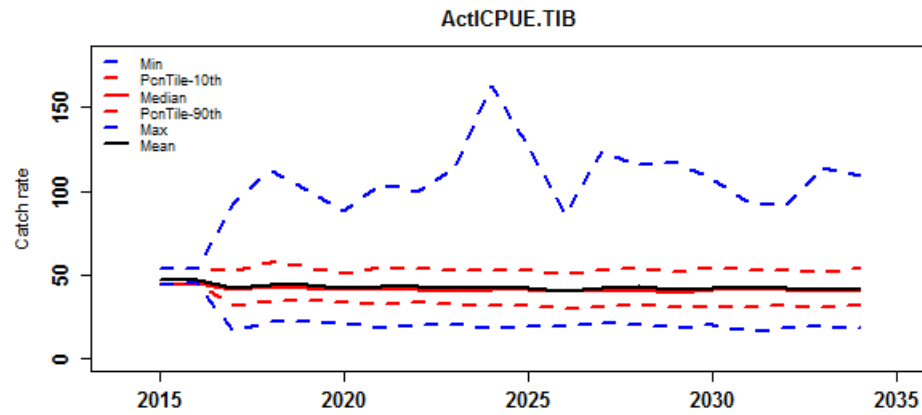
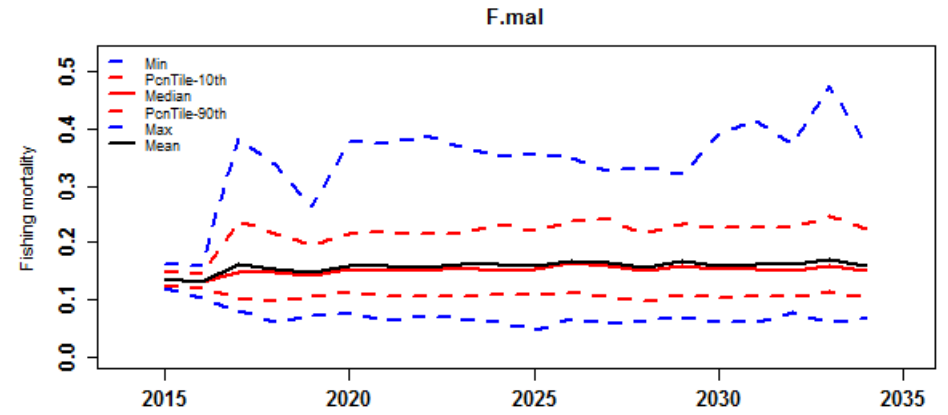
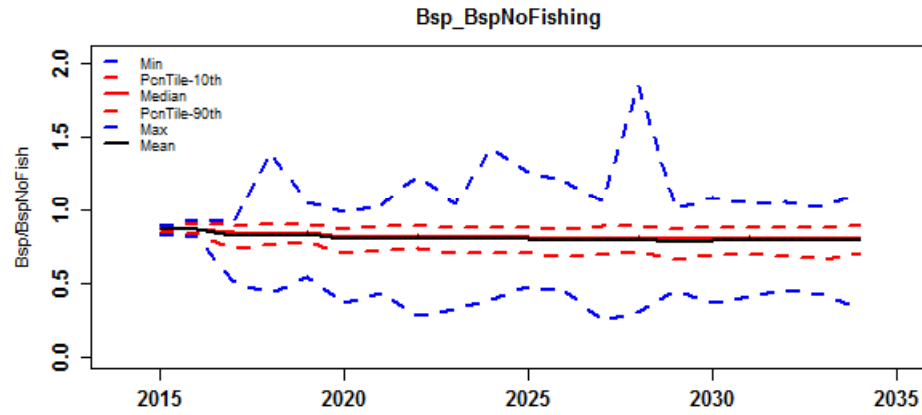
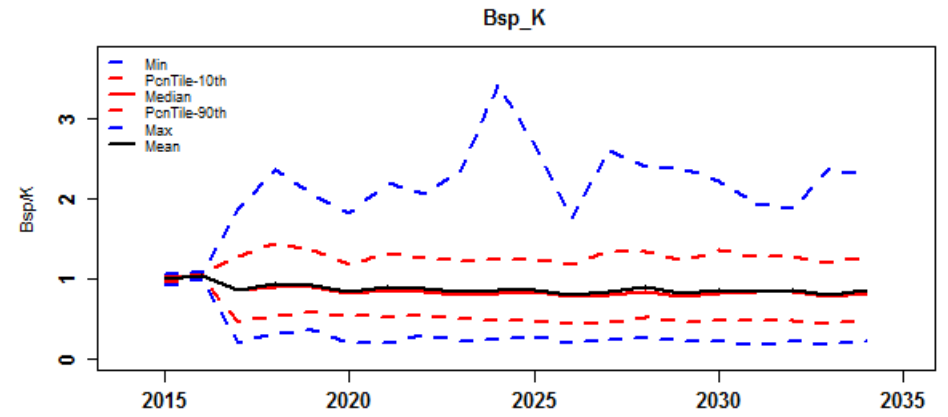
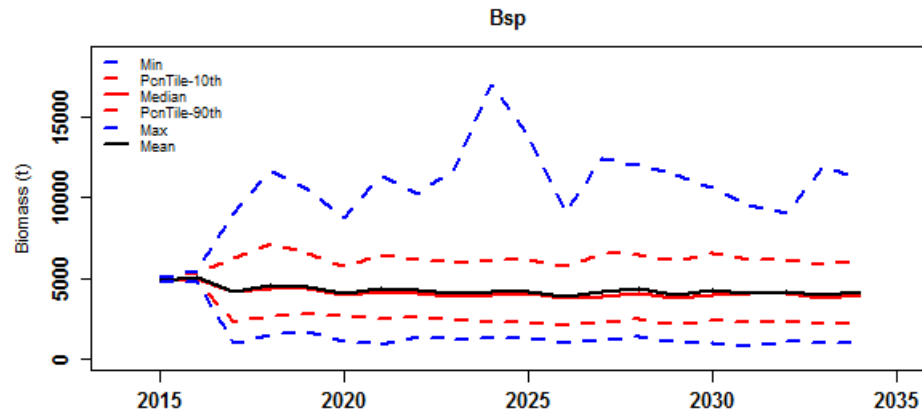
rHCR6





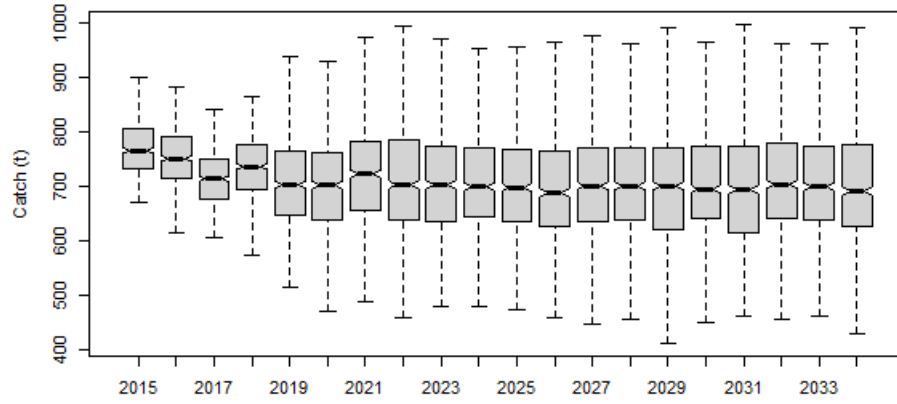


rHCR6

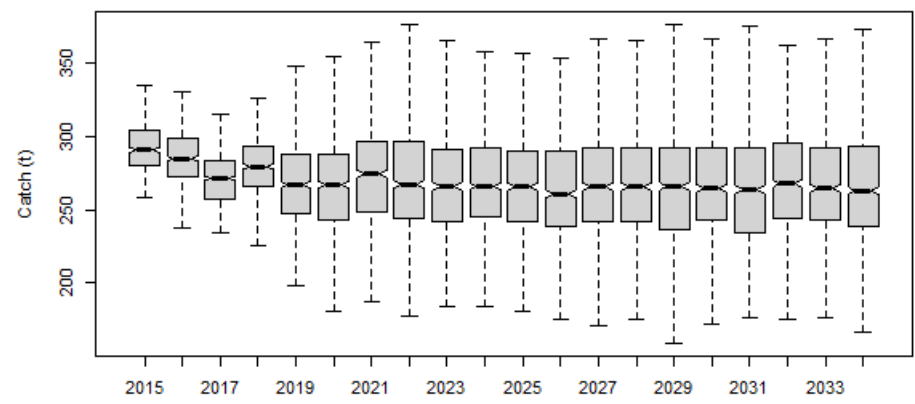


rHCR6

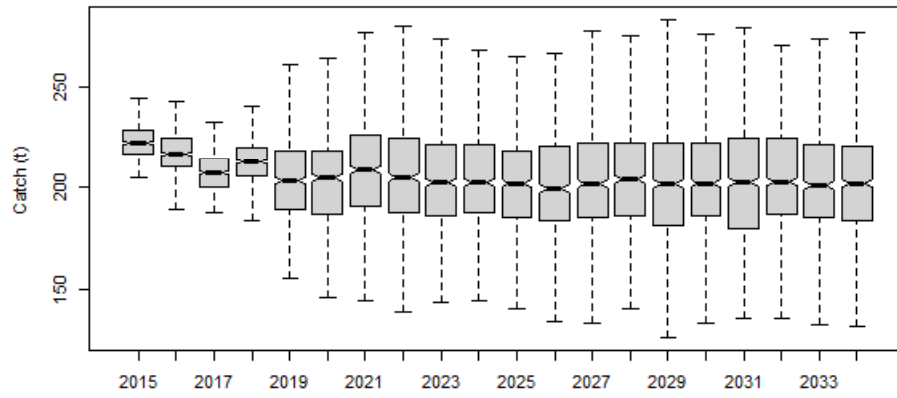
Catch of all sectors



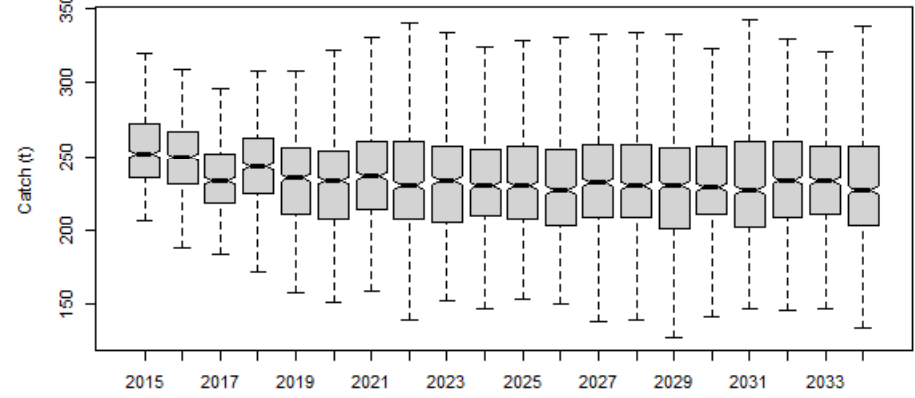
Catch of TIB



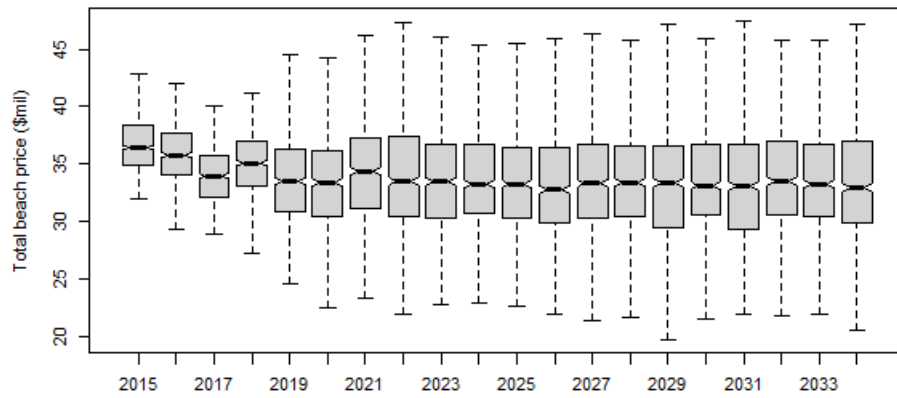
Catch of TVH



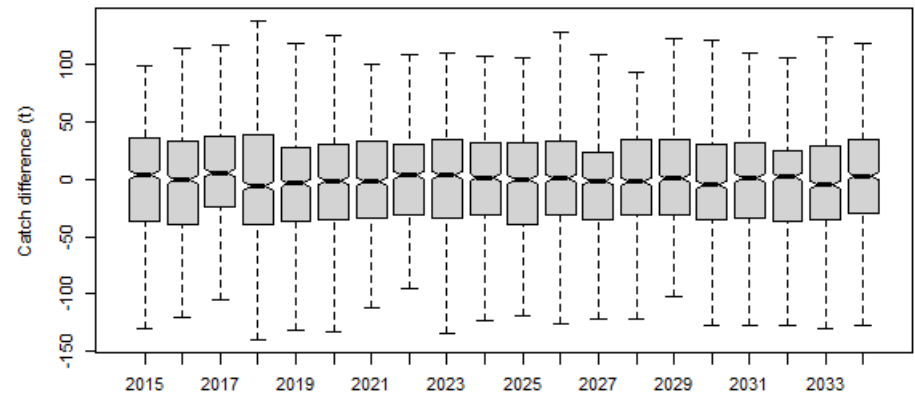
Catch of PNG



Total beach price

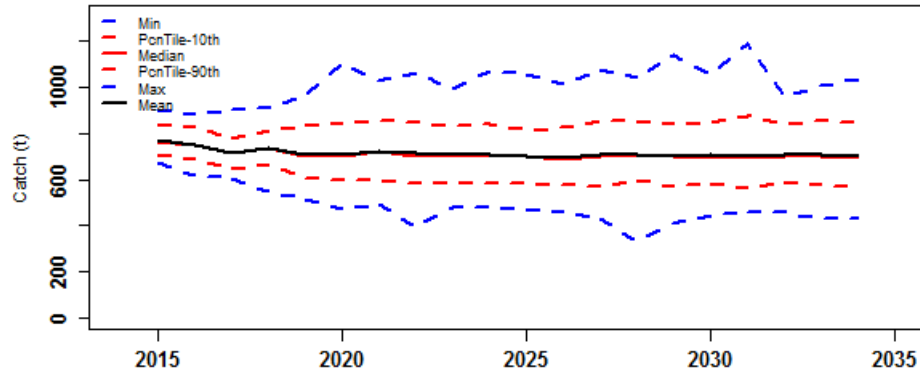


Difference of TAC and actual catch

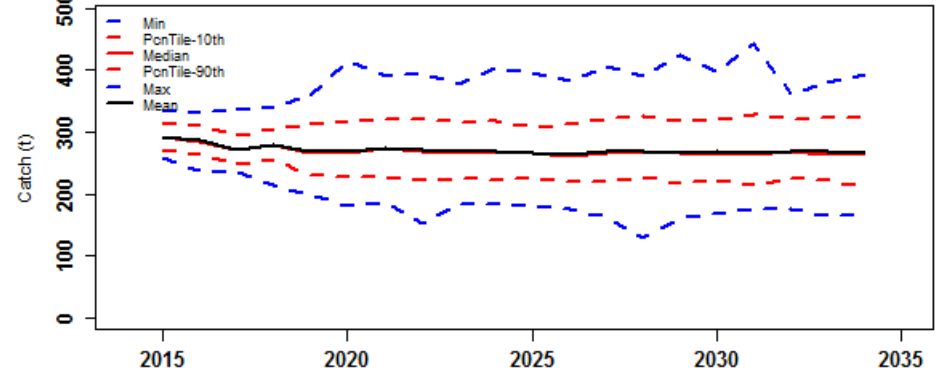


rHCR6

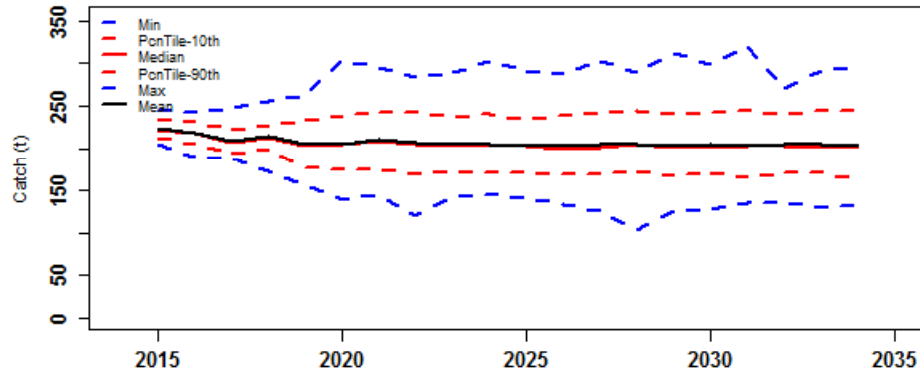
Catch.all



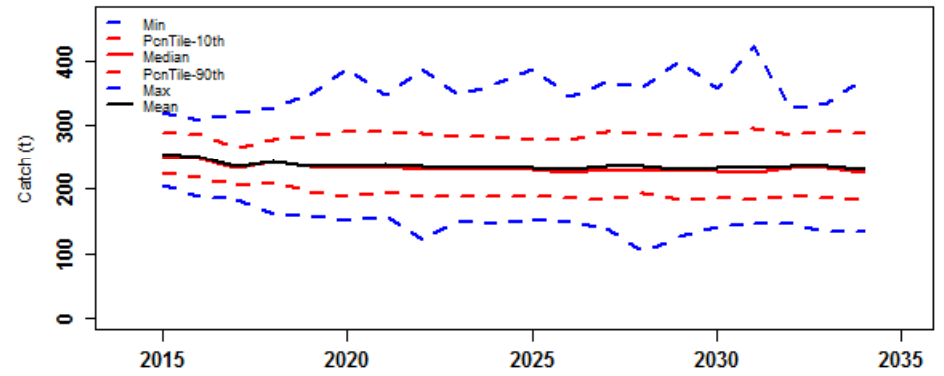
Catch.TIB



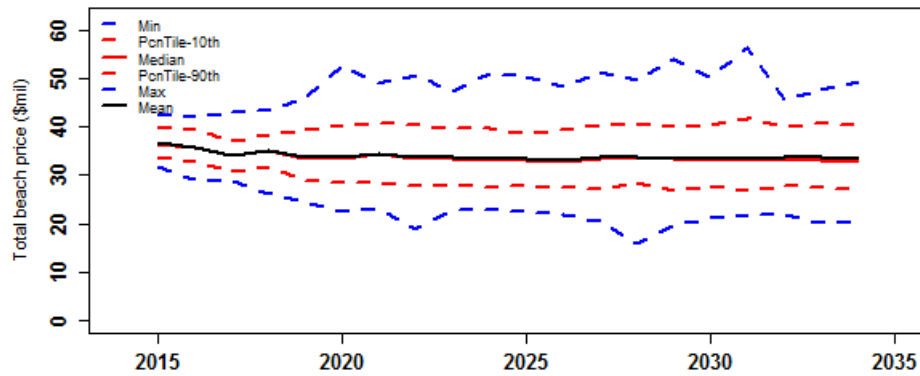
Catch.TVH



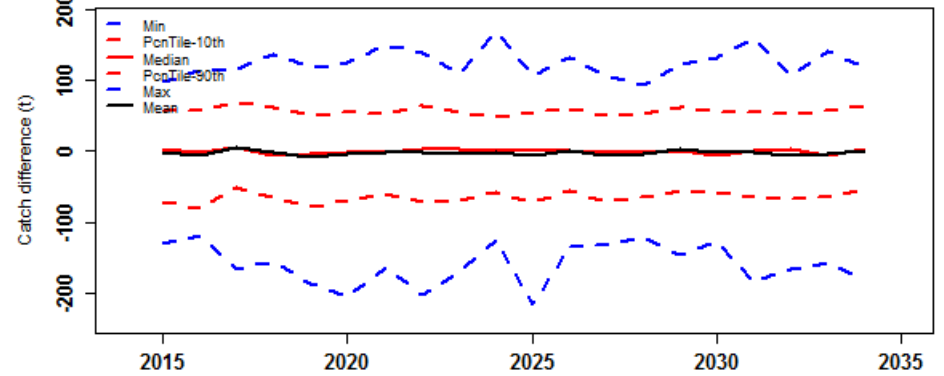
Catch.PNG



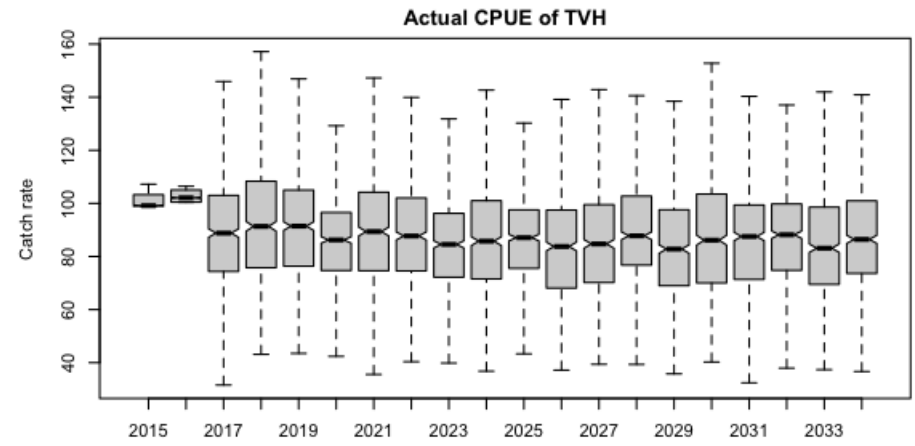
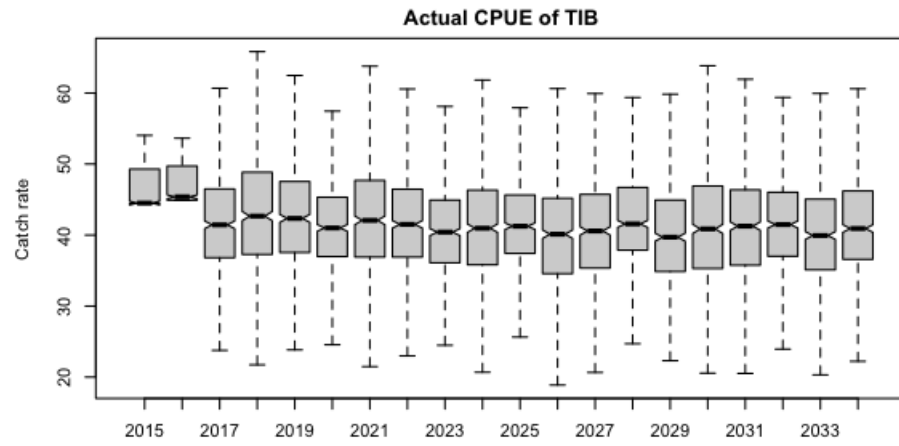
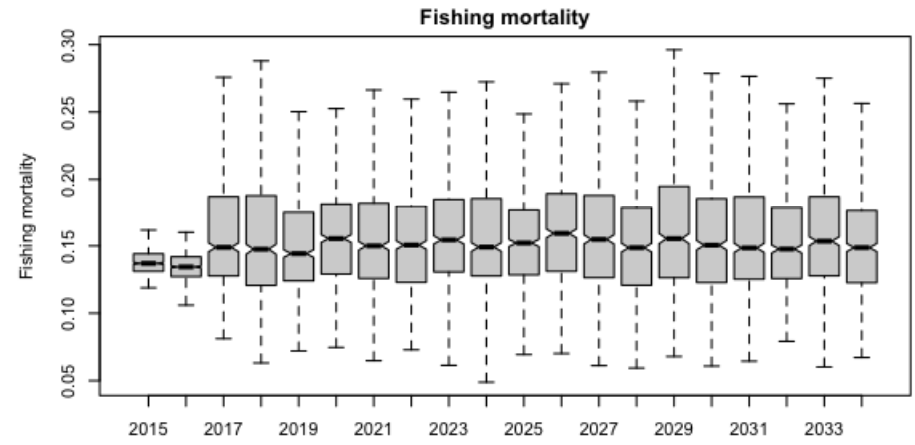
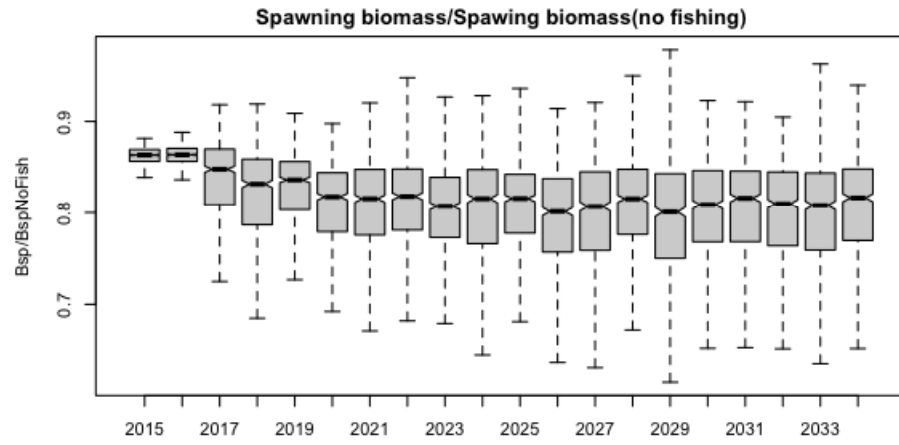
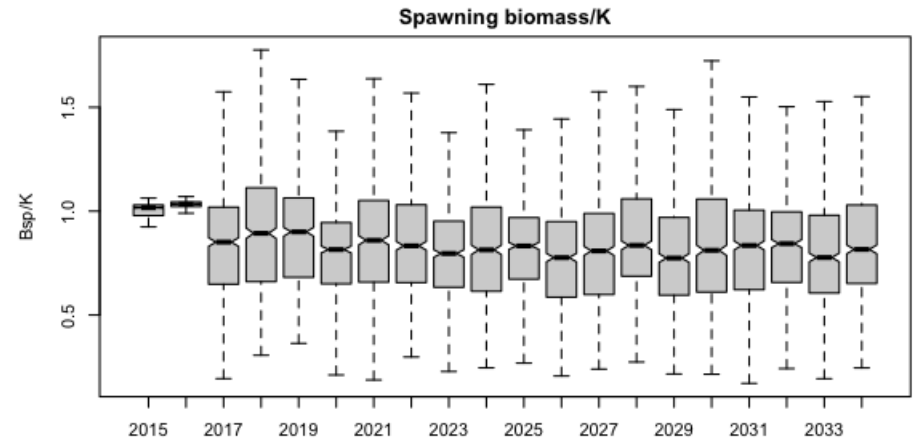
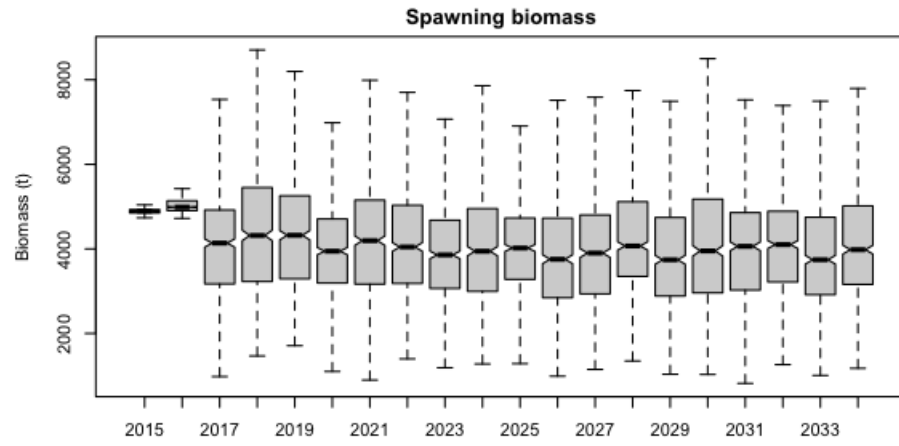
TotBeachPrice



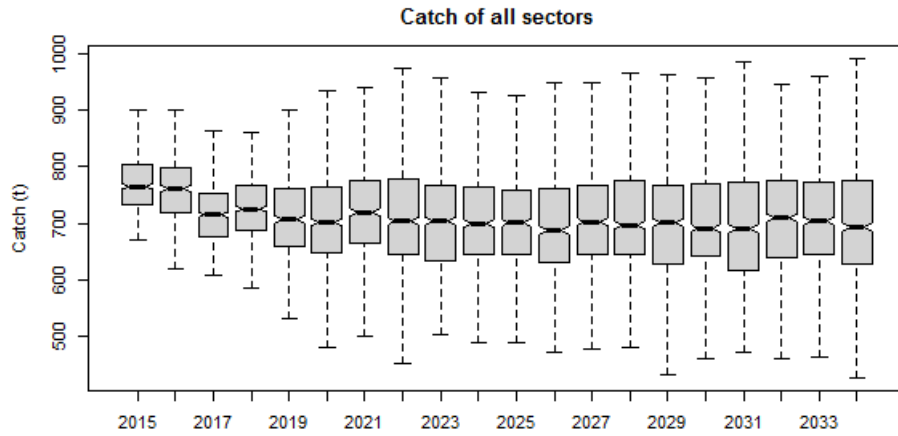
Diff.TAC.actlCatch



rHCR6rev

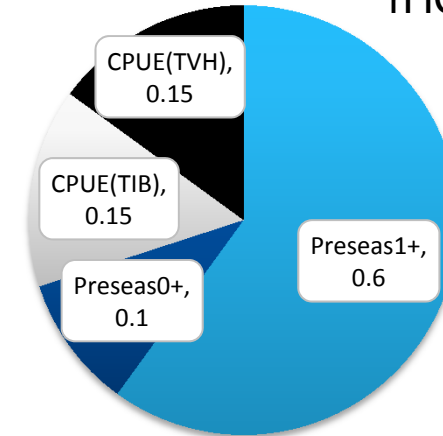


rHCR1

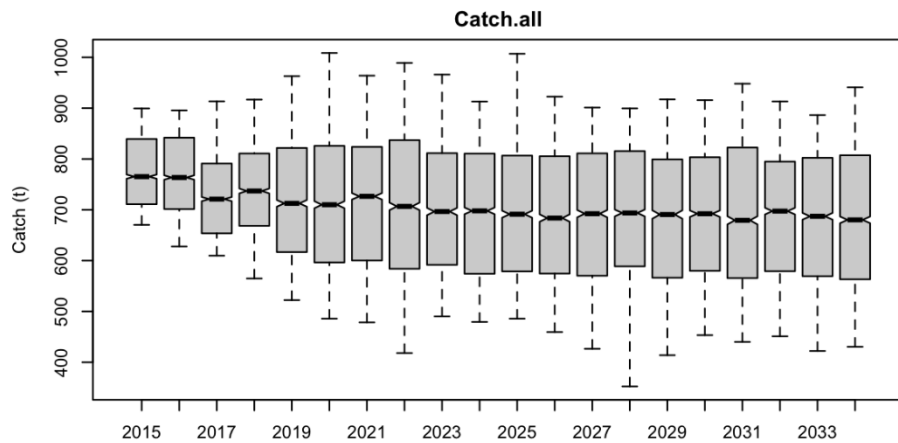


## BALANCED OPTION rHCR1

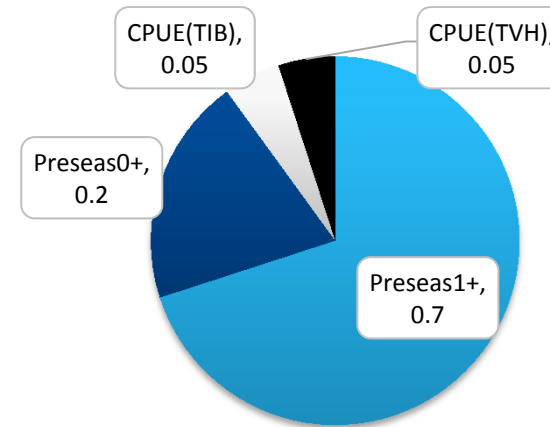
Catch (t)



rHCR6r

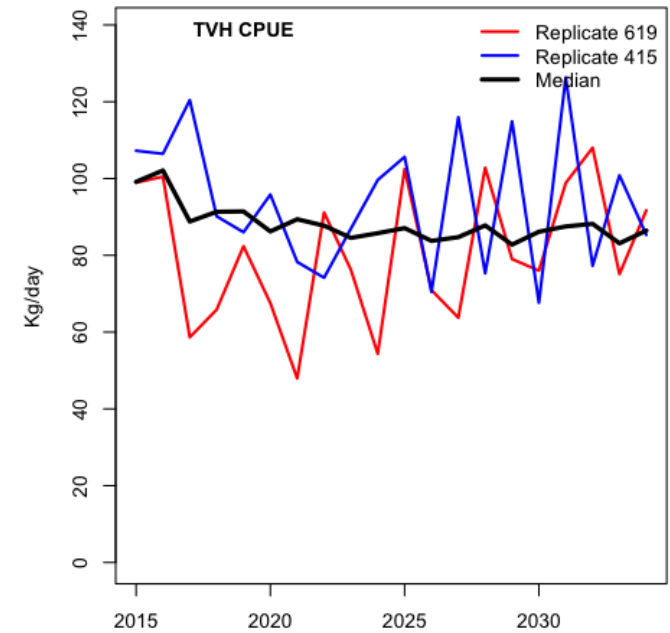
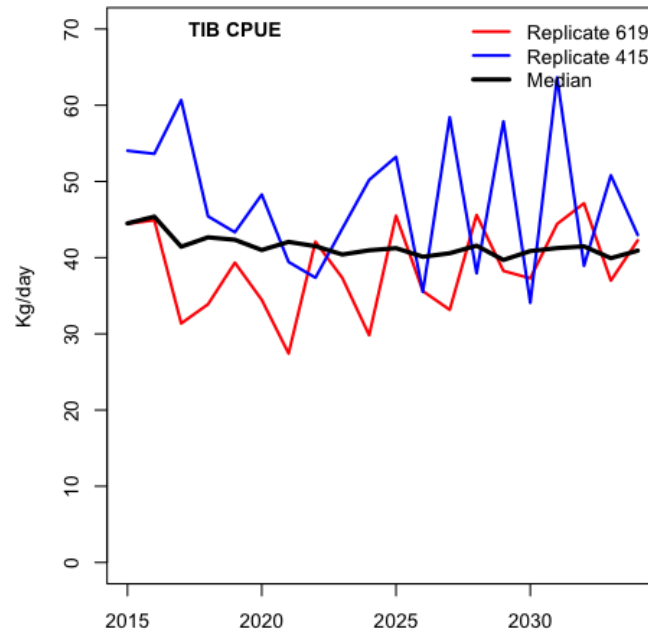
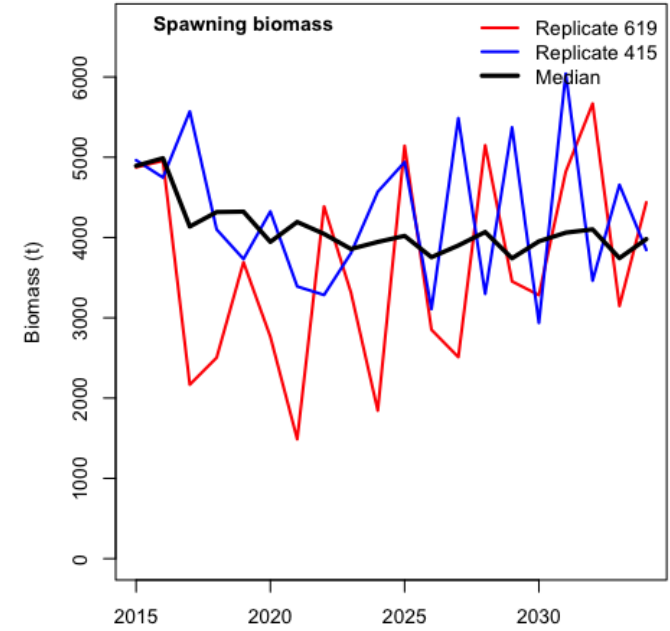
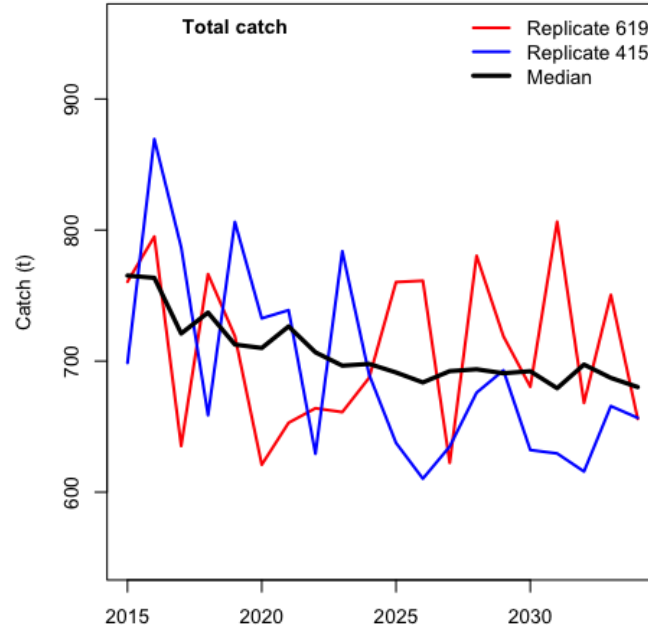
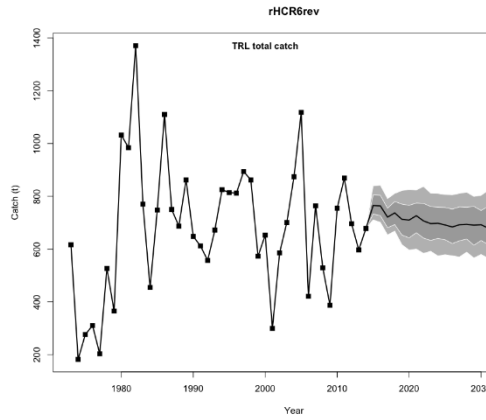


Catch (t)



rHCR6

## HIGH PRESEASON WT OPTION



# Outline



- Recap re draft Harvest Strategy agreements
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# TRL Fishery Closure Rules Scenarios



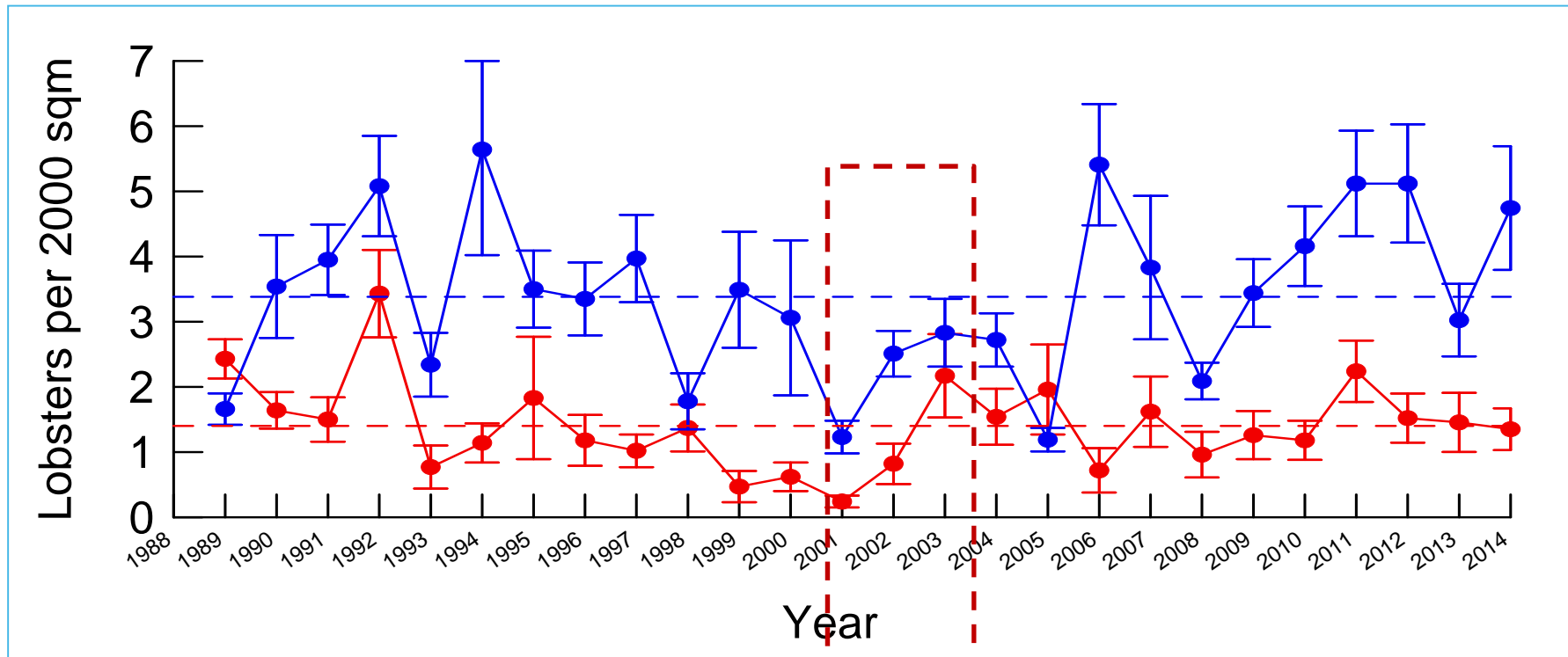
# TRL Fishery Research: TRL Fishery Closure Rules and Scenarios

	Year 1	Year 2	Year 3	Year 4
Stock Assessment	No	No	Yes	
Scenarios				
1	$<B_{lim}$	$<B_{lim}$	$<B_{lim}$	Closed
2	$<B_{lim}$	$<B_{lim}$	✓	?
3	$<B_{lim}$	✓	$<B_{lim}$	Closed
4	✓	$<B_{lim}$	$<B_{lim}$	Closed

## Issues

- (1) No CPUE available in Year 4 – no 2+ index – no HCR in Year 5 or new rule?
- (2) Recruitment (neither 1+ or 0+) is not accounted for – eg. Scenario 2
- (3) What agreed rule is applied in Year 5?

# TRL Fishery Research: TRL Fishery Closure Rules and Observed Scenarios

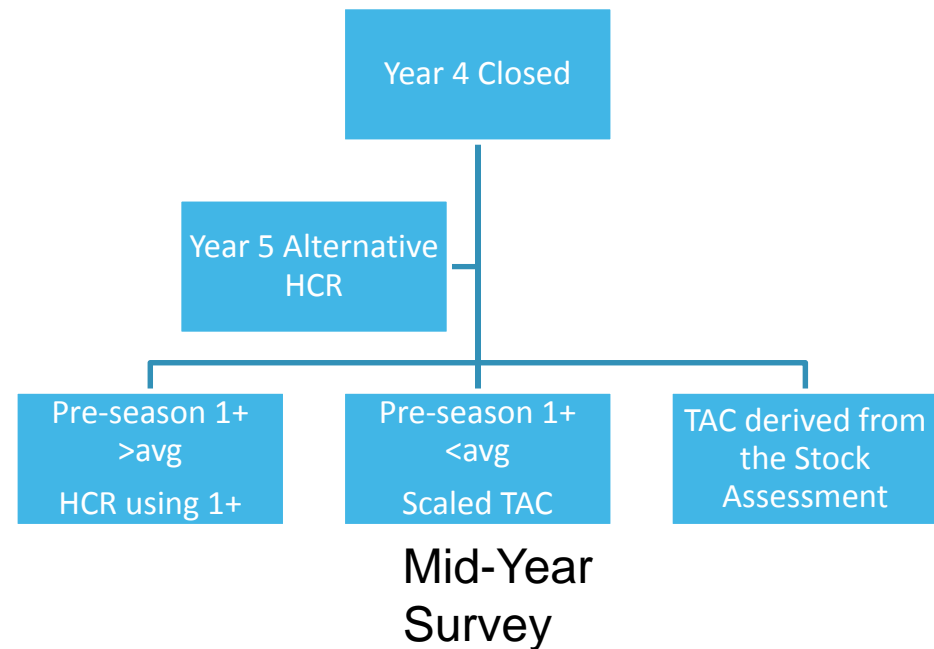


Fishery closed despite increasing stock and recruit trend

# TRL Fishery Research: TRL Fishery Closure Rules – possible tiered approach for stock recovery

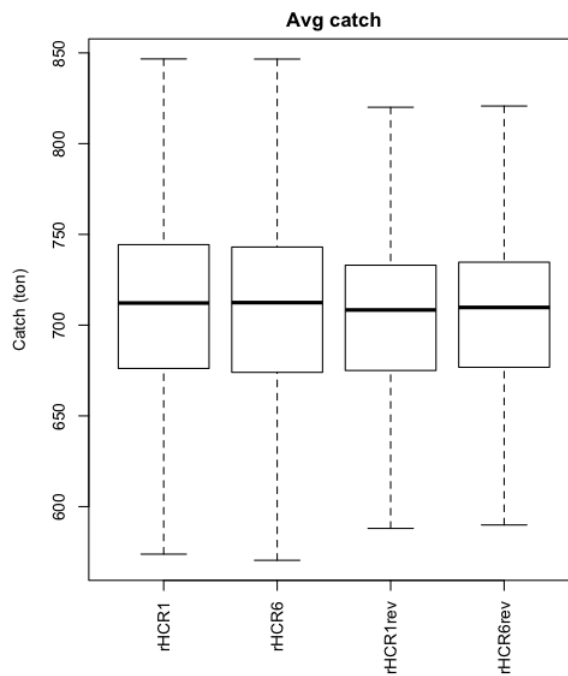
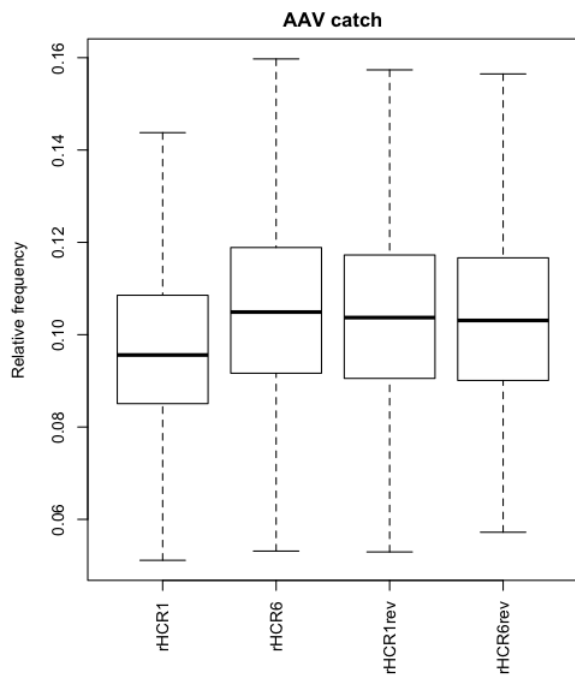
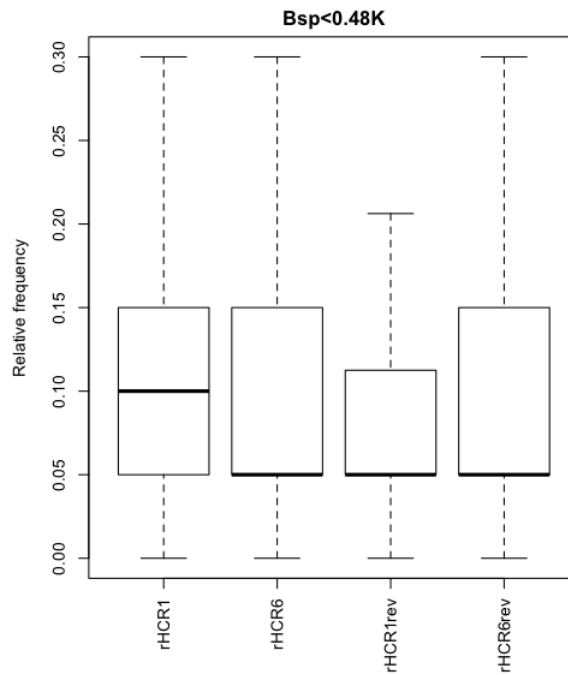
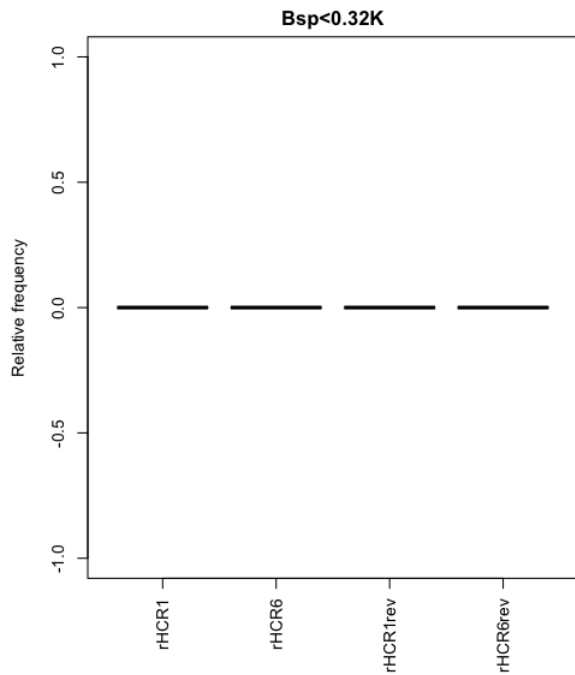
Post-closure HCR alternatives  
Tiered Approach

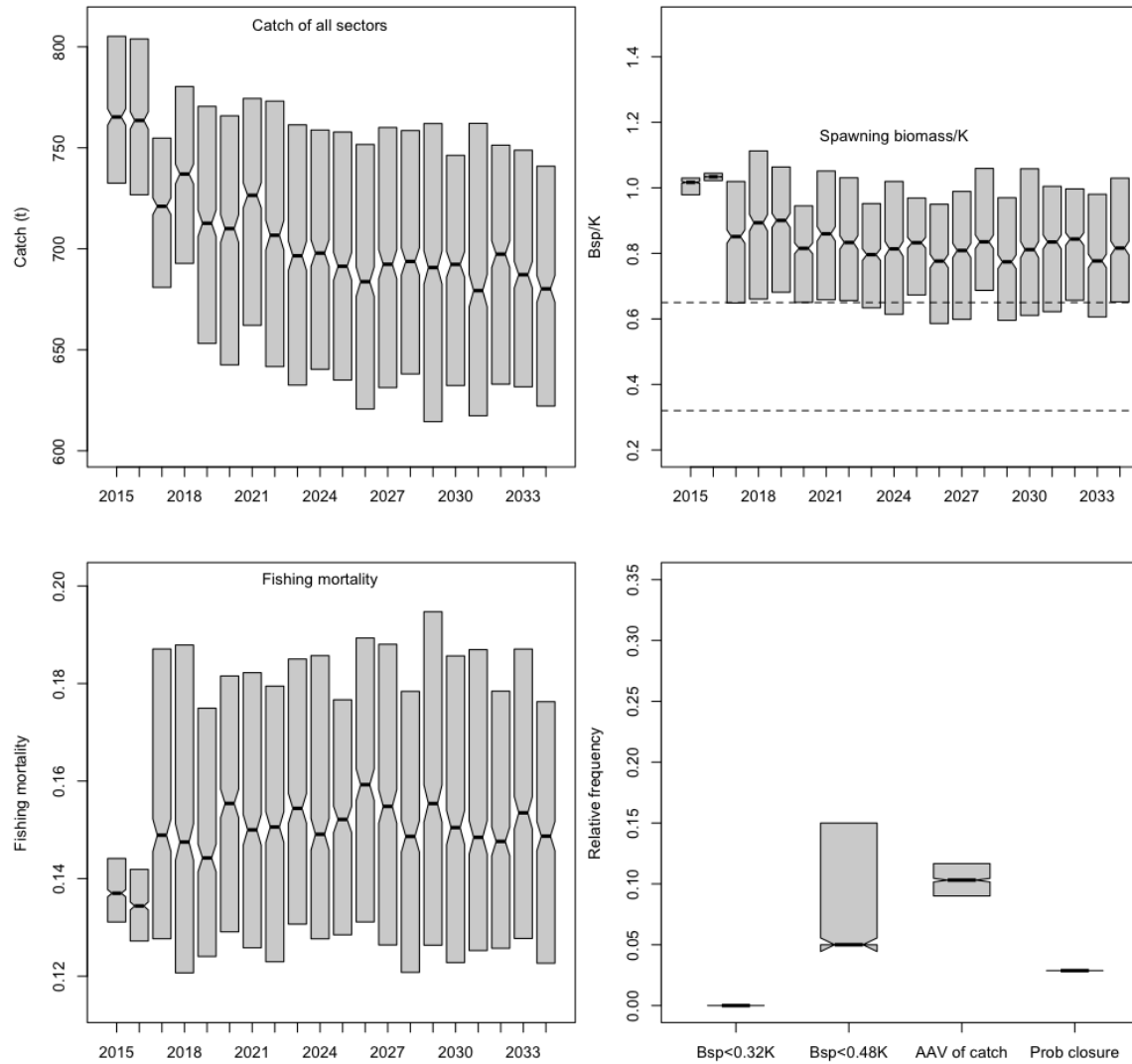
- (1) Trigger Fishery Independent Mid-Year Survey in Year 4 (to provide 2+ index)
- (2) Triggers more conservative HCR in Year 5
- (3) Triggers HCR based on full Stock Assessment – utilising 1+ and 0+ trends



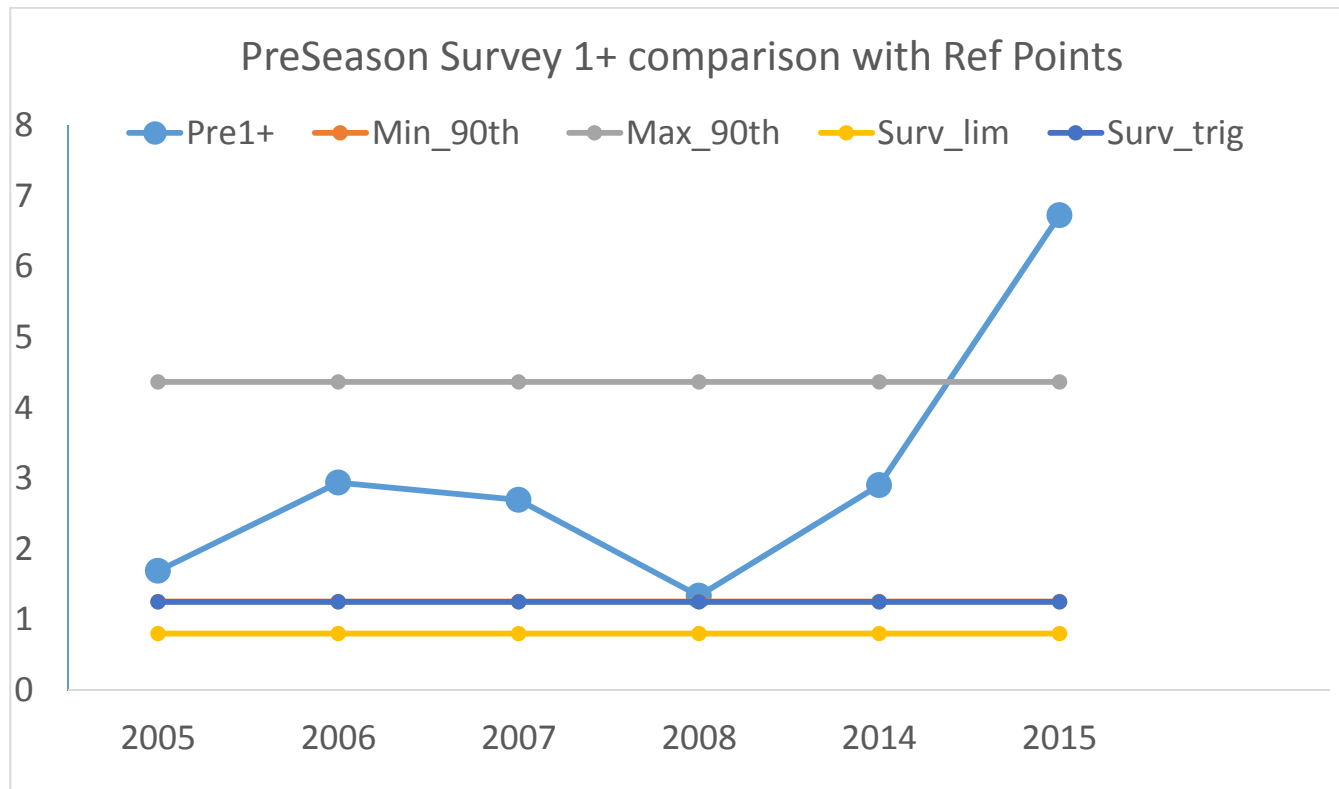
# CLOSURE SCENARIOS TESTED

Closure HCR		
cHCR1	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Closures if 2 out of last 3 yrs <Blim; Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.6;0.1;0.15;0.15)
cHCR2	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Closures if last 2 years <Blim; Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.6;0.1;0.15;0.15)
cHCR3	All (Pre1, Pre0, CPUE_TVH, CPUE_TIB)	Closures if 2 out of 3 last yrs <Blim and current Surv<Surv_trig = 1.25; Ln(slopes last 5 yrs); Catch_ave_5yrs; weightings(Pre1;Pre0;CPUE_TVH;CPUE_TIB)=(0.6;0.1;0.15;0.15)





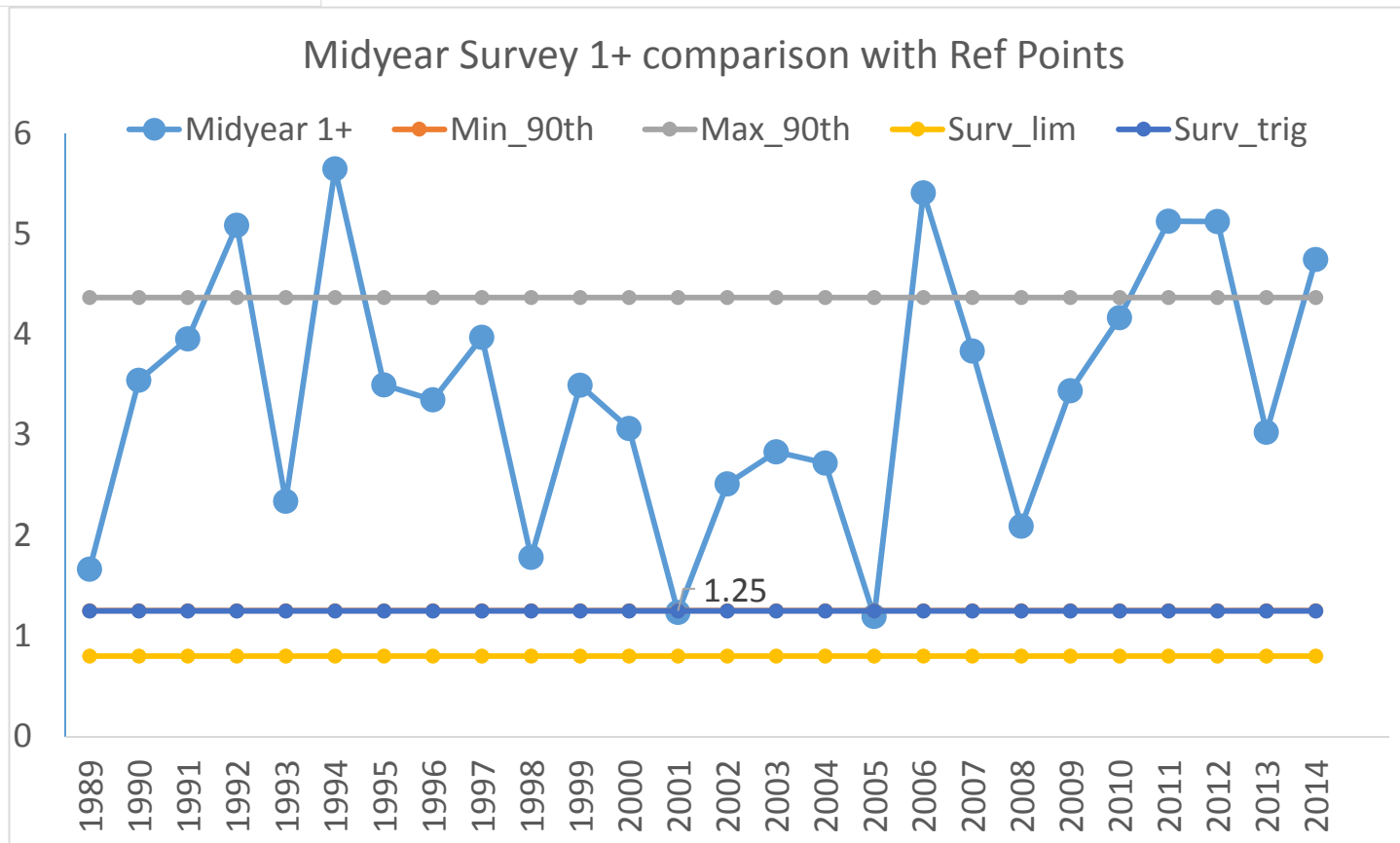
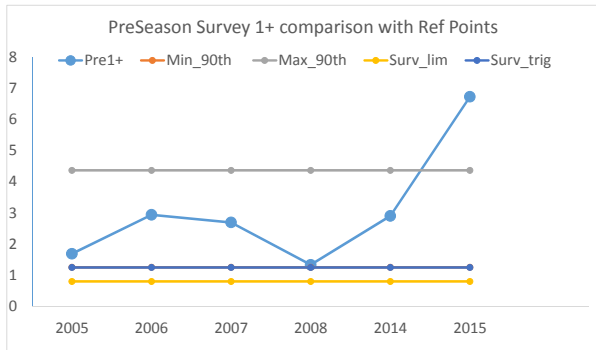
# Using trigger and limit reference points based on data



Use 1.25 as trigger? (triggers action such as to do stock assessment or survey)

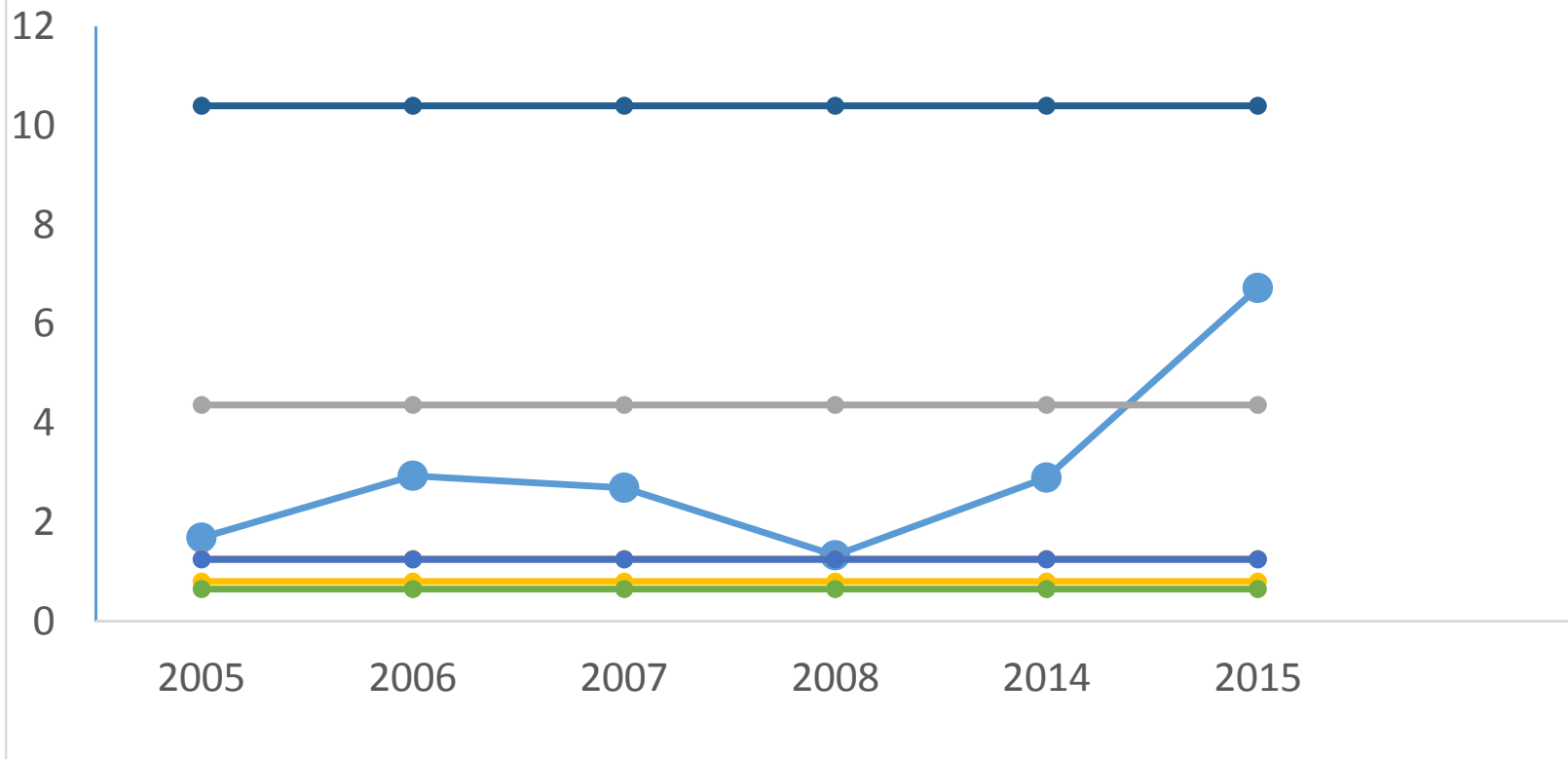
Use 0.8 as lower limit? (first fishery closure triggered?)



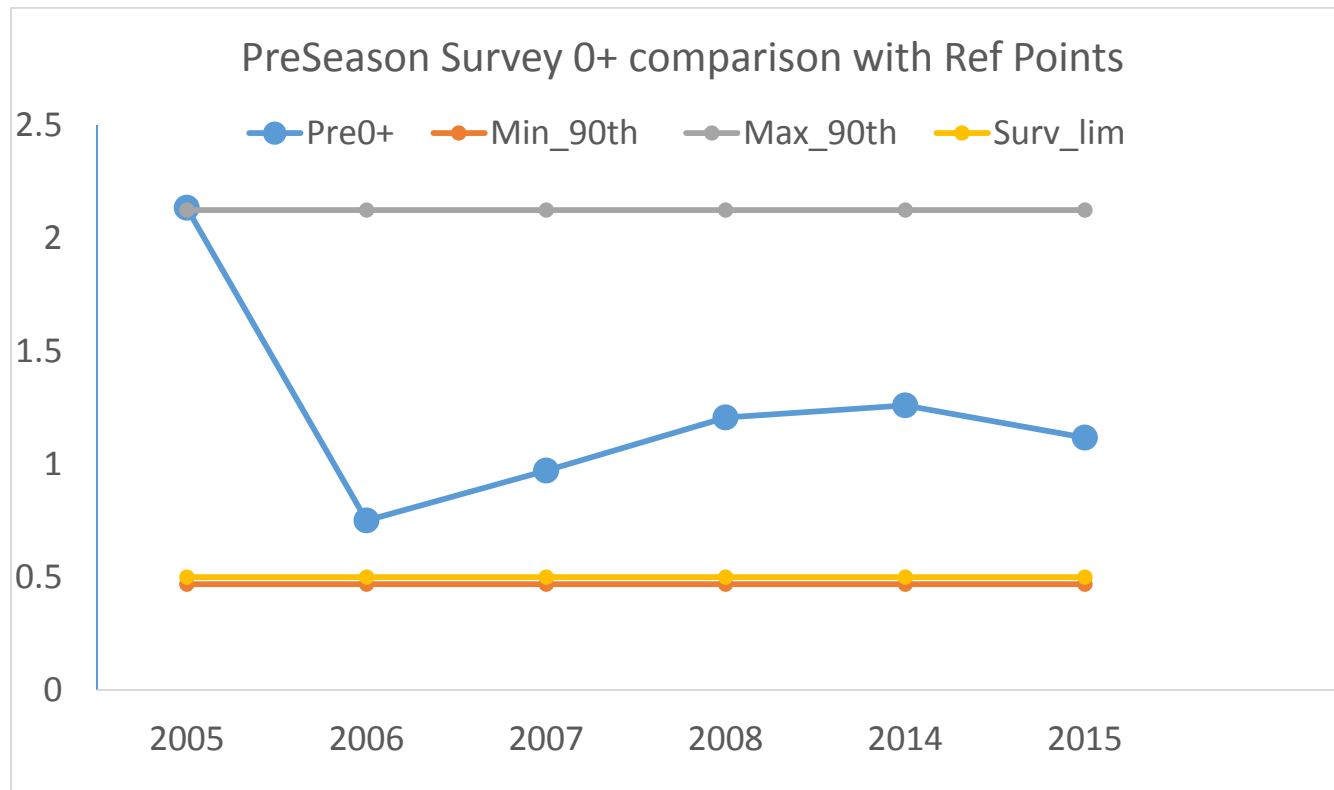


### PreSeason Survey 1+ comparison with Ref Points

● Pre1+    ● Min\_90th    ● Max\_90th    ● Surv\_lim  
● Surv\_trig    ● range\_low    ● range\_high

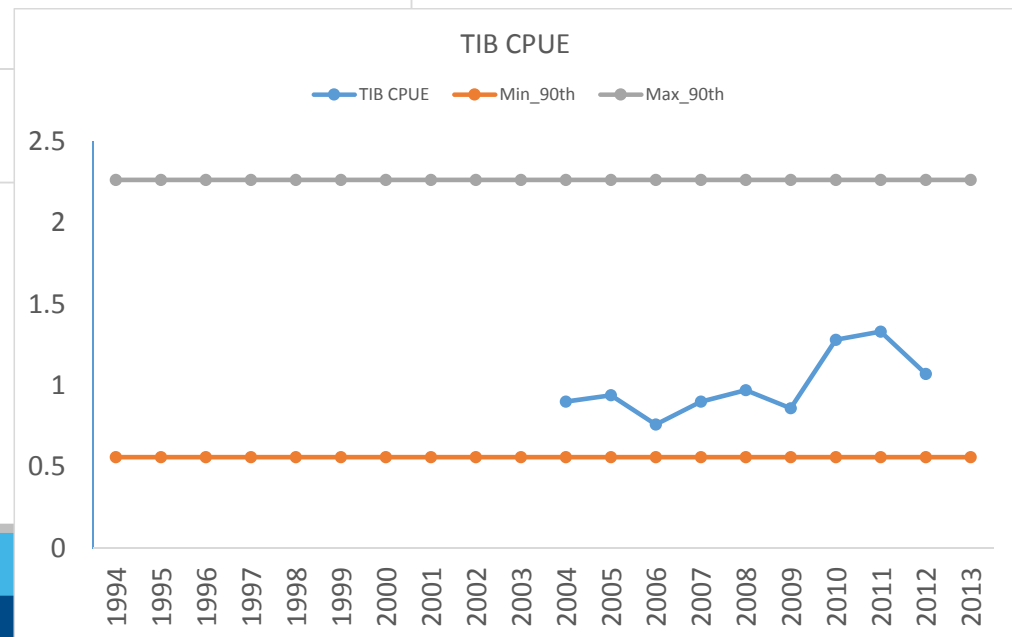
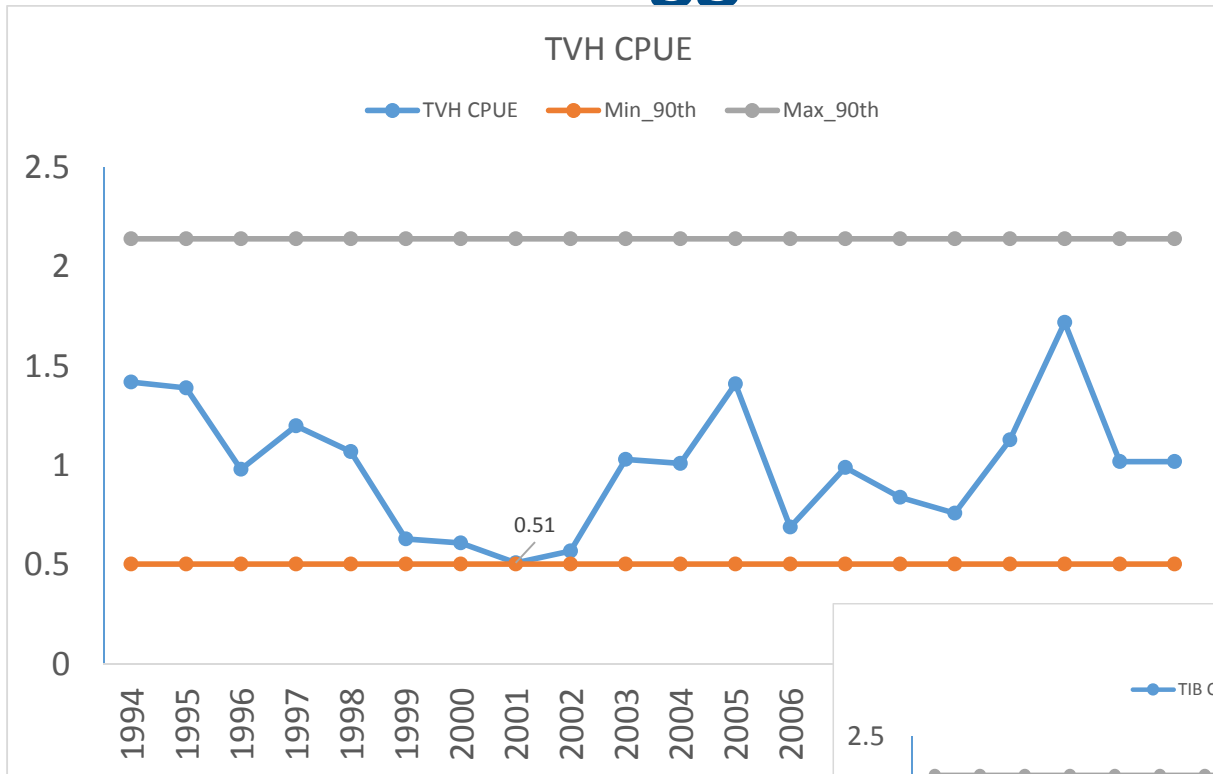


# Example limit reference point for Preseas 0+



Use 0.5 as trigger?

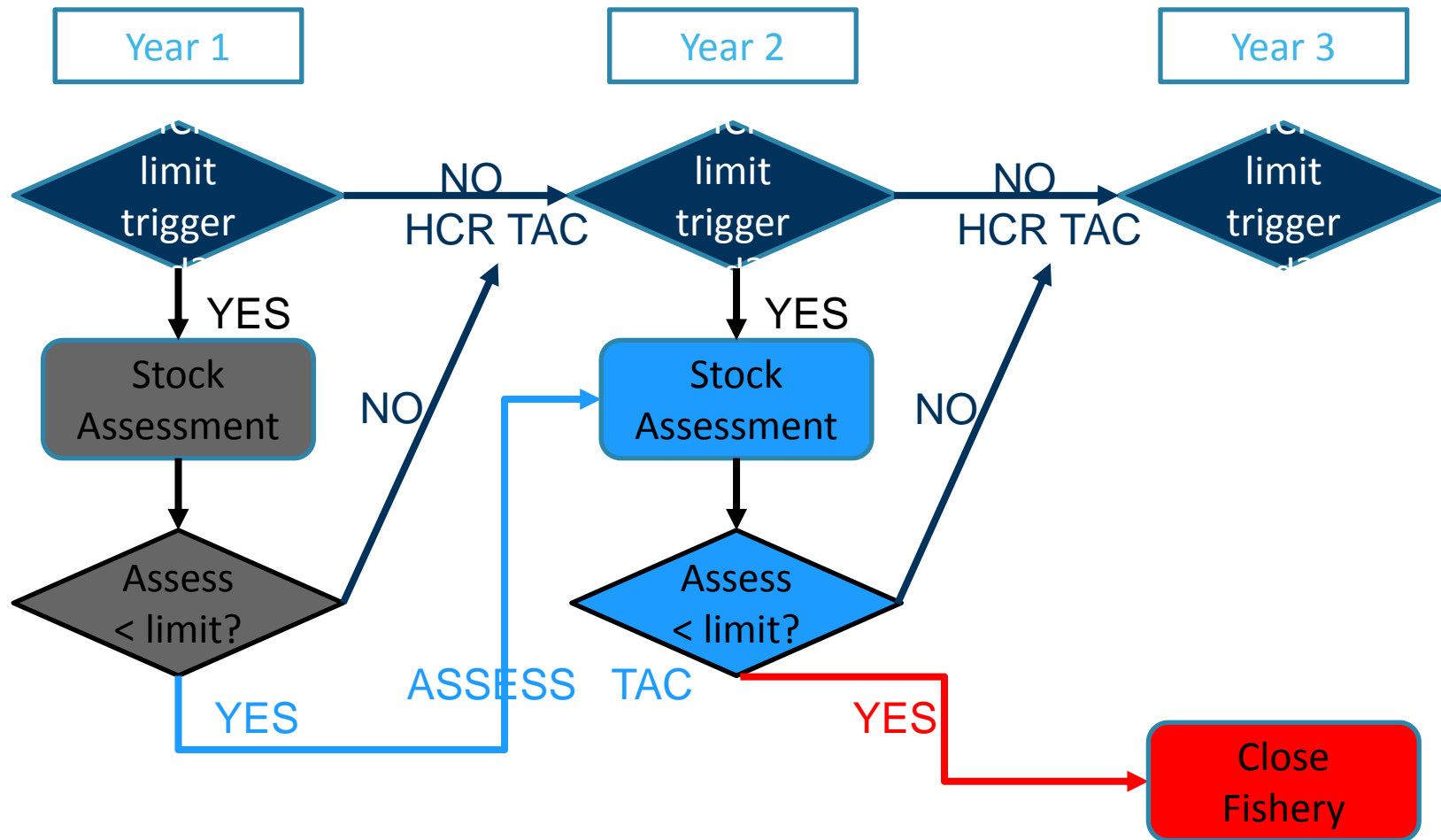
# CPUE-based trigger reference points



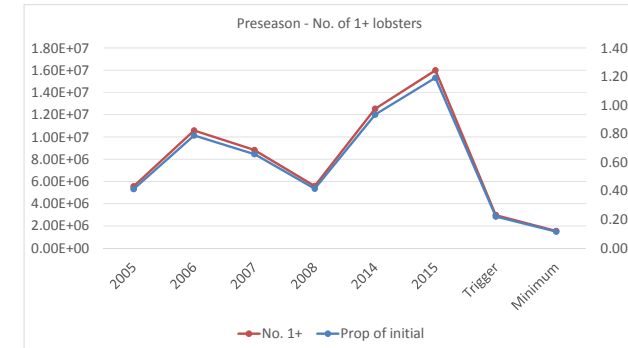
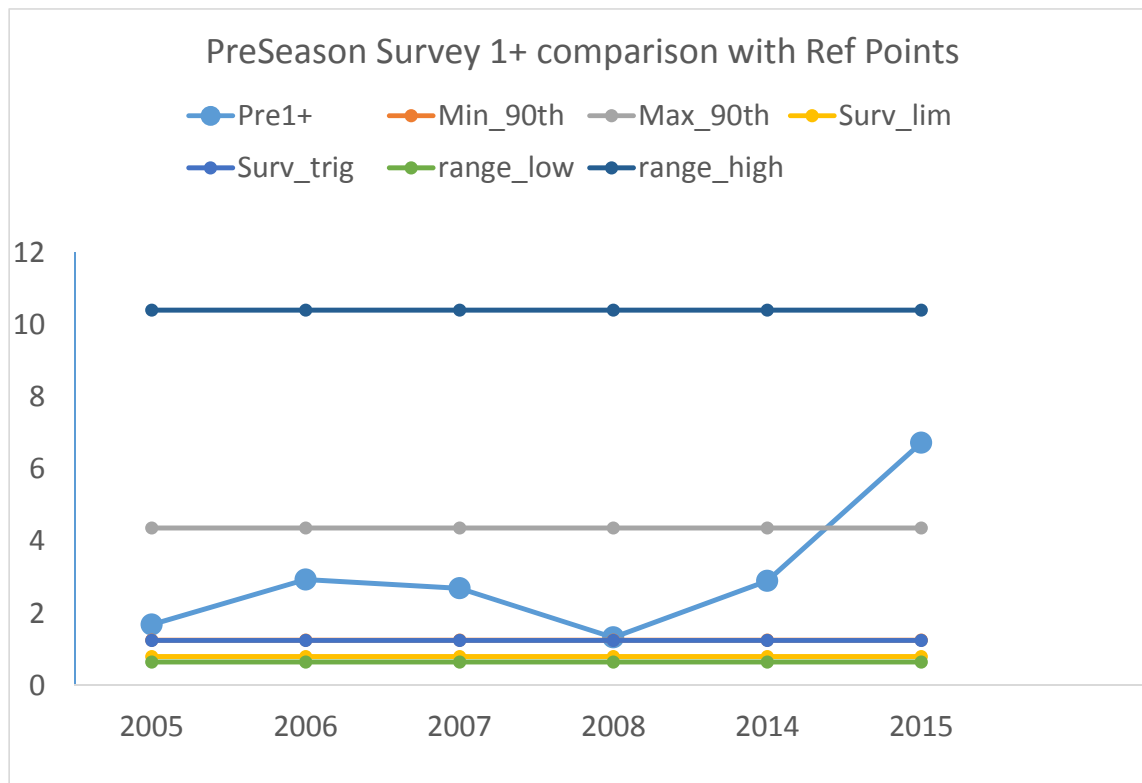
# Outline



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# Survey & CPUE Trigger Limits for invoking Exceptional Circumstances (i.e. HCR can't be used)



Preseas 1+ index >10.4

*Trigger for review = 1.25*

Preseas 1+ index < 0.65

Hence if Pre1+ < 0.65  
exceptional circumstances

# Harvest Strategy for TRL: variability and exceptional circumstances

Short-lived species (e.g. TRL) have stocks comprised of only one year class and the stock abundance may vary fourfold on an annual basis depending on the recruitment success in a particular year.

Hence, the TAC will vary significantly on an annual basis reflecting stock abundance.

The harvest strategy may include exceptional circumstances clauses to account for events such as environmental impacts to allow for stocks to recover rapidly.

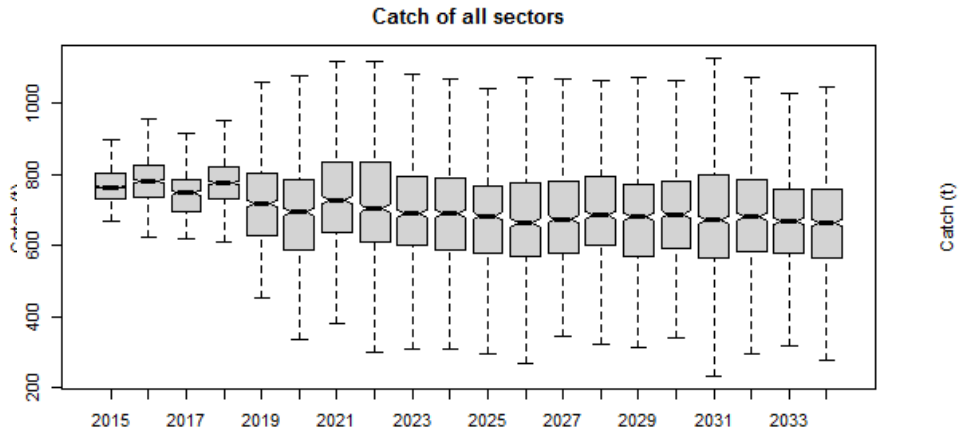
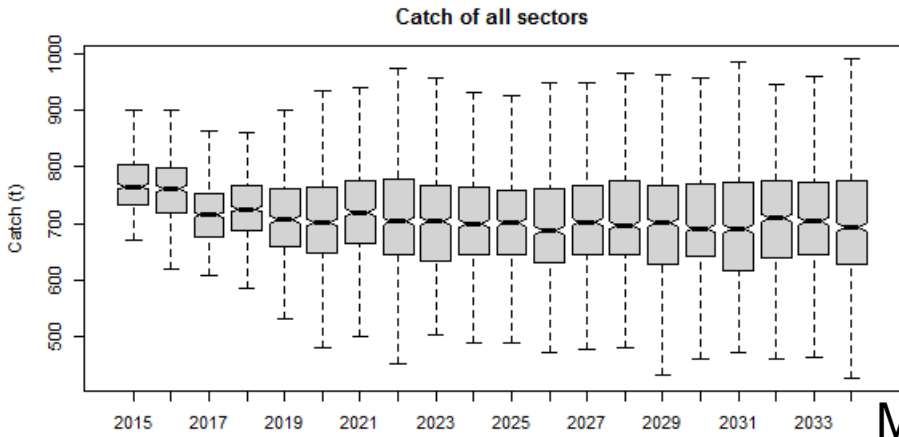


# Outline

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- **Sensitivity Analyses**
- Discount factors for tiered system (eg more/fewer surveys)

rHCR1

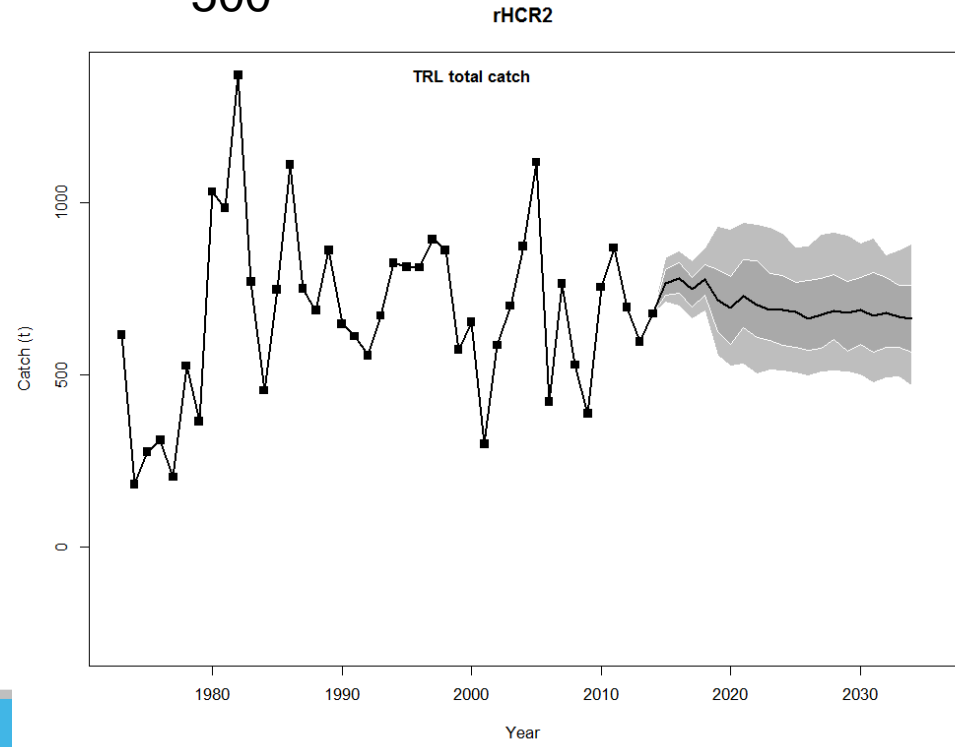
rHCR2



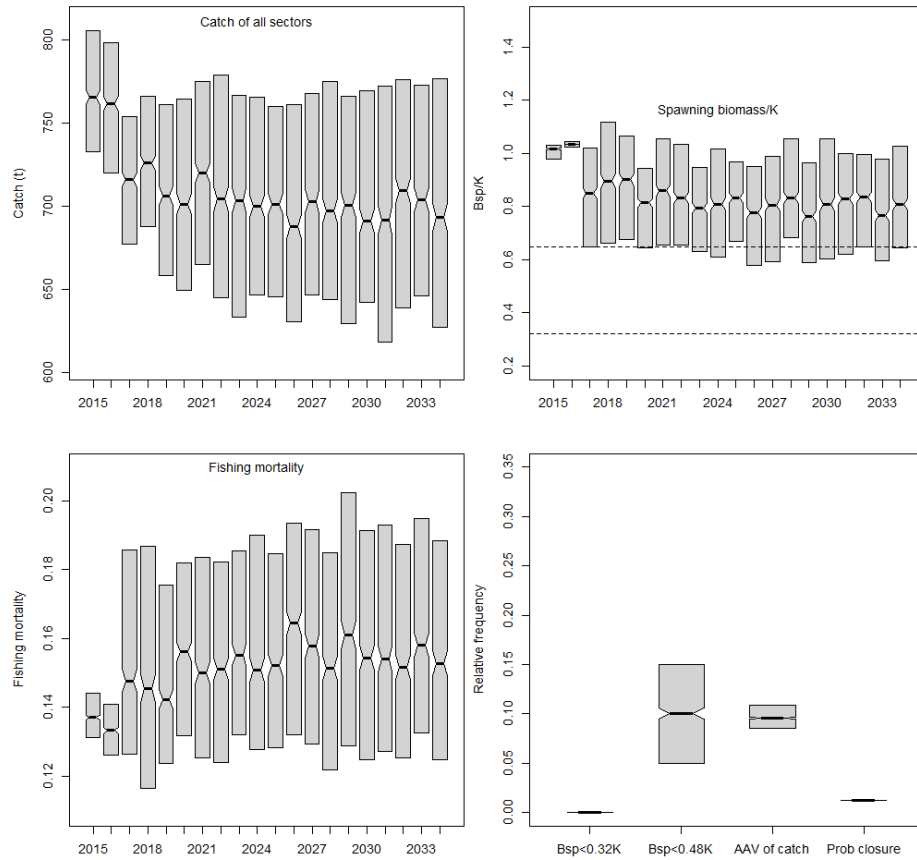
Min  
500

Min  
200

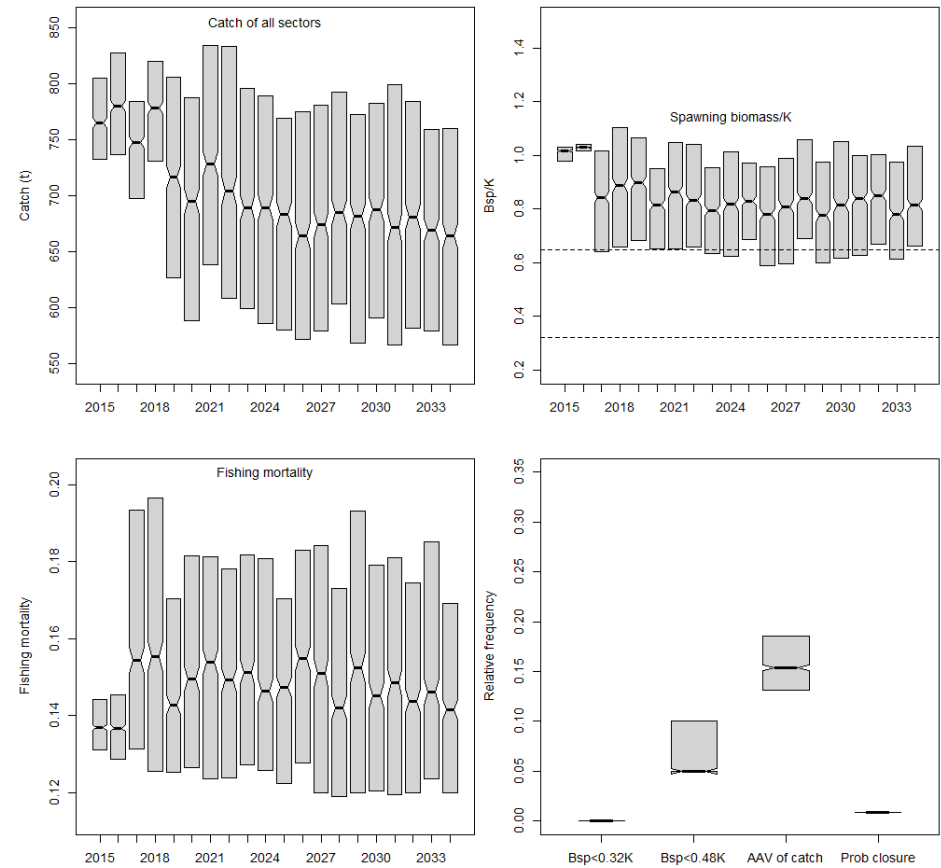
rHCR1 (with log)  
Vs rHCR2 (no log) –  
more variable  
catches, both high  
and low



rHCR1



rHCR2



# Previous Sensitivities

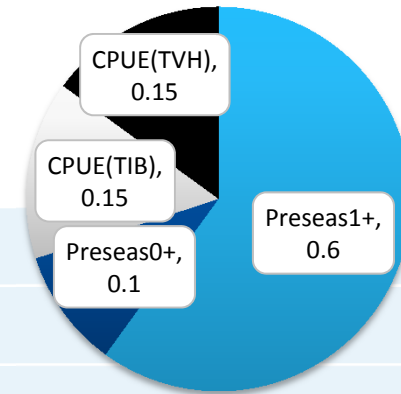
## ***Key sensitivities include :***

- Changing the number of years in calculating the slope of the trend in the recent preseason survey data (3yrs, 5yrs, 6yrs);
- increasing the implementation error to much larger values (0.1, 0.2);
- setting the stock recruit steepness parameter to a lower value of  $h=0.6$  (and refitting the model); and
- changing the hyperstability parameters to one for both CPUE series (and refitting the model)

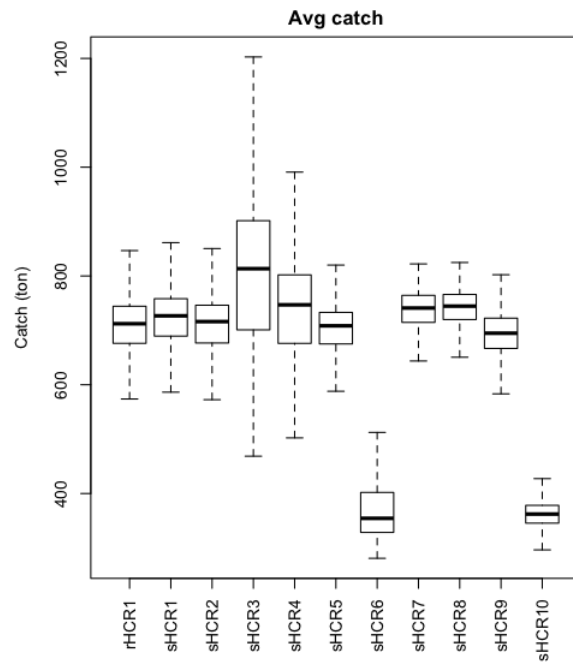
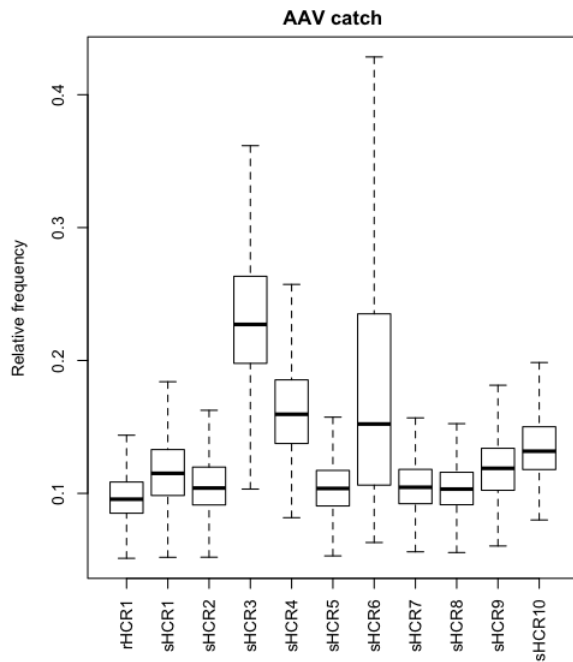
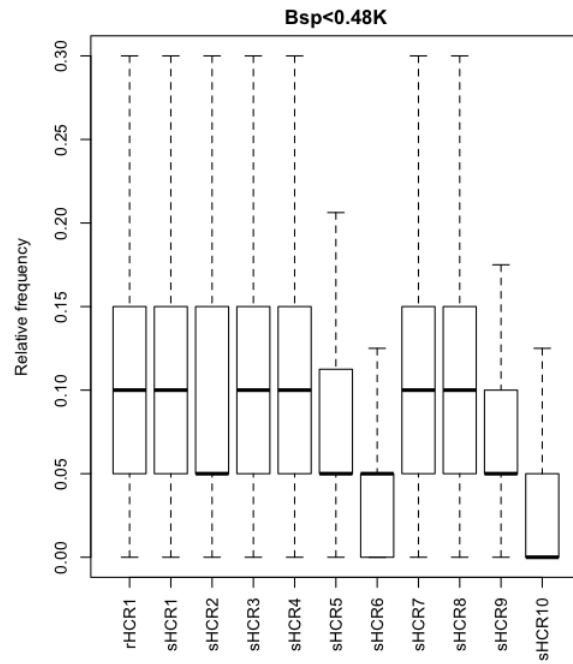
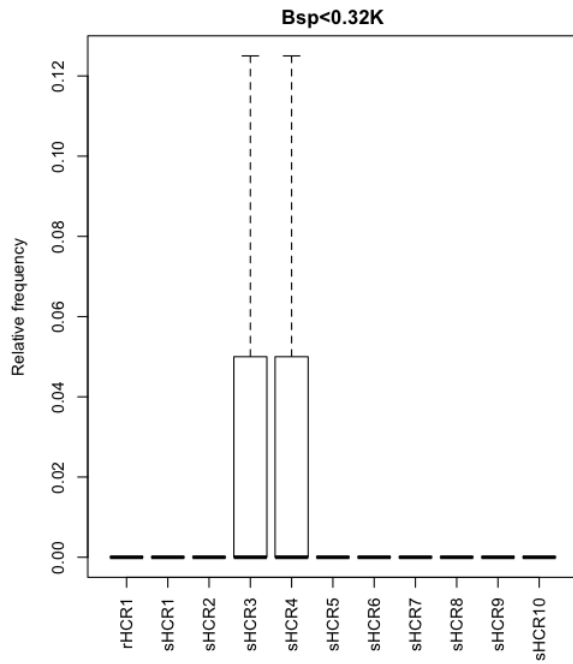
## ***Summary results:***

- using fewer preseason survey points in the regression leads to poor outcomes in terms of average catch and AAV.
- If implementation error is large, the performance of the basic HCRs deteriorates in terms of both catch statistics and risk to the resource.
- The risk of depletion of spawning stock biomass is highest under the low steepness scenario.

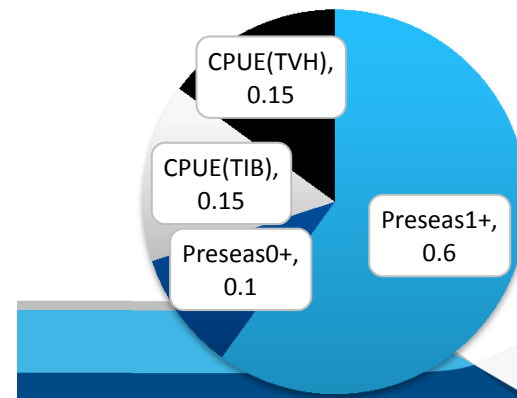
# Additional Sensitivities

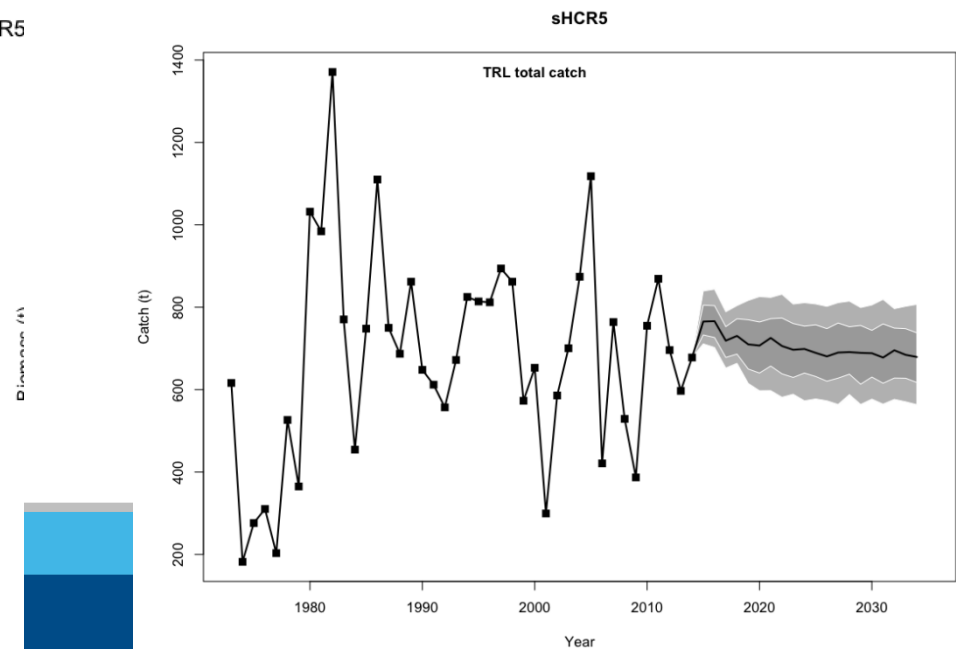
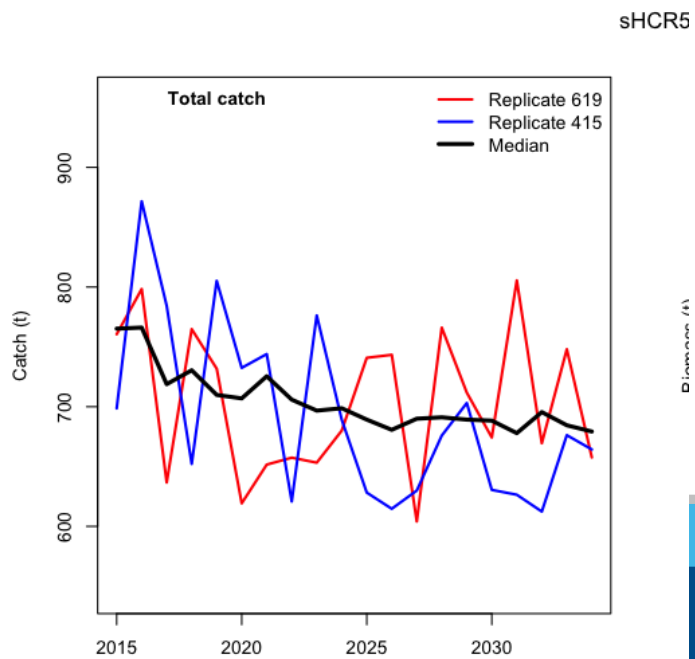
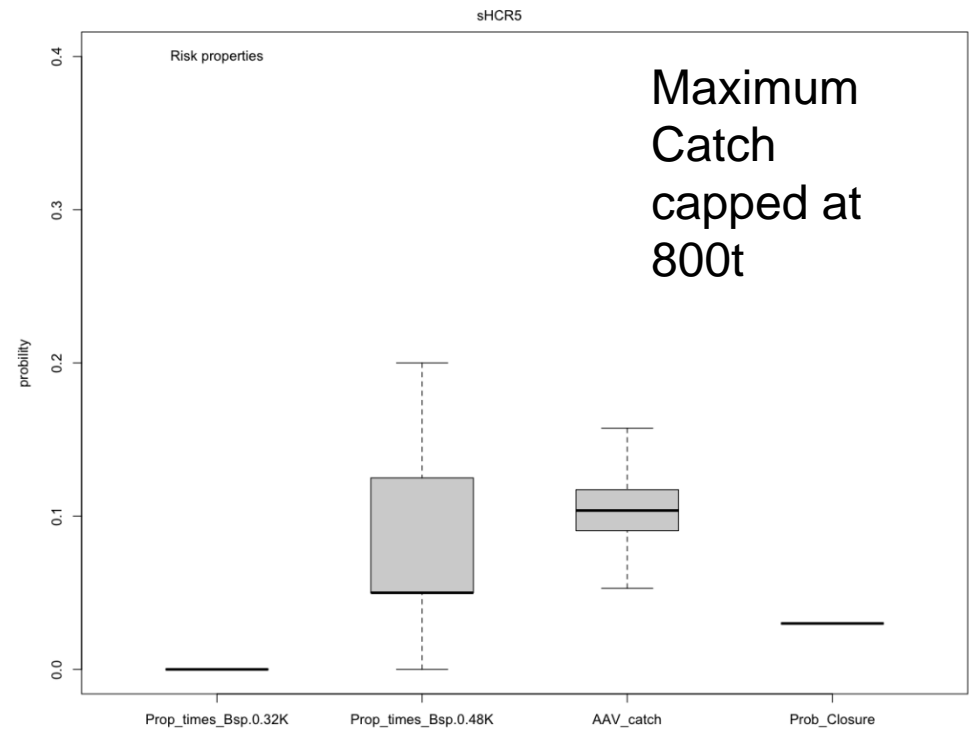
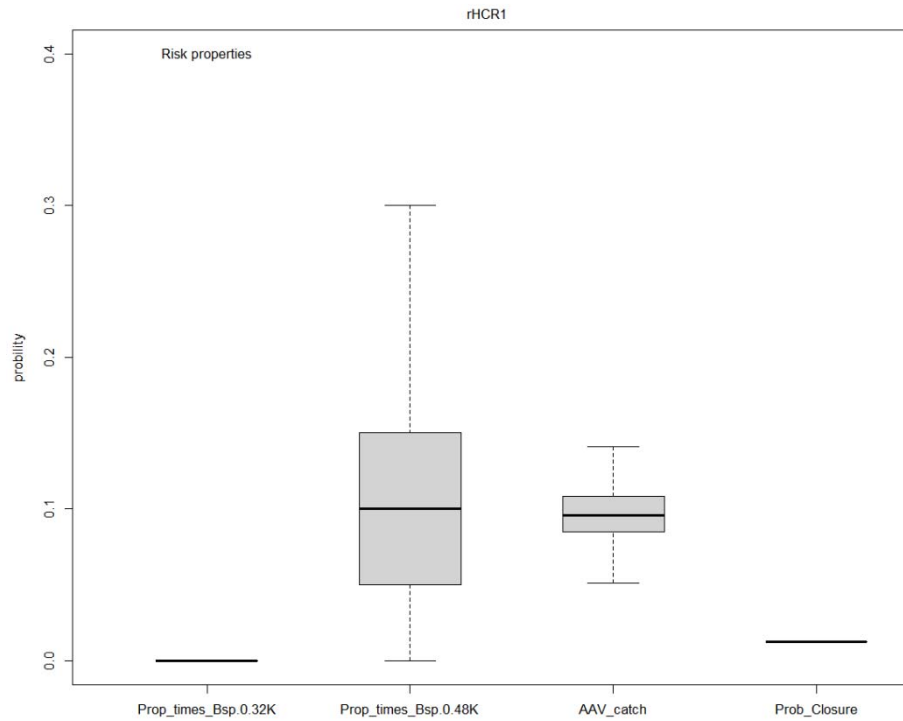


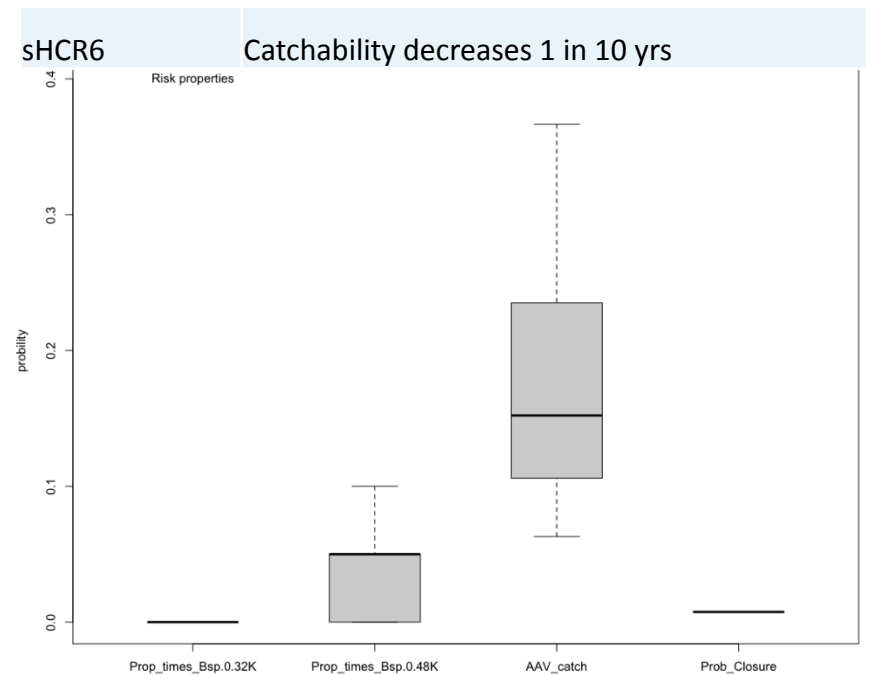
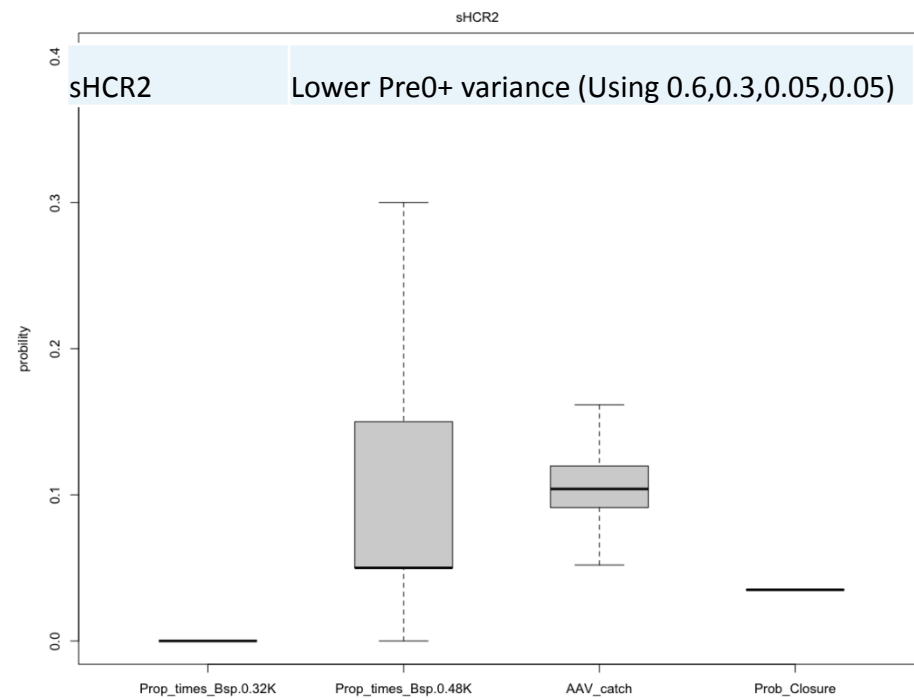
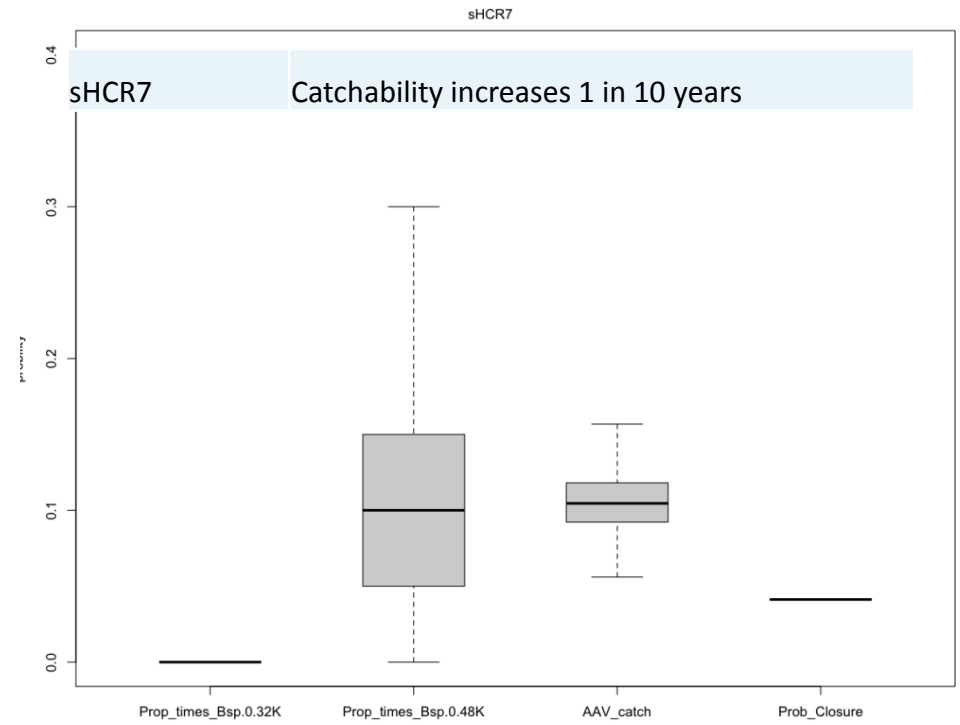
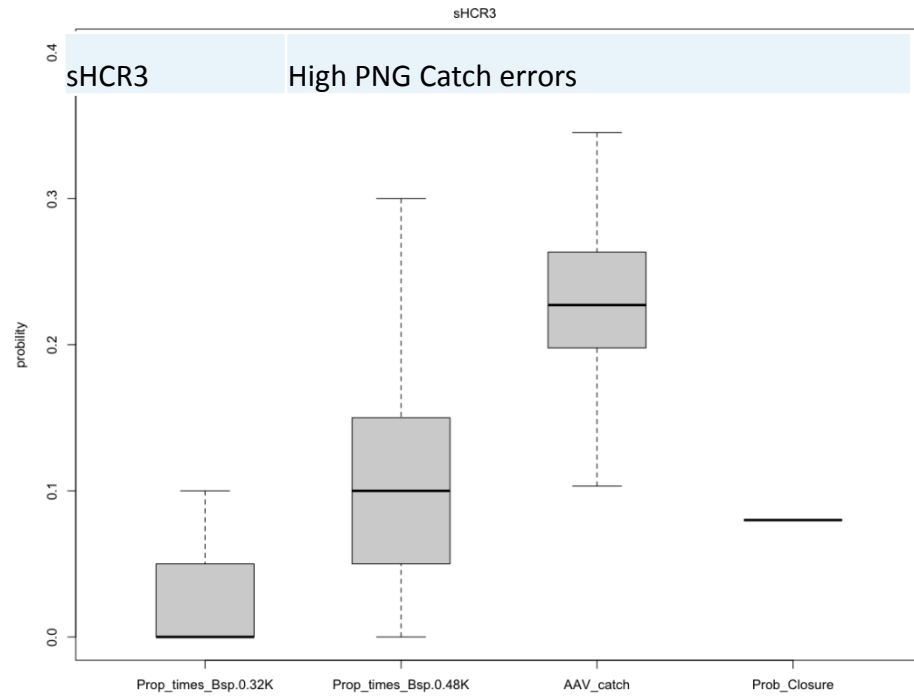
Sensitivities	(rel to rHCR1)
sHCR1	Lagged CPUE
sHCR2	Lower Pre0+ variance
sHCR3	High PNG Catch discrepancy/trawling
sHCR4	Med-High PNG Catch discrepancy
sHCR5	Max Cap = 800
sHCR6	Catchability decreases 1 in 10 yrs
sHCR7	Catchability increases 1 in 10 years
sHCR8	Sustained increase in catchability
sHCR9	High variance Preseason survey
sHCR10	Discount factor re high survey variance



Sensitivities (rel to rHCR1)	
sHCR1	Lagged CPUE
sHCR2	Lower Pre0+ variance
sHCR3	High PNG Catch errors
sHCR4	Med-High PNG Catch errors
<b>sHCR5</b>	<b>Max Cap = 800</b>
sHCR6	Catchability decreases 1 in 10 yrs (underestimate stock size)
sHCR7	Catchability increases 1 in 10 years (overestimate stock size)
sHCR8	Sustained increase in catchability (e.g. technology increase)
sHCR9	High variance Preseason survey
sHCR10	Discount factor re high survey variance

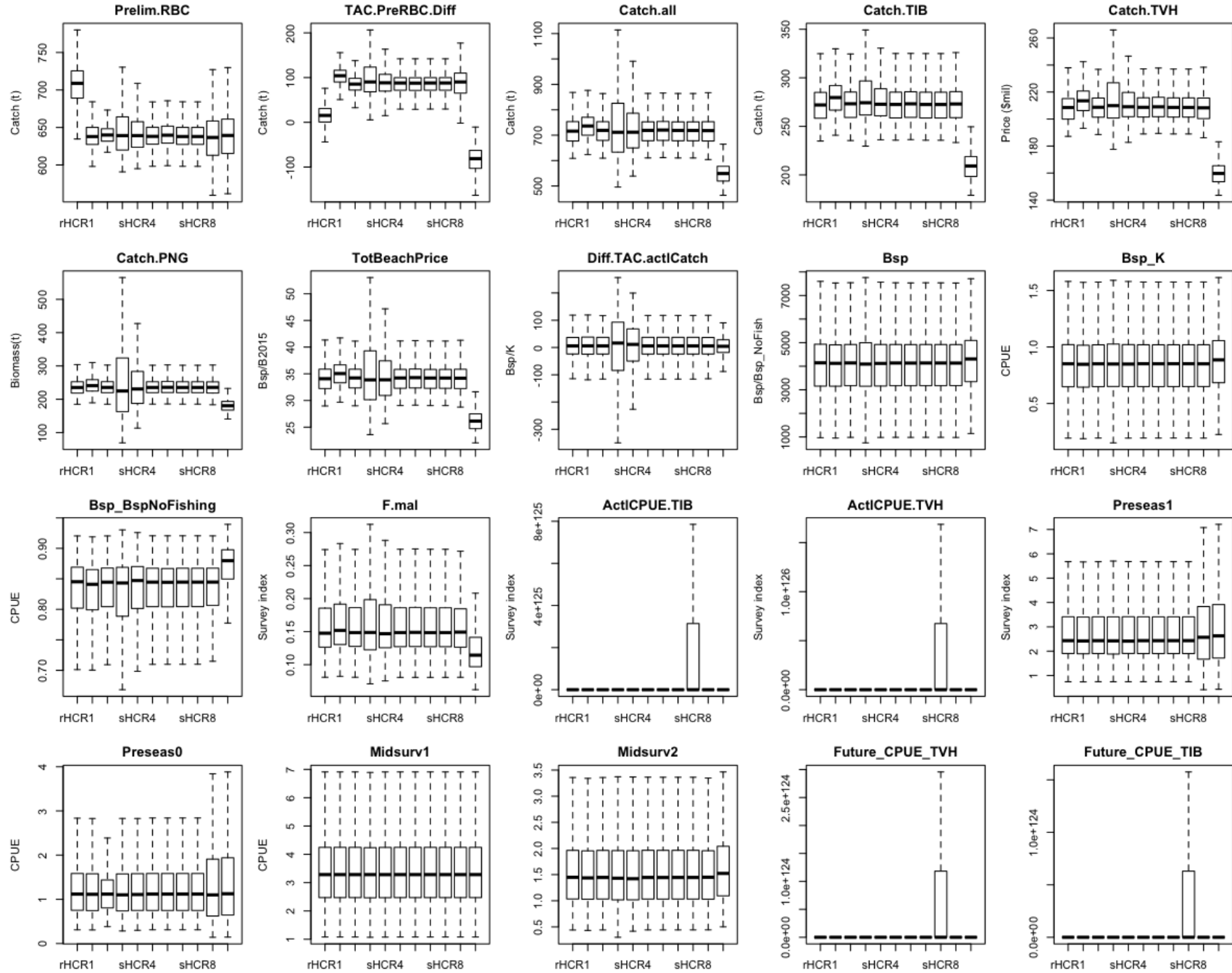




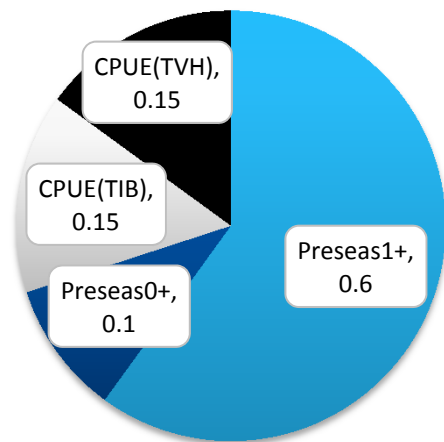




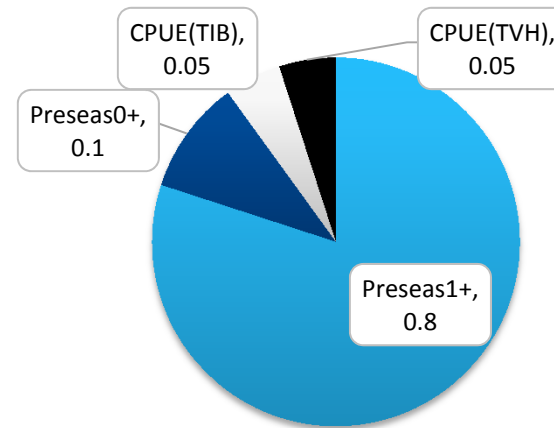
2017



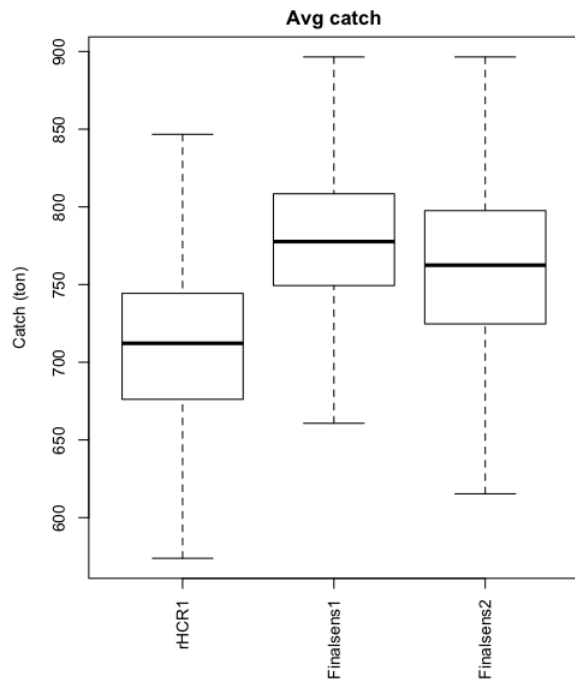
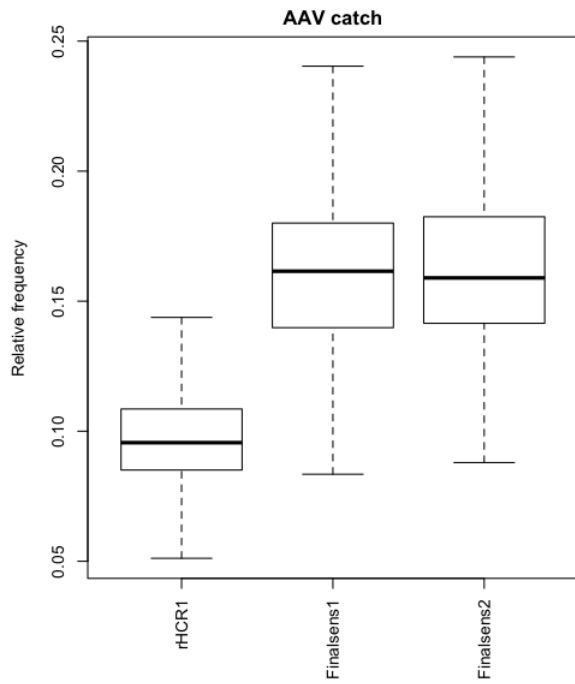
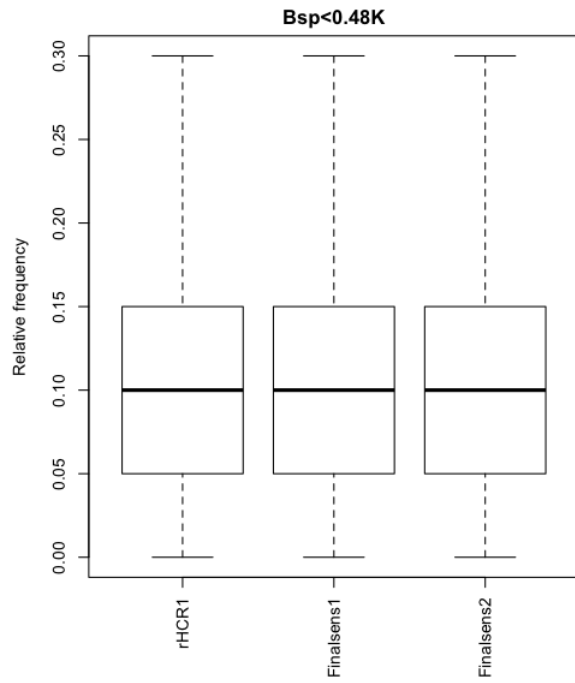
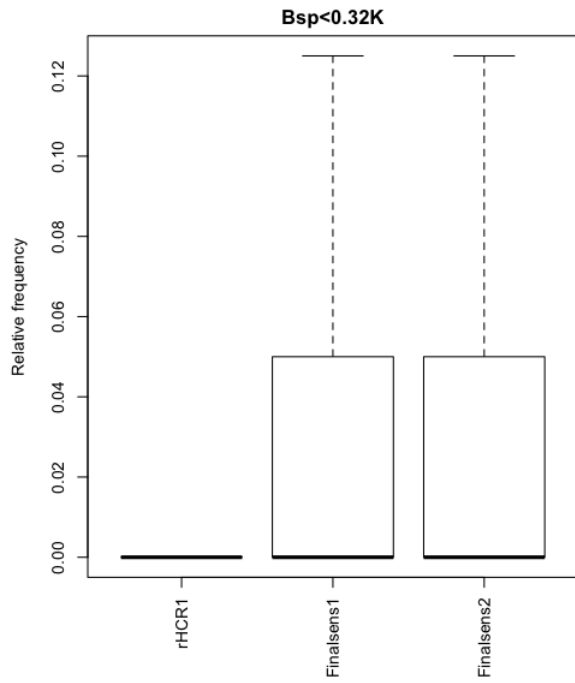
# Final Sensitivities – high catch implementation error (PNG); q increase



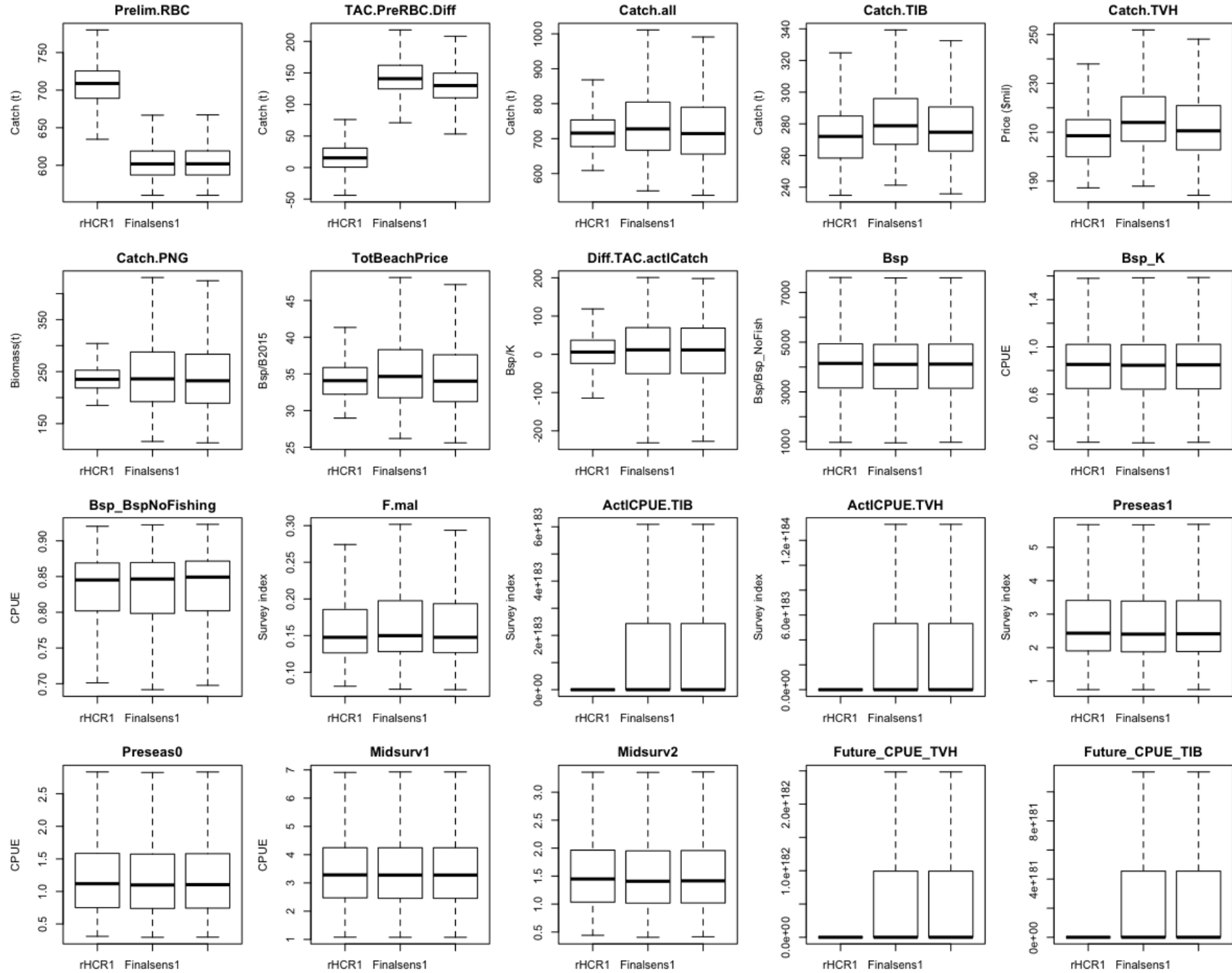
rHCR1



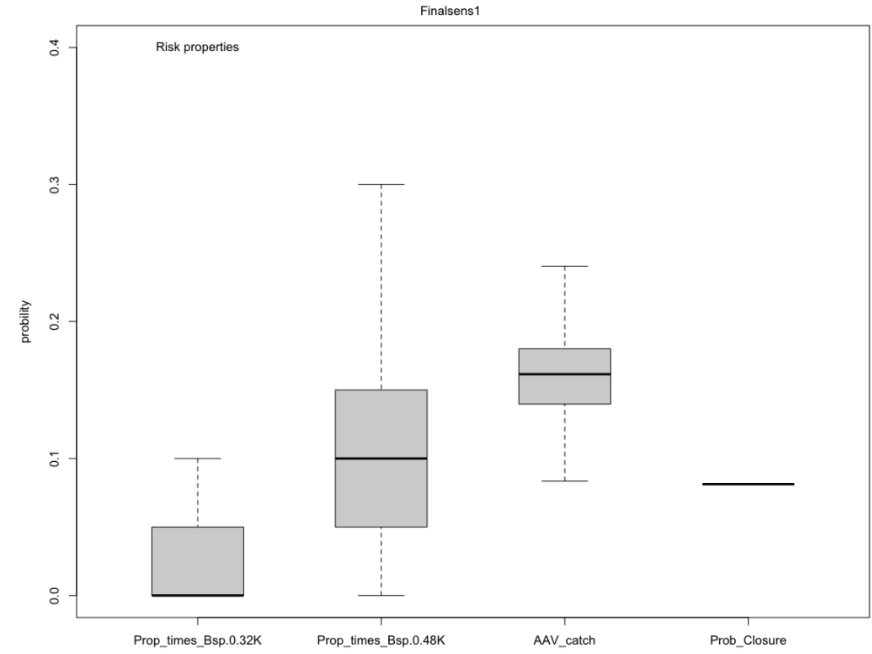
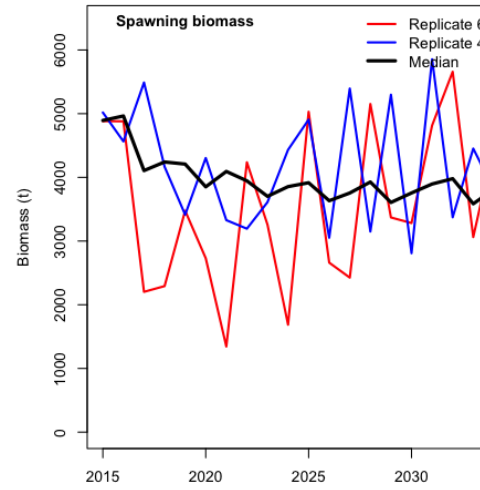
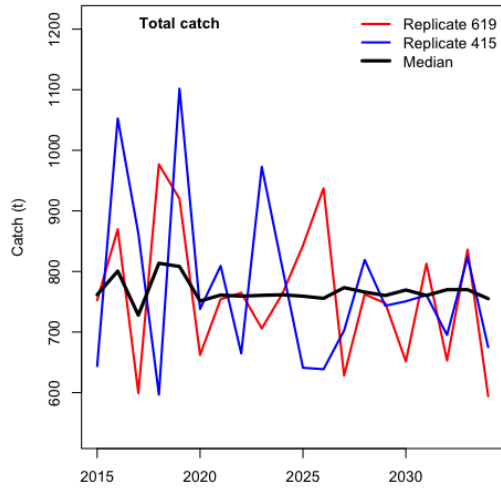
rHCR5



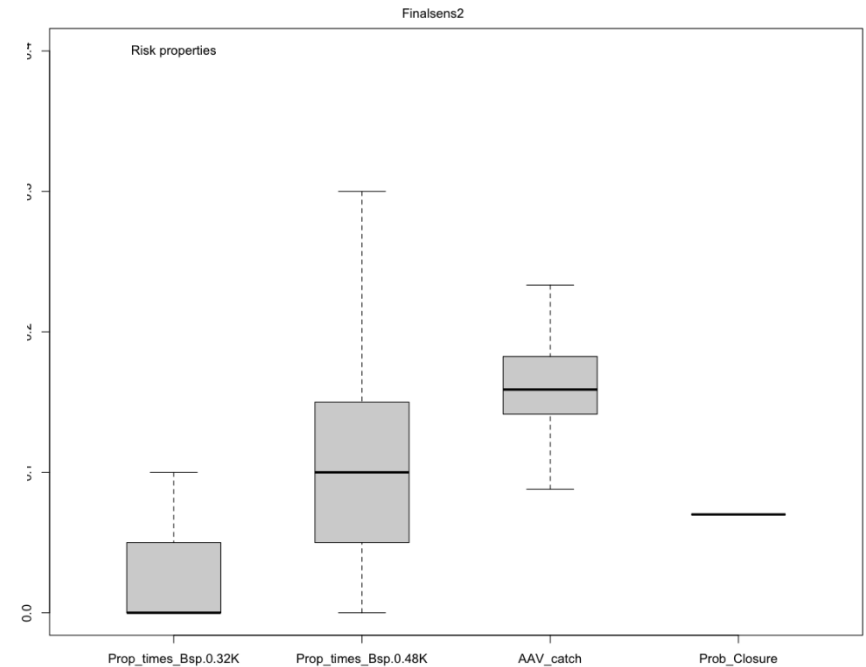
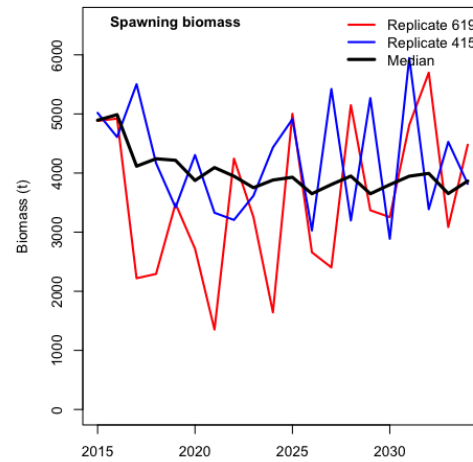
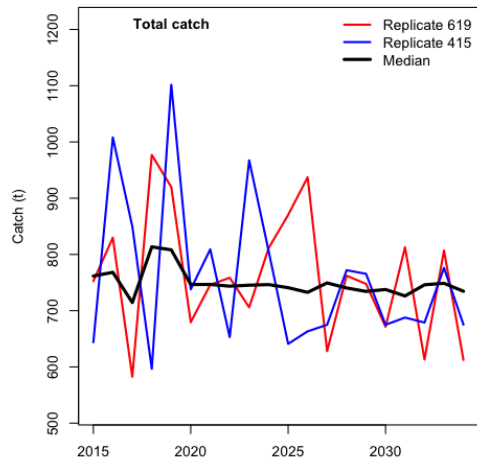
2017



Finalsens1



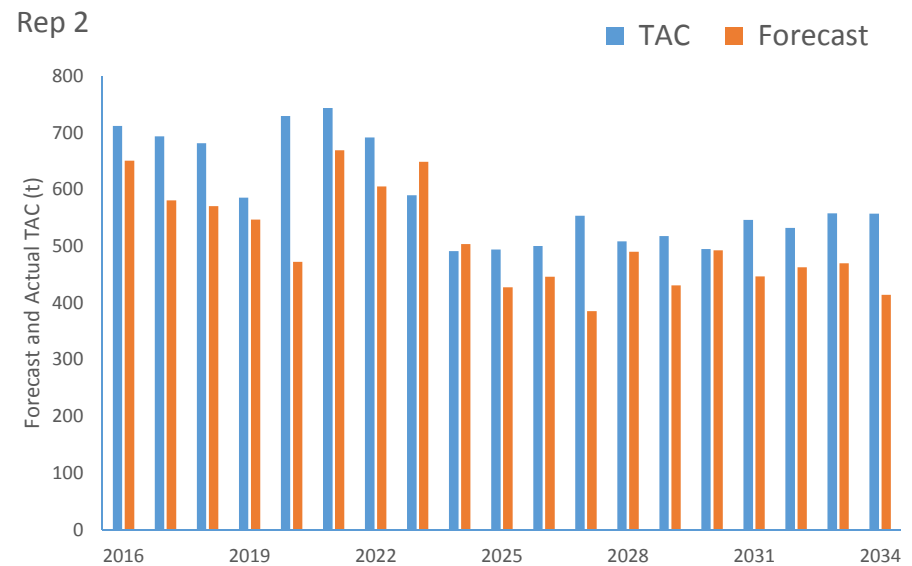
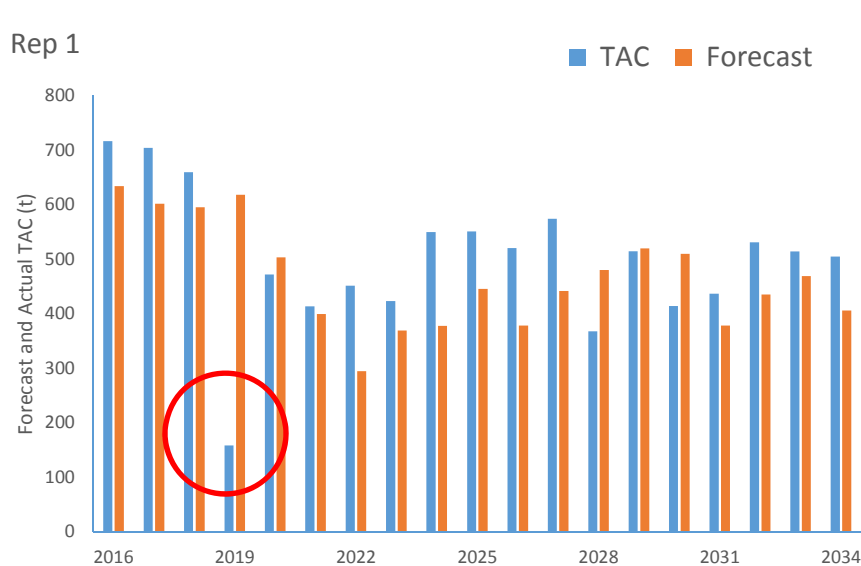
Finalsens2



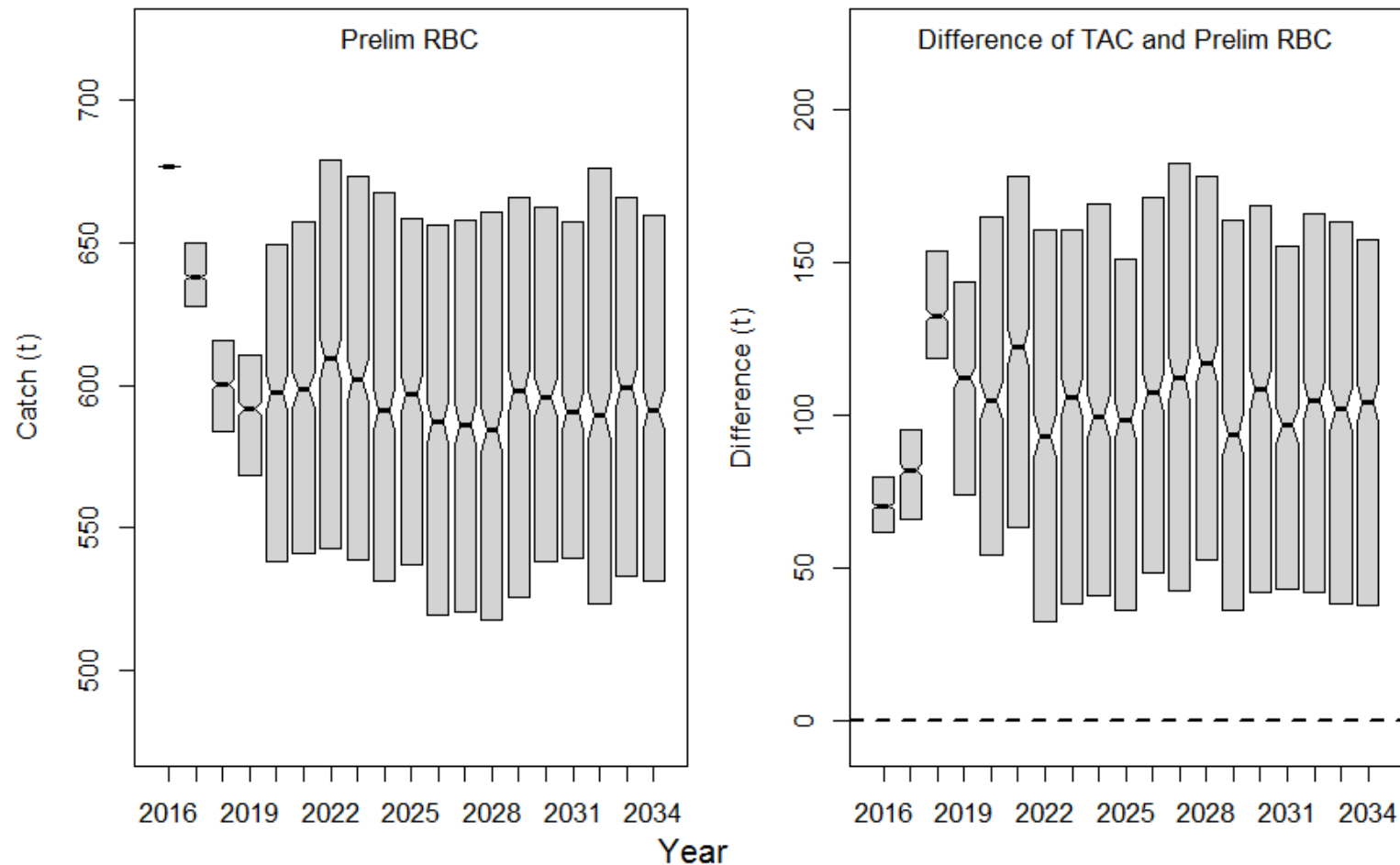
# Forecast TAC : Empirical rule based on preseason survey 0+

$$\text{Forecast}(\text{Year}) = c * (1 + \text{slope}0) * \text{Catch\_ave}$$

- $c$  = tuning parameter (0.85) to adjust so that forecast mostly < actual TAC
- Slope0 = same slope estimate as used in HCR
- Catch\_ave = average of previous 5 years' catch



## rHCR6



- Can tune so higher probability  $<$  final TAC
- Can improve predictive ability by improving precision of survey, particularly 0+ survey sampling (e.g. industry supplemented)

# Auto-pilot approach?

- The HCR is an auto-pilot, but the pilot stays on the plane to check for unanticipated events (by conducting regular assessment updates)
- HCR reviews can be brought forward if appreciable changes in scientific perceptions about the resource occur
- Key criteria for such action are:
  - Indications that resource has moved outside the range for which the HCR was tested
  - Evidence to support this must be compelling, so that such action is not taken lightly

Butterworth (2007) Why a Management Procedure Approach



# Potential revised harvest strategy

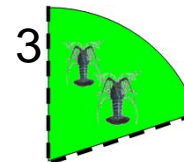
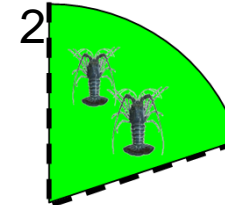
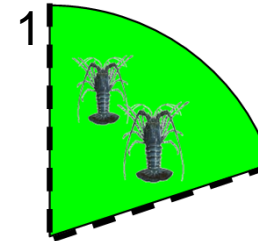
- Annual fishery data analyses (catch, CPUE, size structure)
- Annual survey data analyses (preseason 0+, 1+; any additional survey data)
- Annual implementation of empirical HCR to recommend TAC, also taking into account empirical proxies for resource status (survey reference points) + recommend Forecast TAC
- If exceptional circumstances – trigger action eg surveys, stock assessment, analyse size structure and environmental information
- Every 3 years – full stock assessment to more reliably assess stock status – if deviations from target level, recalibrate HCR

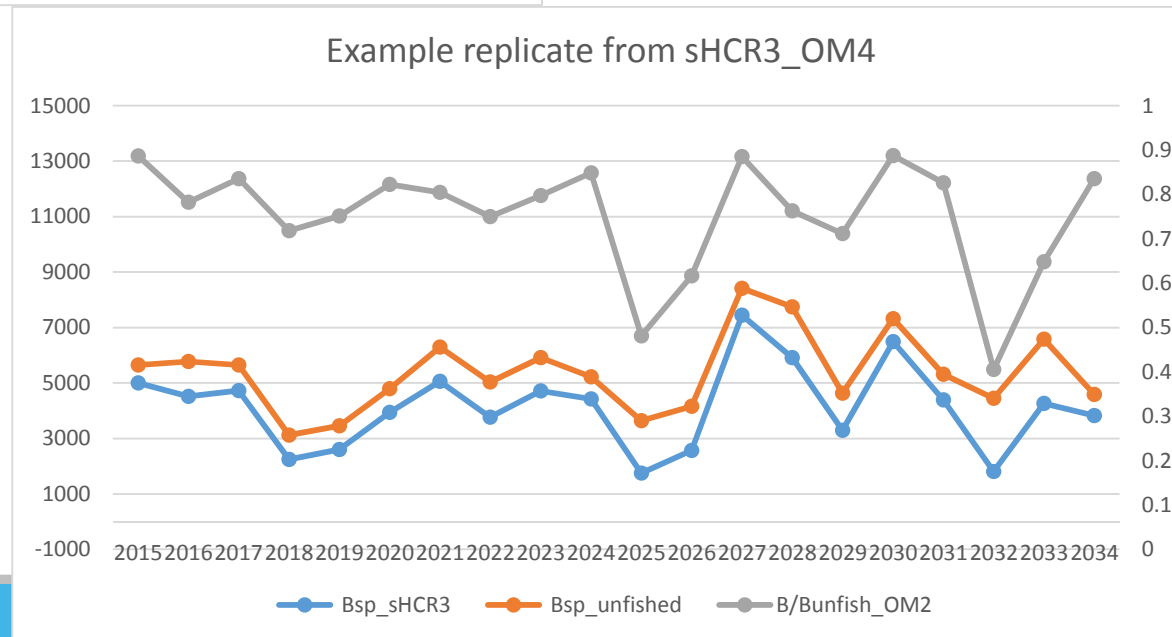
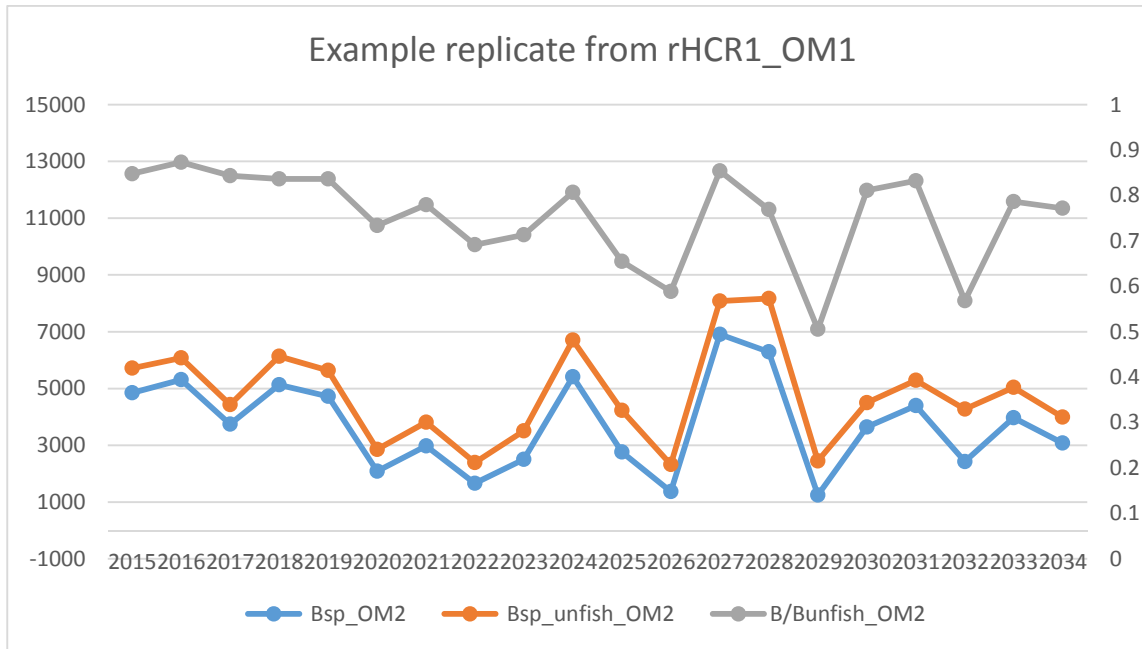
# Alternatives for TAC setting

**Empirical (data-based) HCR** : alternatives depending on data availability and quality:

1. Bonus tier – Midyear and Preseason survey, reliable timely provision of catch data, TIB and TVH CPUE data
2. Top tier - Preseason survey, reliable timely provision of catch data, TIB and TVH CPUE data
3. Middle tier – Preseason survey + catch data
4. Low tier – No surveys, CPUE data
5. Penalty tier – No surveys, no CPUE data

TAC





# Revised Harvest Strategy Reference points (targets and limits)

$$B_{\text{targ}} = 0.8B_{\text{unfished}}$$

$B_{\text{unfished}}$  is assumed to be the model-estimate of spawning biomass at the end of the projection period (2034) under a no-fishing scenario

$B_{\text{targ}}$  has been chosen by TRLRAG as a proxy for BMEY

$$B_{\text{lim}} (0.5B_{\text{targ}}) = 0.32 B_{\text{unfished}}$$

If LRP is triggered in 2 successive years, then the fishery is closed

$$F_{\text{targ}} - \text{estimated by model to keep stock around } B_{\text{targ}} = 0.15$$

$B_{\text{threshold}} / B_{\text{trigger}}$  - Biomass level below which more stringent rules for calculating TAC are applied

$$= 0.48B_{\text{unfished}}$$

# Thank you

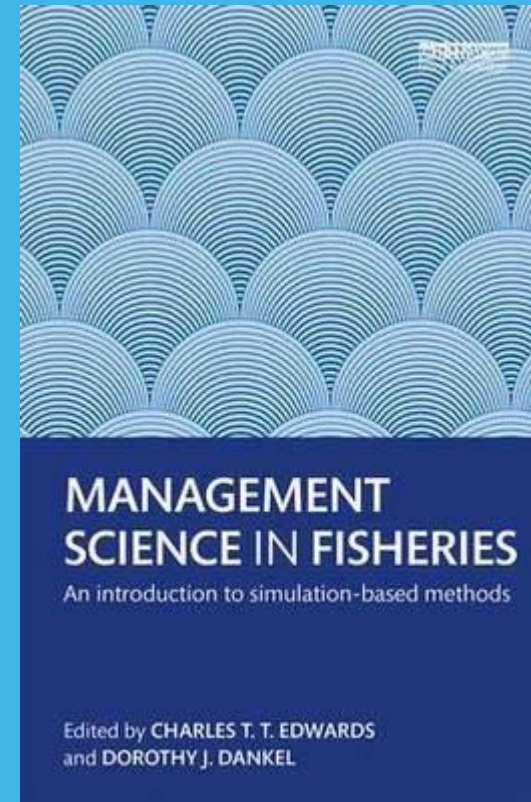
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